

# $W^+W^-$ boson pair production at 13 TeV using CMS data

**DIS2021: XXVIII International Workshop on  
Deep-Inelastic Scattering and Related Subjects**

**Pedro J. Fernández Manteca**

IFCA (University of Cantabria, CSIC)  
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# Introduction

- WW cross section measurements at 13 TeV using the CMS full 2016 dataset
- Two different approaches:
  - **Sequential** analysis: **SEQ**
  - **Random Forest** analysis (multivariate technique): **RF**
- **Goals:**
  - Measure the total WW cross section in the dileptonic channel (**SEQ** & **RF**)
  - Measure fiducial & differential cross sections in  $m_{\ell\ell}$ ,  $p_{T\ell1}$ ,  $p_{T\ell2}$ ,  $\Delta\phi_{\ell\ell}$  (**SEQ**) + njets (**RF**)
  - Set limits on Wilson coefficients (**SEQ**)

**Phys. Rev. D 102, 092001 (2020)**

High Energy Physics – Experiment

[Submitted on 31 Aug 2020]

**$W^+W^-$  boson pair production in proton–proton collisions at  $\sqrt{s} = 13$  TeV**

CMS Collaboration

A measurement of the  $W^+W^-$  boson pair production cross section in proton–proton collisions at  $\sqrt{s} = 13$  TeV is presented. The data used in this study are collected with the CMS detector at the CERN LHC and correspond to an integrated luminosity of  $35.9 \text{ fb}^{-1}$ . The  $W^+W^-$  candidate events are selected by requiring two oppositely charged leptons (electrons or muons). Two methods for reducing background contributions are employed. In the first one, a sequence of requirements on kinematic quantities is applied allowing a measurement of the total production cross section:  $117.6 \pm 6.8 \text{ pb}$ , which agrees well with the theoretical prediction. Fiducial cross sections are also reported for events with zero or one jet, and the change in the zero–jet fiducial cross section with the jet transverse momentum threshold is measured. Normalized differential cross sections are reported within the fiducial region. A second method for suppressing background contributions employs two random forest classifiers. The analysis based on this method includes a measurement of the total production cross section and also a measurement of the normalized jet multiplicity distribution in  $W^+W^-$  events. Finally, a dilepton invariant mass distribution is used to probe for physics beyond the standard model in the context of an effective field theory, and constraints on the presence of dimension–6 operators are derived.

Comments: Submitted to Phys. Rev. D. All figures and tables can be found at [this http URL](#) (CMS Public Pages)  
Subjects: High Energy Physics – Experiment (hep-ex)

**Last public result: CMS-PAS-SMP-16-006 ( $2.3 \text{ fb}^{-1}$ )**  
**WW total Xsec =  $115.3 \pm 11.0 \text{ pb}$**

**This result: ( $35.9 \text{ fb}^{-1}$ )**  
**WW total Xsec =  $117.6 \pm 6.8 \text{ pb}$**

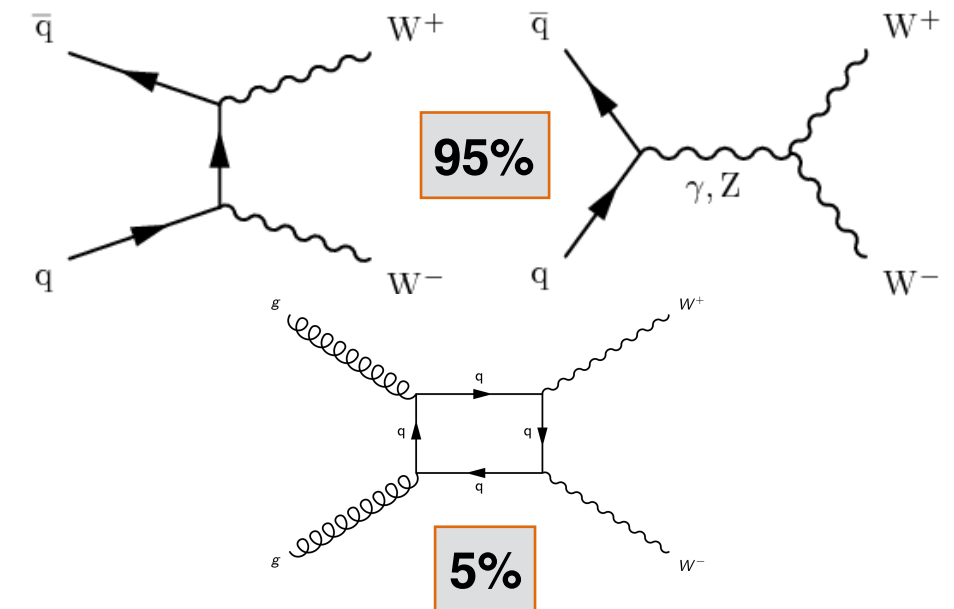
# Sequential analysis

- The sequential selection relies mainly on a set of **discrete requirements** on kinematic variables and on a multivariate analysis tool to suppress Drell-Yan background in same-flavour channel

## Signal Region definition:

Quantity	Sequential Cut	
	Different-flavor	Same-flavor
Number of leptons	Strictly 2	
Lepton charges	Opposite	
$p_T^{\ell \max}$	$>25$	
$p_T^{\ell \min}$	$>20$	
$m_{\ell\ell}$	$>20$	$>40$
Additional leptons	0	
$ m_{\ell\ell} - m_Z $	—	$>15$
$p_T^{\ell\ell}$	$>30$	$>30$
$p_T^{\text{miss}}$	$>20$	$>55$
* $p_T^{\text{miss,proj}}, p_T^{\text{miss,track proj}}$	$>20$	$>20$
Number of jets	$\leq 1$	
Number of b-tagged jets	0	
DYMVA score	—	$>0.9$

**Target signature:** two opposite charged isolated leptons, and large transverse missing energy from the neutrinos



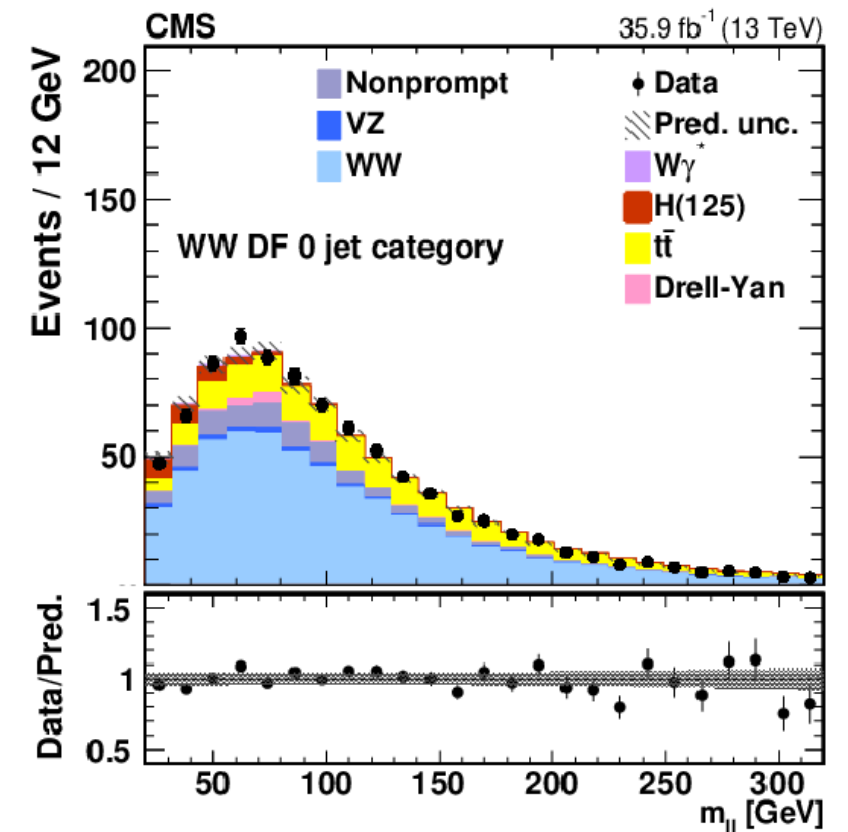
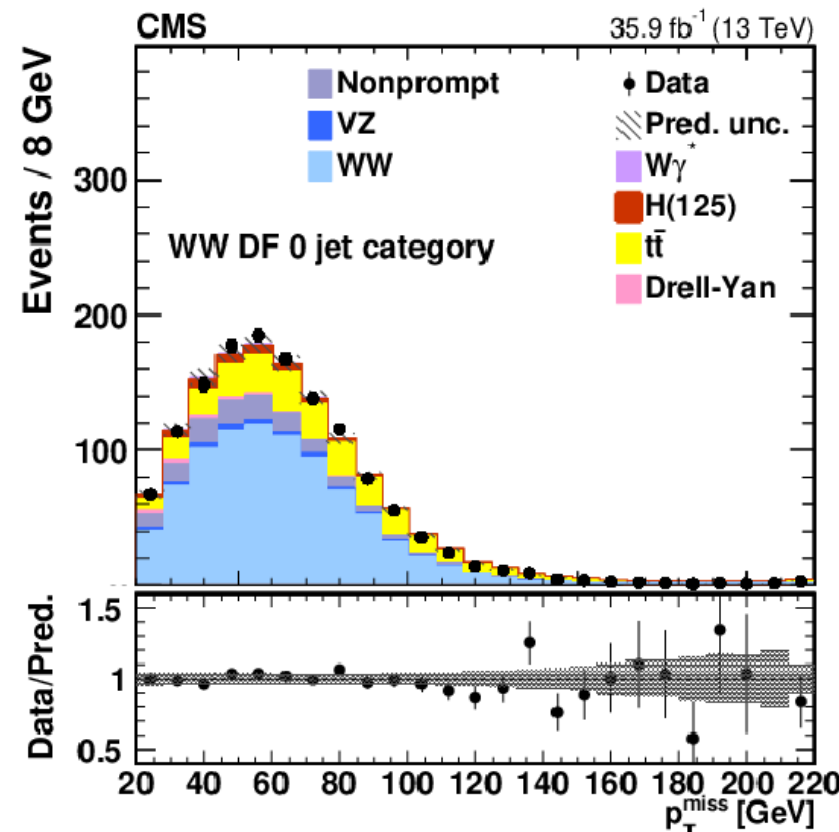
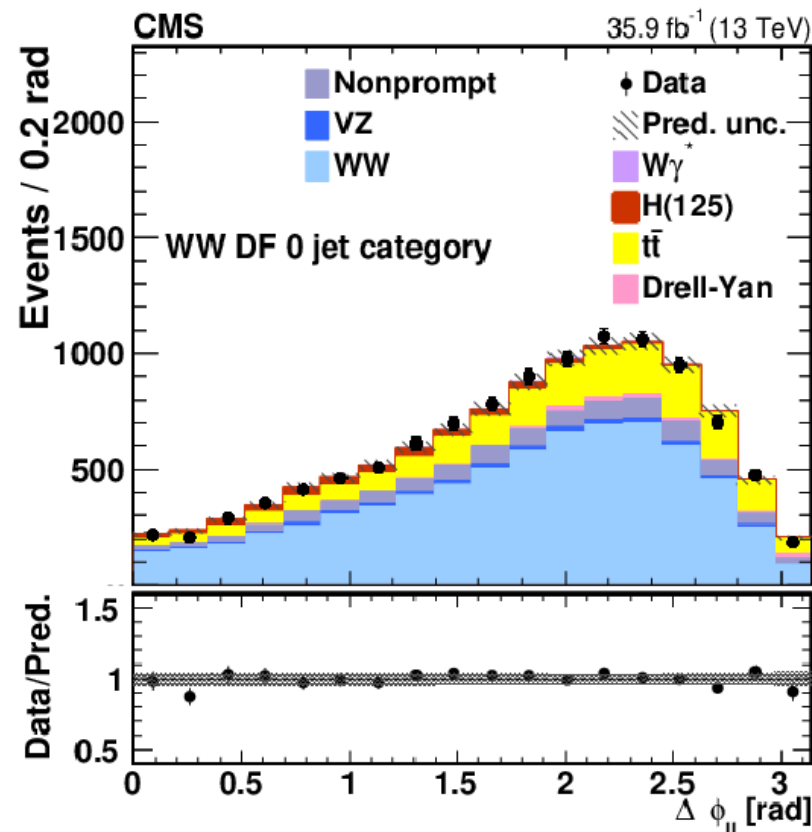
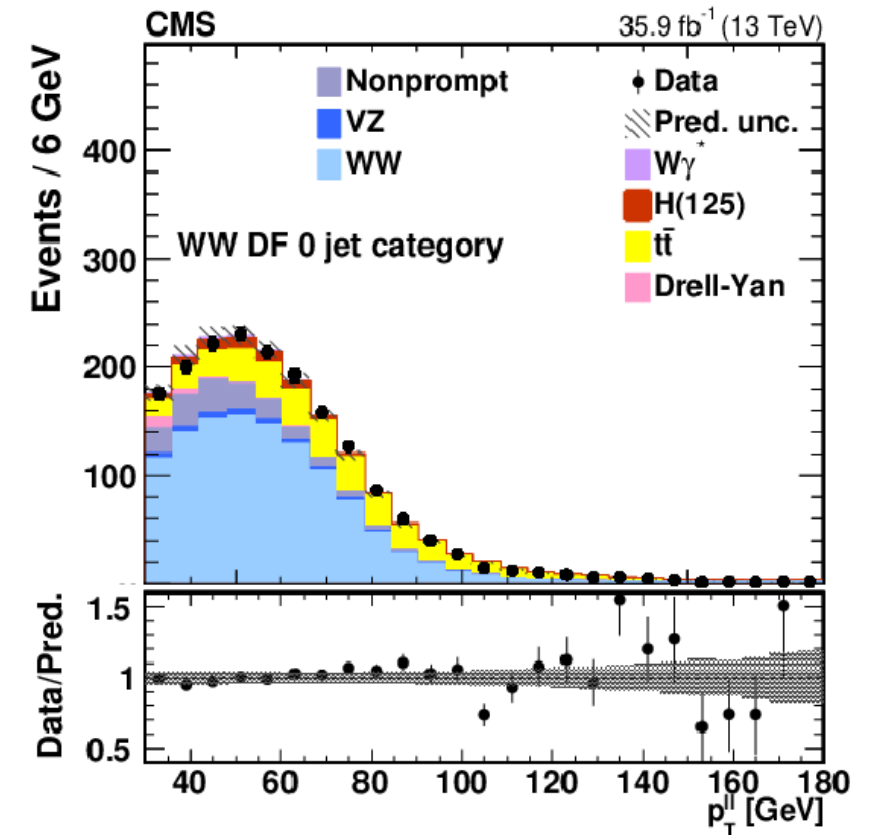
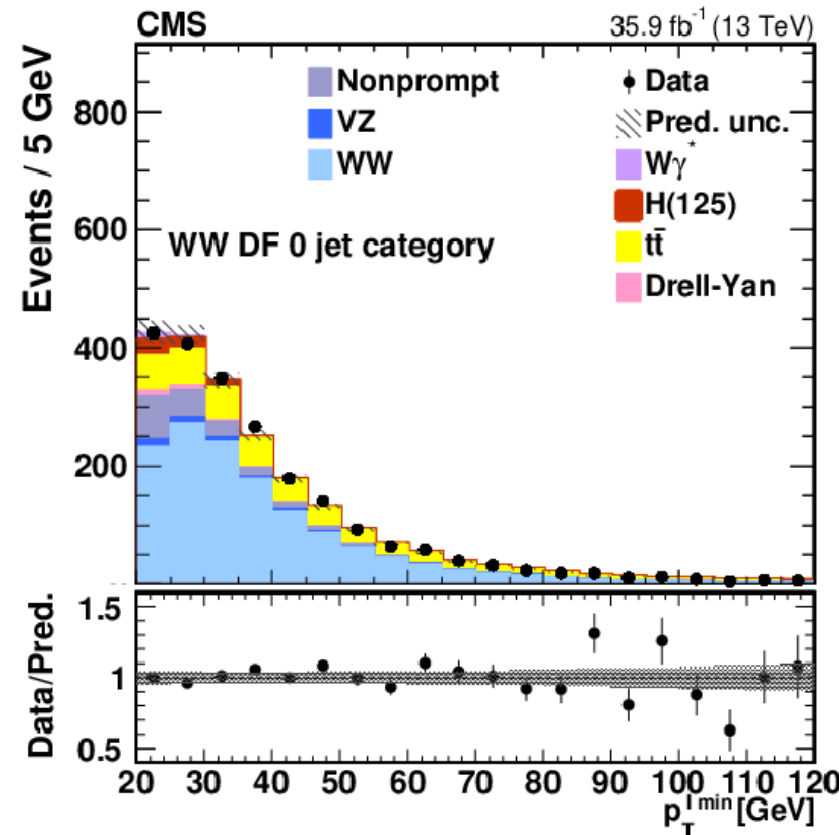
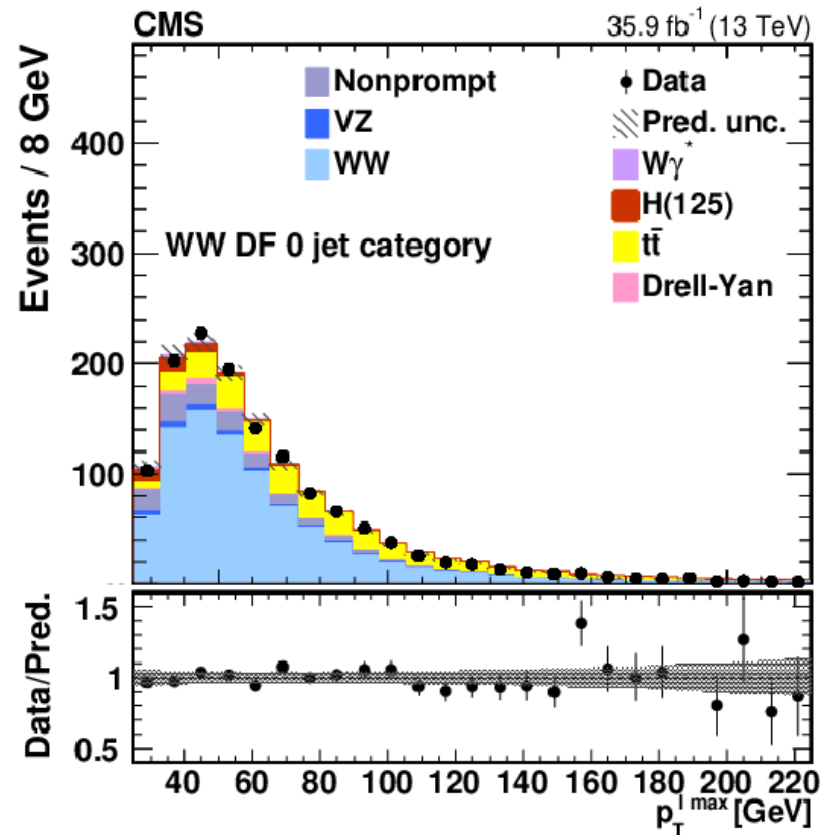
**for DY suppression**

**suppress ttbar**

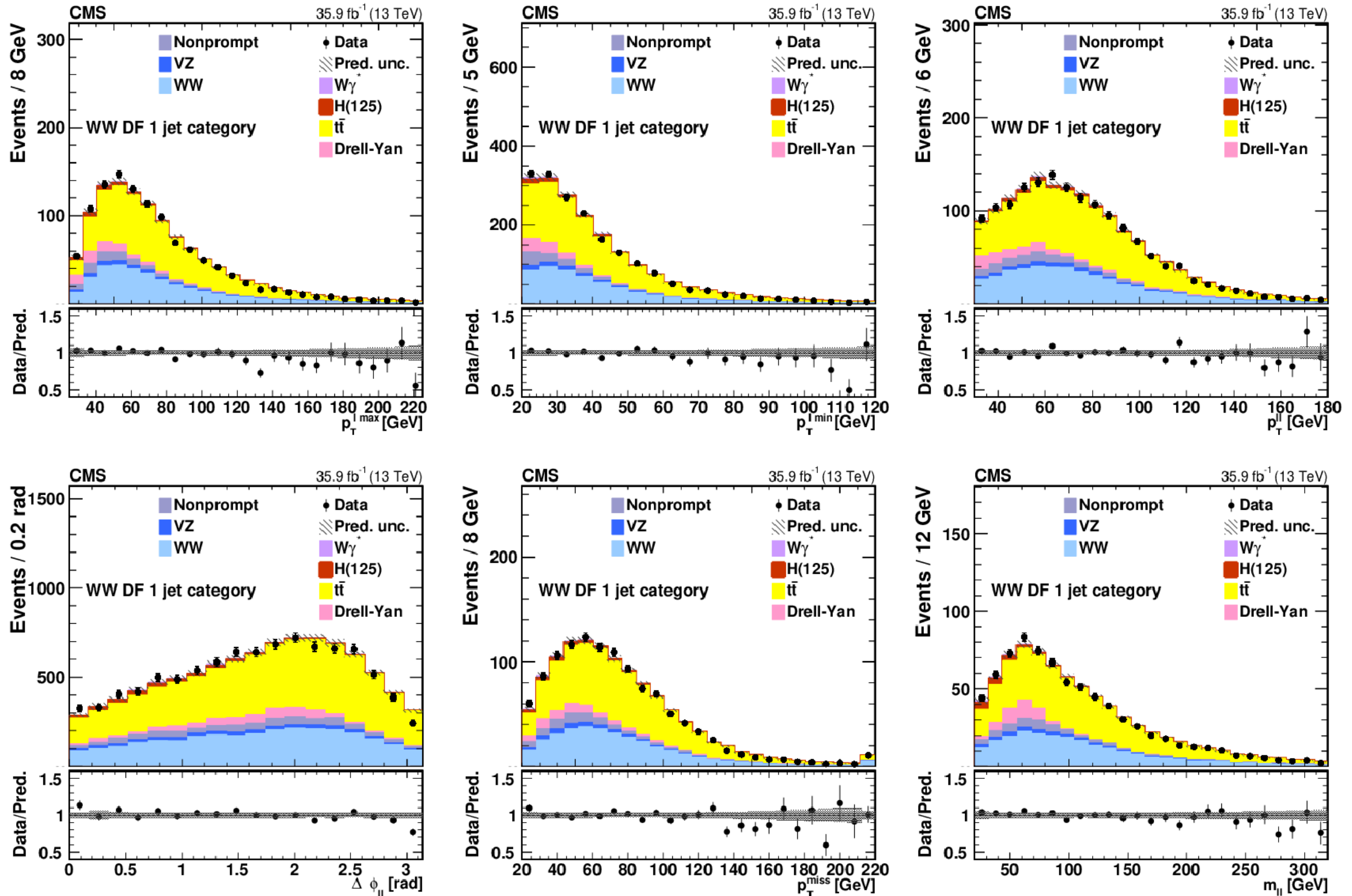
**DYMVA:** Developed for the CMS HWW analysis ([arXiv:1806.05246](https://arxiv.org/abs/1806.05246))

\* 
$$\text{projected } \text{Trk}E_T^{\text{miss}} = \begin{cases} \text{Trk}E_T^{\text{miss}} & \Delta\phi_{\min}(\text{leptons}, \text{Trk}E_T^{\text{miss}}) \geq \pi/2 \\ \text{Trk}E_T^{\text{miss}} \sin \Delta\phi_{\min} & \Delta\phi_{\min}(\text{leptons}, \text{Trk}E_T^{\text{miss}}) \leq \pi/2 \end{cases}$$

$$\text{projected } E_T^{\text{miss}} = \begin{cases} E_T^{\text{miss}} & \Delta\phi_{\min}(\text{leptons}, E_T^{\text{miss}}) \geq \pi/2 \\ E_T^{\text{miss}} \sin \Delta\phi_{\min} & \Delta\phi_{\min}(\text{leptons}, E_T^{\text{miss}}) \leq \pi/2 \end{cases}$$



# Sequential analysis





# Random Forest analysis

- Alternative approach: **Random Forest** (RF) multivariate analysis
  - Each individual tree is allowed to use only a random subset of variables. This approach **mitigates overfitting**
- Pre-selection:**  $m_{\ell\ell} > 30$  GeV, third loose lepton veto ( $p_T > 10$  GeV), bVeto ( $p_{Tj} > 20$  GeV),  $|m_{\ell\ell} - m_Z| > 15$  GeV for same-flavour leptons
- After the preselection, the largest contamination comes from Drell-Yan and  $t\bar{t}$ . Two independent RF have been trained
  - anti-Drell-Yan:** WW vs DY
  - anti-top:** WW vs  $t\bar{t}$
- Hyperparameters of the RFs are optimized by evaluating the RF performance in a multidimensional grid, taking into account all possible combinations between several choices for parameter values

Feature	Classifier	
	Drell-Yan	Top quark
Lepton flavor	✓	
Number of jets		✓
$p_T^{\ell \min}$	✓	
$p_T^{\text{miss}}$	✓	✓
$p_T^{\text{miss,proj}}$	✓	
$p_T^{\ell\ell}$	✓	✓
$m_{\ell\ell}$	✓	
$m_{\ell\ell p_T^{\text{miss}}}$	✓	
$\Delta\phi_{\ell\ell p_T^{\text{miss}}}$	✓	✓
$\Delta\phi_{\ell j}$		✓
$\Delta\phi_{p_T^{\text{miss}} j}$		✓
$\Delta\phi_{\ell\ell}$	✓	
$H_T$		✓
Recoil	✓	✓

## RF optimized architecture:

ntrees= 50, max\_depth = 20

min\_events\_per\_split = 50

min\_events\_in\_leaf = 1

max\_features\_per\_tree = sqrt(total\_variables)

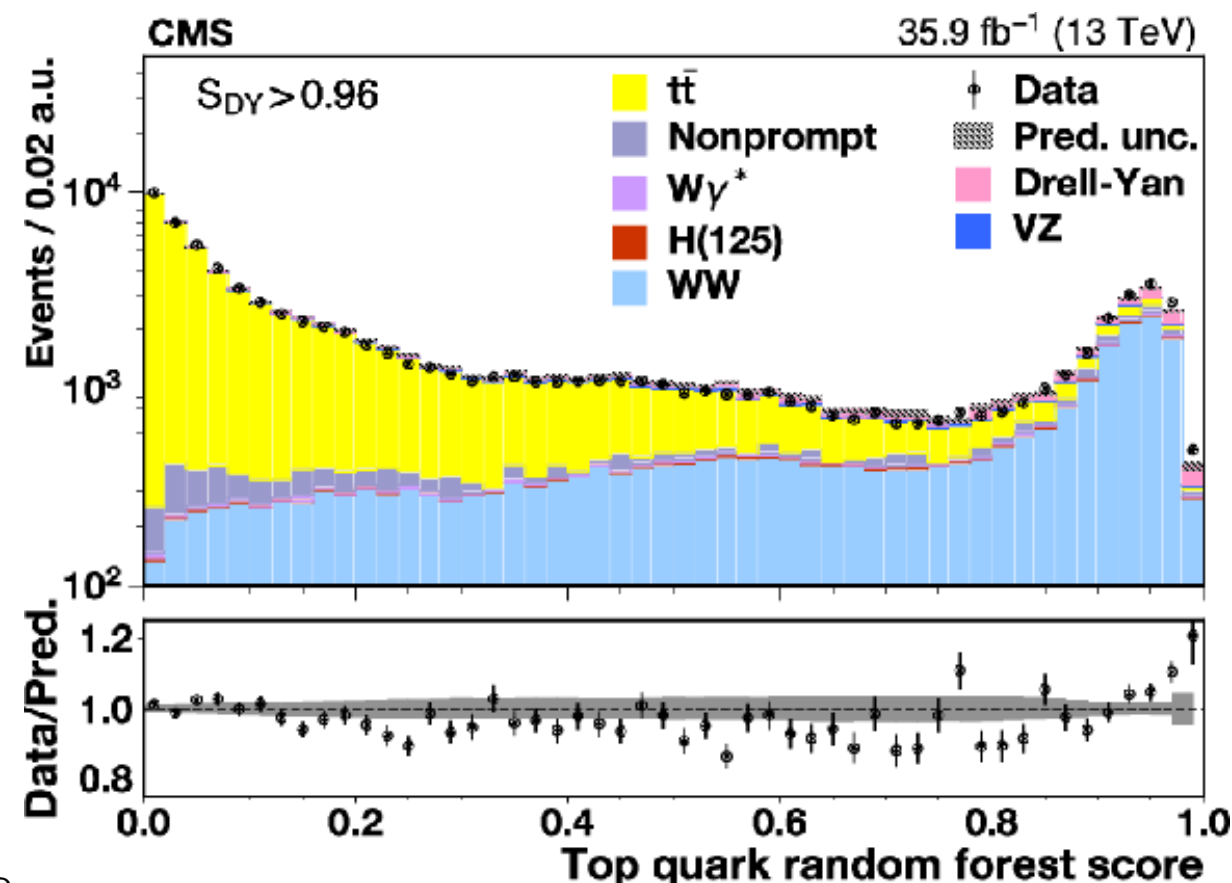
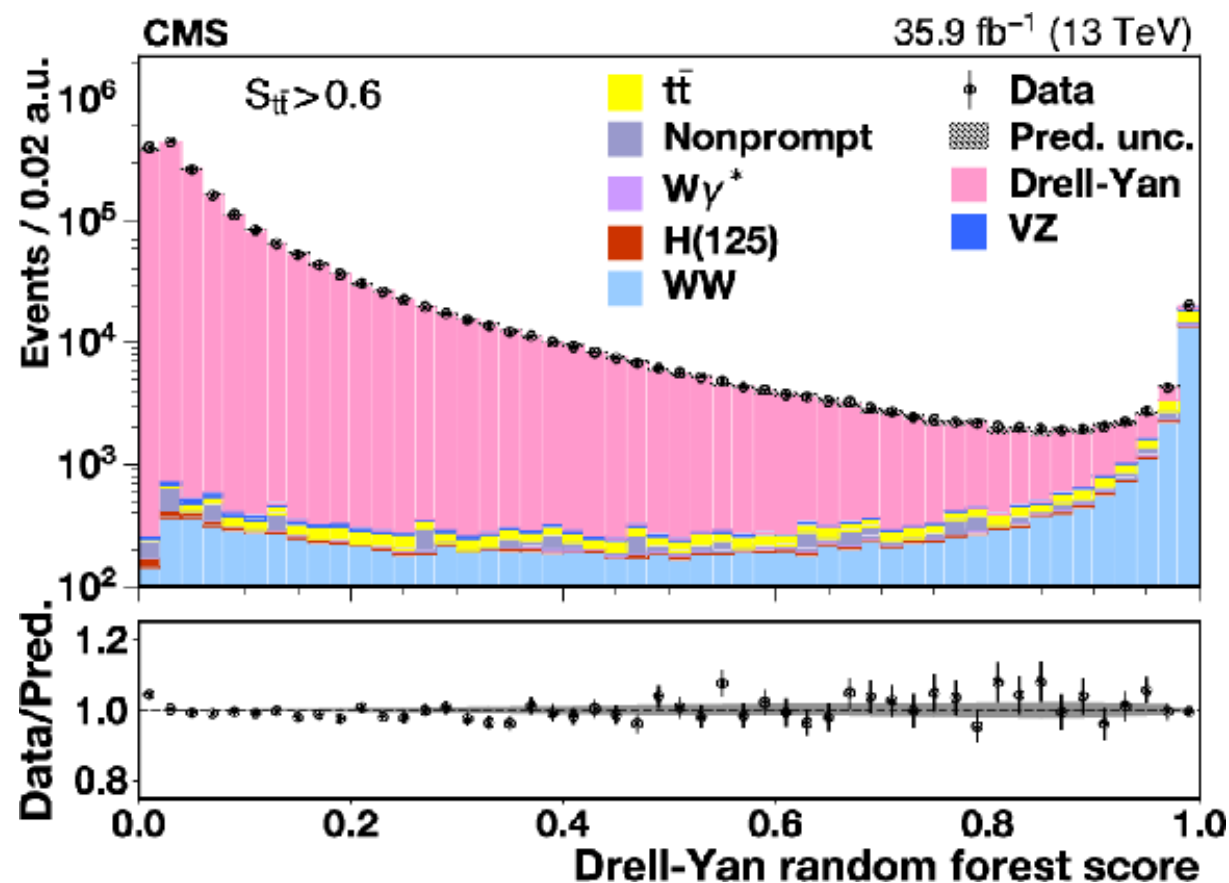
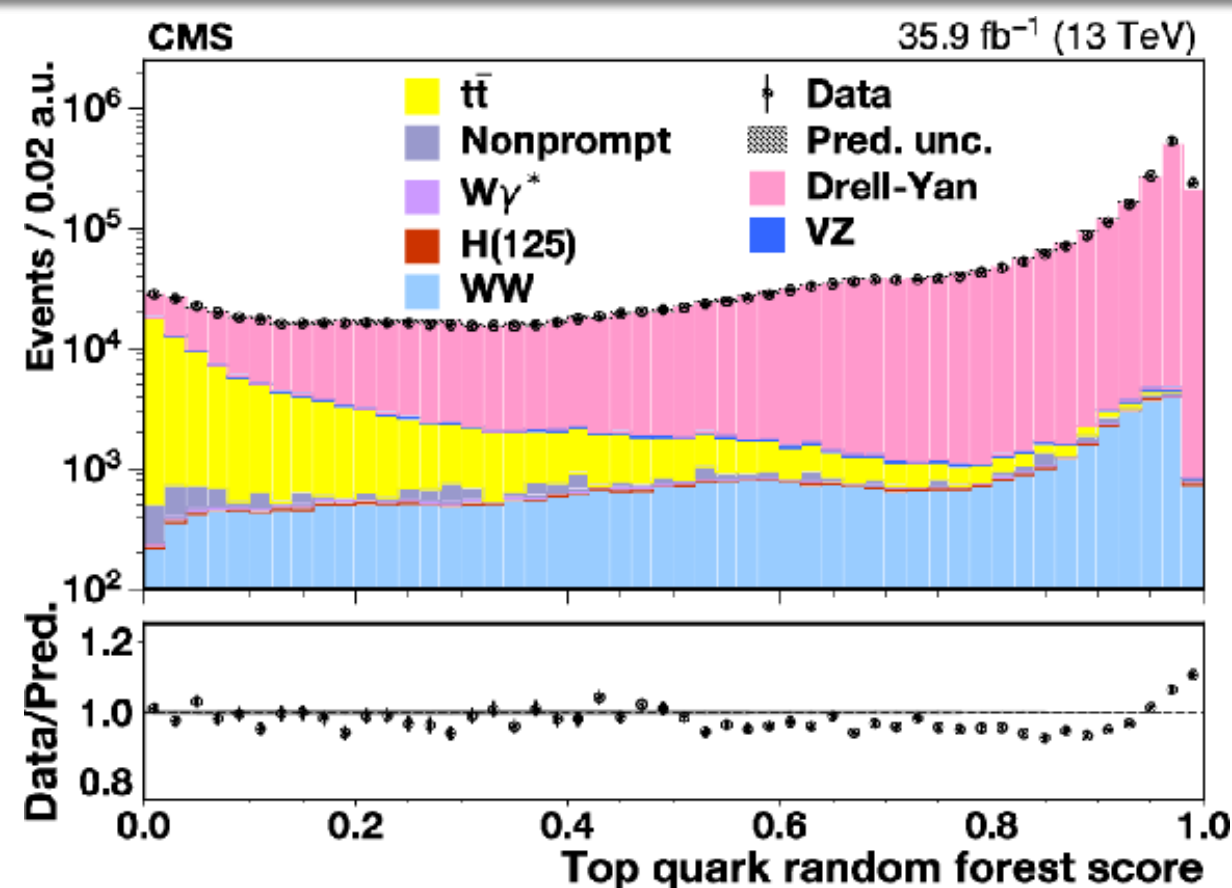
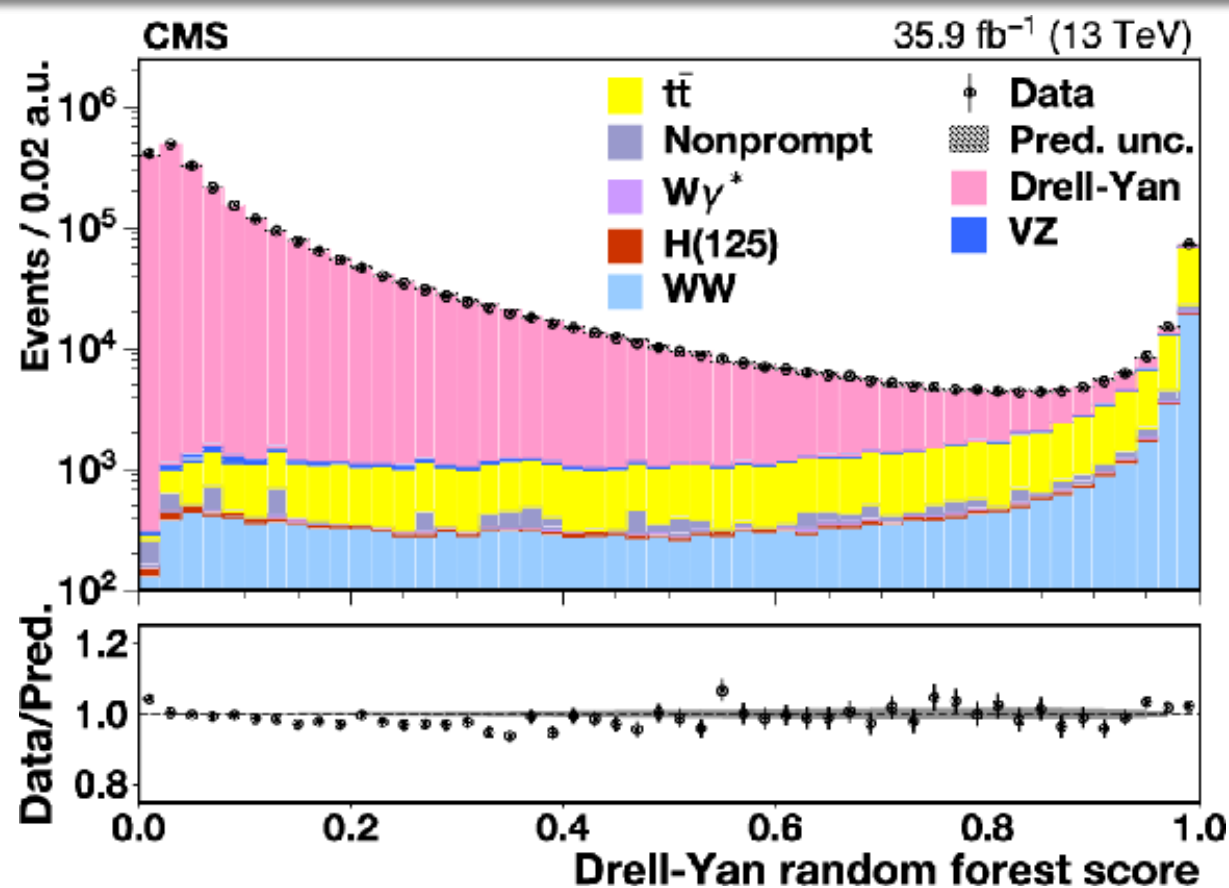
# Random Forest analysis

## Signal Region definition:

Quantity	Random Forest	
	DF	SF
Number of leptons	Strictly 2	
Lepton charges	Opposite	
$p_{\text{T}}^{\ell \text{ max}}$	>25	
$p_{\text{T}}^{\ell \text{ min}}$	>20	
$m_{\ell\ell}$	>30	>30
Additional leptons	0	
$ m_{\ell\ell} - m_{\text{Z}} $	—	>15
Number of $\bar{\text{b}}$ -tagged jets	0	
Drell–Yan RF score $S_{\text{DY}}$	>0.96	
$\text{t}\bar{\text{t}}$ RF score $S_{\text{t}\bar{\text{t}}}$	>0.6	

- Selections on RF scores have been optimized by simultaneously **minimizing the uncertainty** in the cross section and **maximizing the signal purity**

# Random Forest analysis





# Total Xsec measurements

- In both approaches the signal strength is extracted by fitting the predicted yields to the observed events (1-bin distribution). Information from the control regions is included in the fit
  - SEQ fit:** 4 Signal Regions, 4 Top Control Regions (2 flavour categories x 2 njets categories)
  - RF fit:** 1 SR, 1 TopCR, 1 DYCR, 1 Same-SignedCR

Theoretical prediction:  $\sigma_{\text{tot}}^{\text{NNLO}} = 118.8 \pm 3.6 \text{ pb}$

## Sequential analysis result:

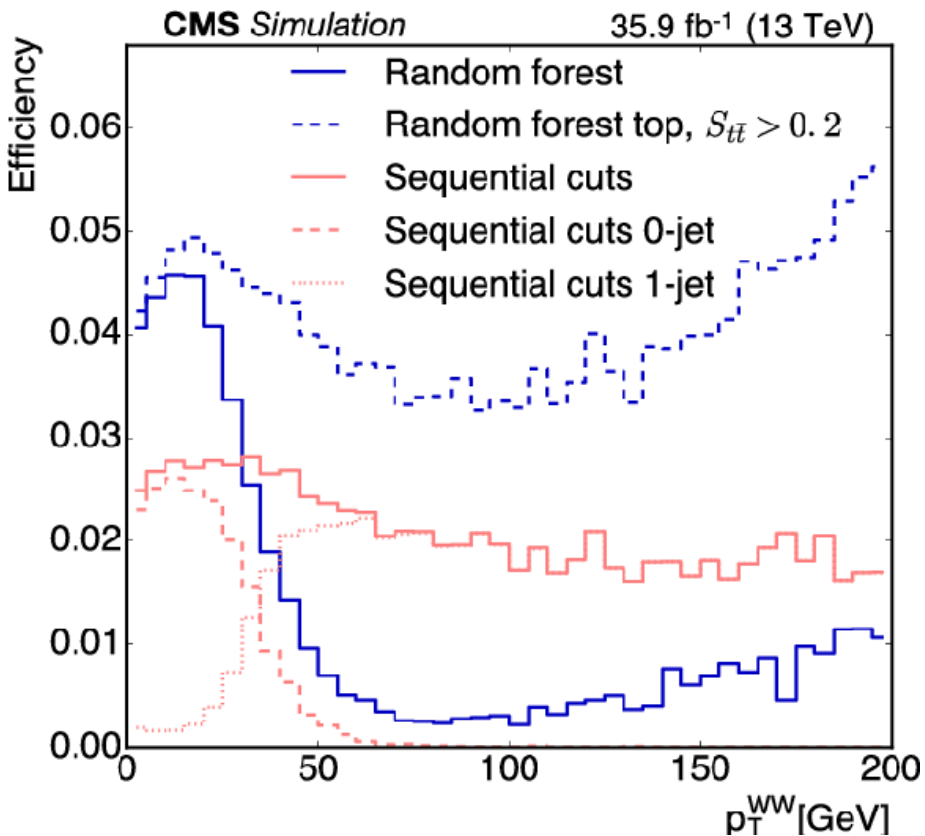
Category		Signal strength	Cross section [pb]
0-jet	DF	$1.054 \pm 0.083$	$125.2 \pm 9.9$
0-jet	SF	$1.01 \pm 0.16$	$120 \pm 19$
1-jet	DF	$0.93 \pm 0.12$	$110 \pm 15$
1-jet	SF	$0.76 \pm 0.20$	$89 \pm 24$
0-jet & 1-jet	DF	$1.027 \pm 0.071$	$122.0 \pm 8.4$
0-jet & 1-jet	SF	$0.89 \pm 0.16$	$106 \pm 19$
0-jet & 1-jet	DF & SF	$0.990 \pm 0.057$	$117.6 \pm 6.8$

$\sigma_{\text{tot}}^{\text{SEQ}} = 117.6 \pm 1.4 \text{ (stat)} \pm 5.5 \text{ (syst)} \pm 1.9 \text{ (theo)} \pm 3.2 \text{ (lumi)} \text{ pb} = \mathbf{117.6 \pm 6.8 \text{ pb}}$

## Random Forest analysis result:

$\sigma_{\text{tot}}^{\text{RF}} = 131.4 \pm 1.3 \text{ (stat)} \pm 6.0 \text{ (syst)} \pm 5.1 \text{ (theo)} \pm 3.5 \text{ (lumi)} \text{ pb} = \mathbf{131.4 \pm 8.7 \text{ pb}}$

- Random forest gets a purer signal region. However, its sensitivity is concentrated at low pTWW due to jet-multiplicity related variables used in the training → more sensitive to theoretical uncertainties of pTWW spectrum corrections than the sequential analysis



# Fiducial Xsec measurement

- **Fiducial region definition at gen level:** two dressed electrons or muons in the event with  $p_T > 20$  GeV and  $|\eta| < 2.5$ ,  $m_{\ell\ell} > 20$  GeV,  $p_{T\ell\ell} > 30$  GeV and  $E_T^{\text{Miss}} > 20$  GeV
- **Results:** (Different-Flavour + Same-Flavour combination)

Theoretical prediction:  $\sigma_{\text{fid}}^{\text{NNLO}} = 1.531 \pm 0.043$  pb

$\sigma_{\text{fid}}^{\text{tot}} = 1.529 \pm 0.0020$  (stat)  $\pm 0.069$  (syst)  $\pm 0.028$  (theo)  $\pm 0.041$  (lumi) pb =  **$1.529 \pm 0.087$  pb**

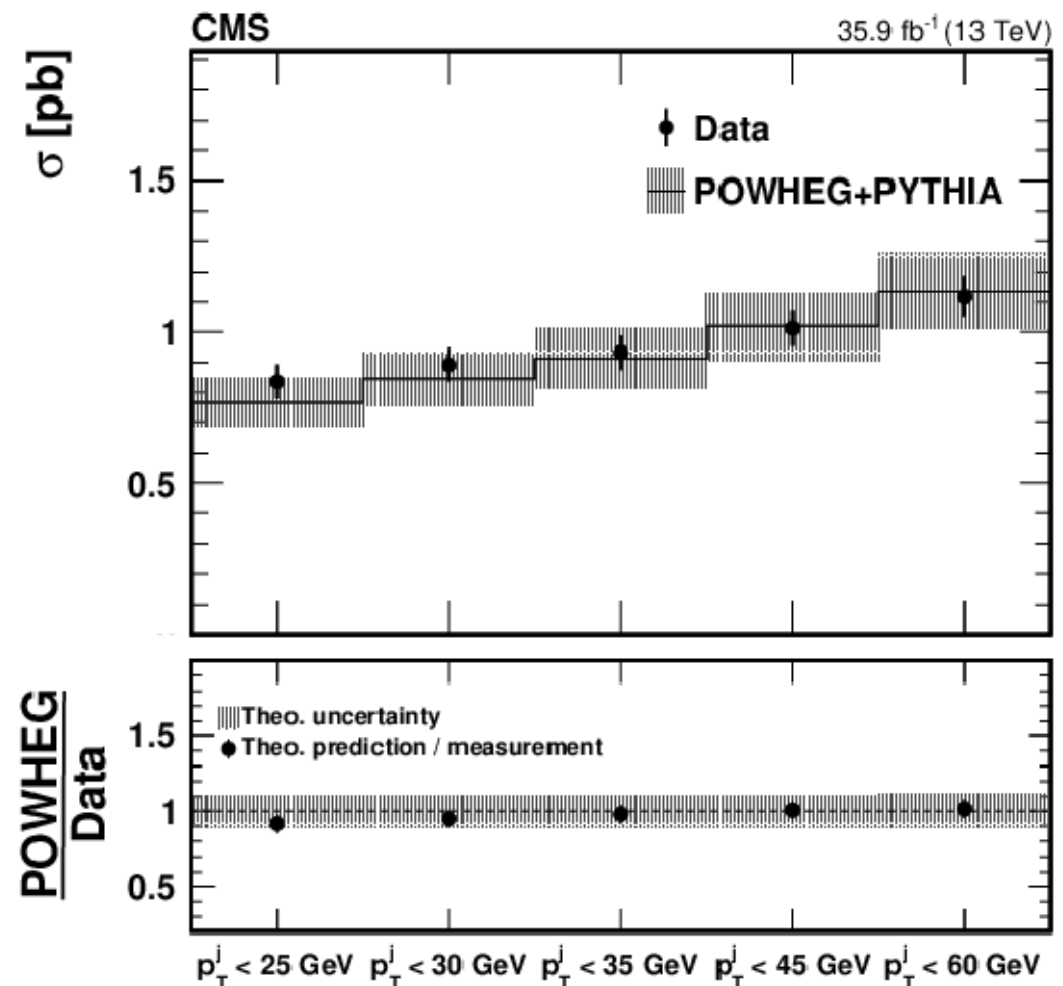
$\sigma_{\text{fid}}^{\text{tot}}$  (based on 0 reco jets subset only) =  $1.61 \pm 0.10$  pb

$\sigma_{\text{fid}}^{\text{tot}}$  (based on 1 reco jets subset only) =  $1.35 \pm 0.11$  pb

## Fiducial WW+0 AK4 gen jets, pT thres. varied

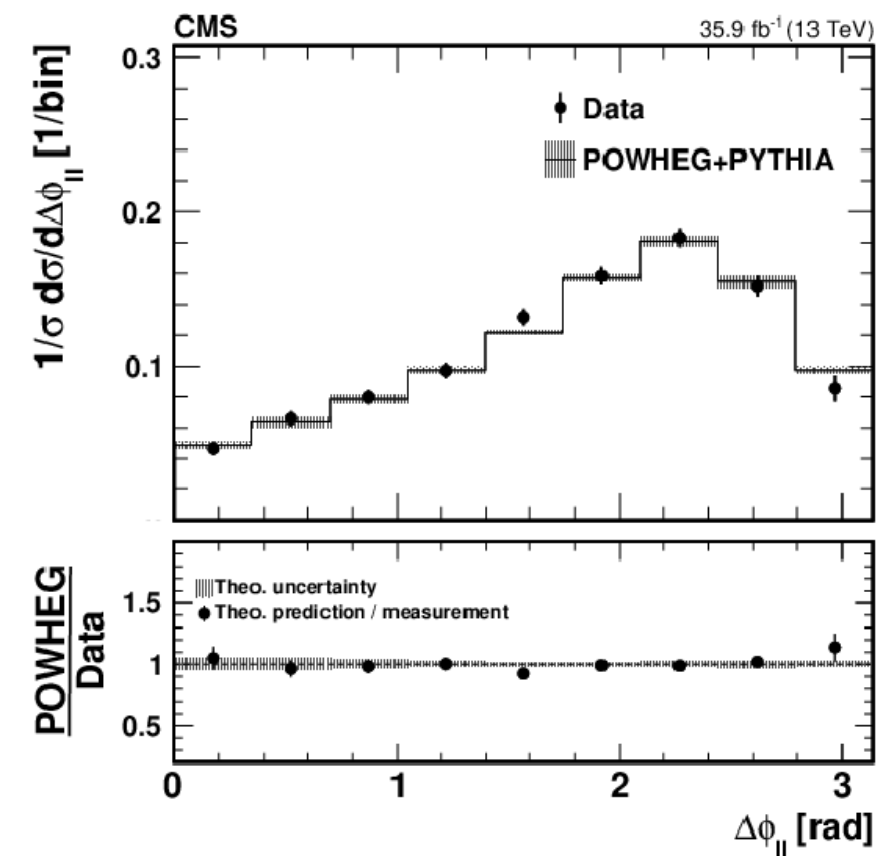
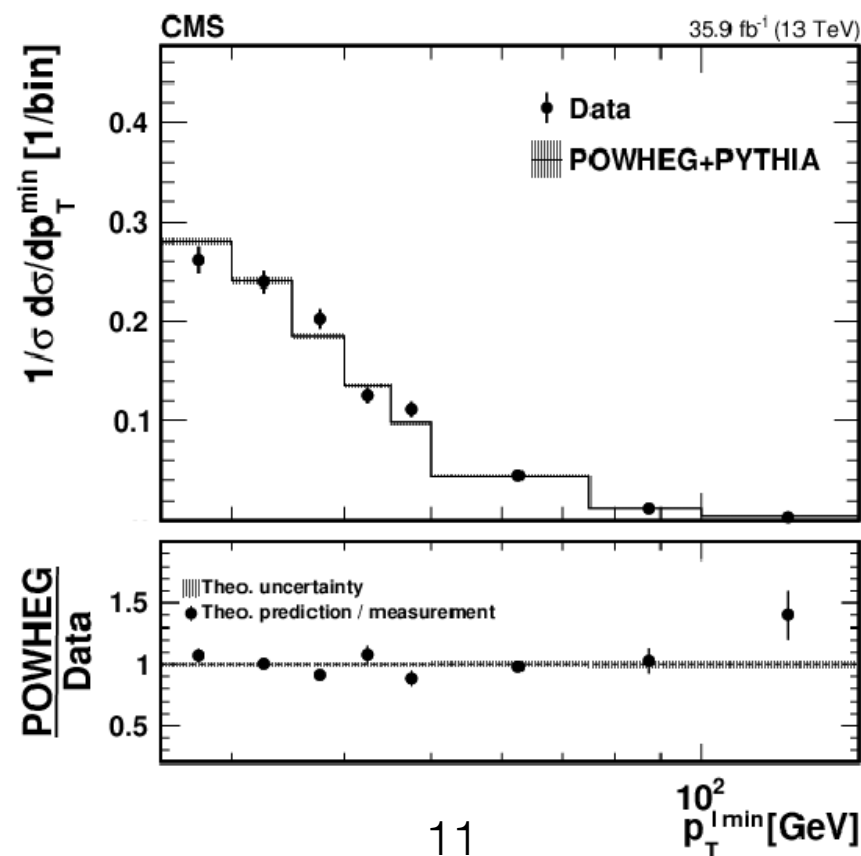
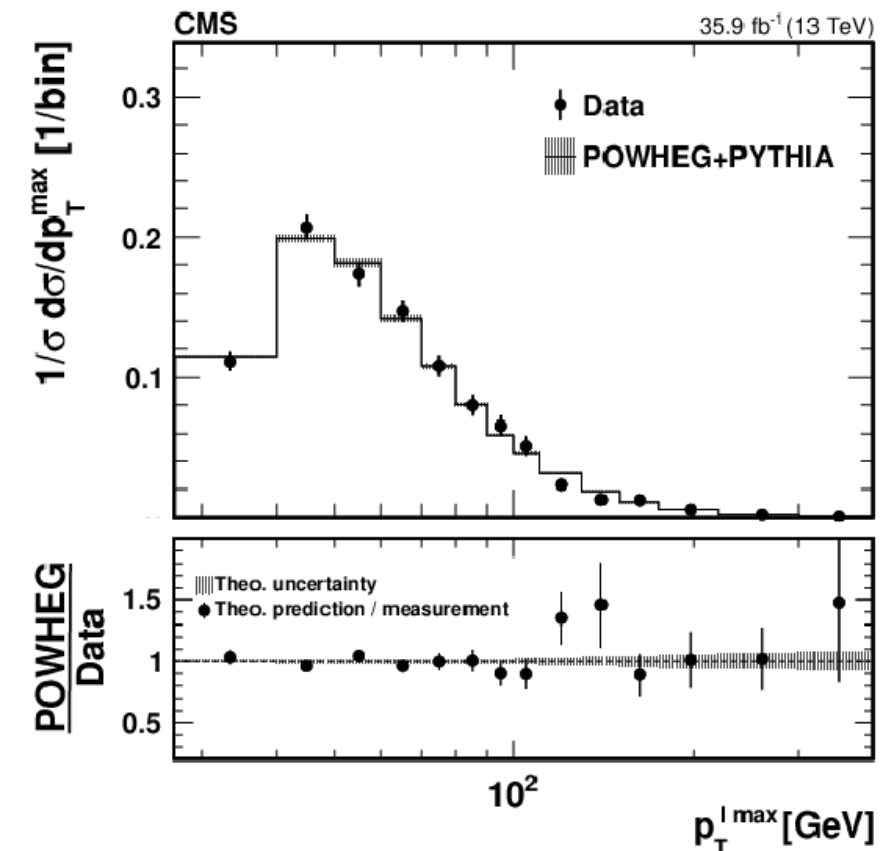
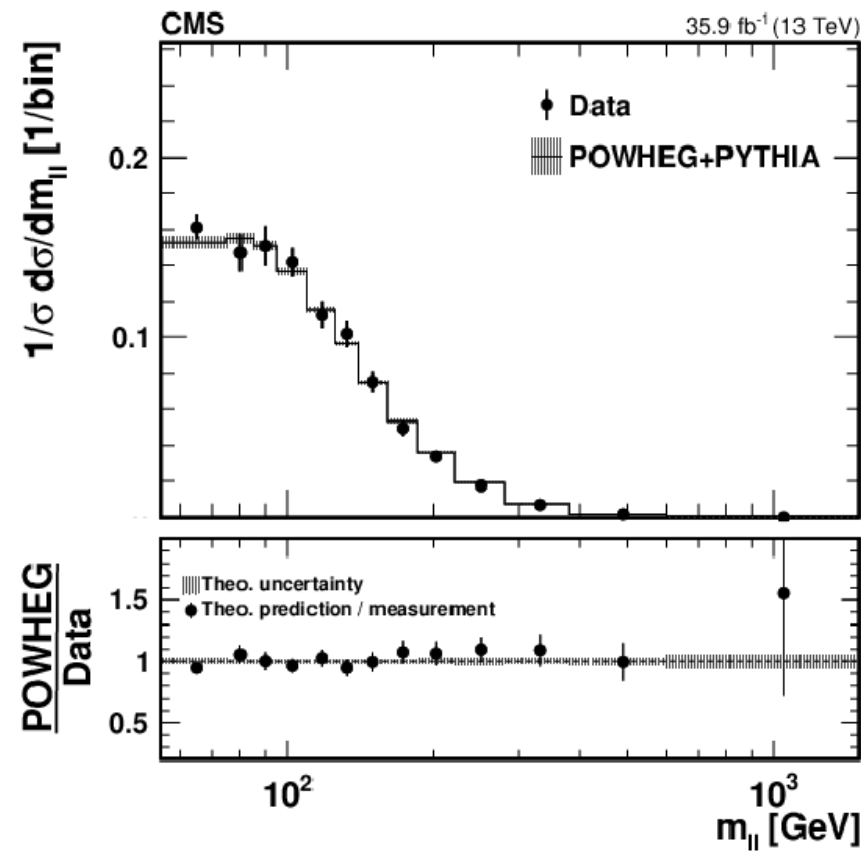
$p_T$ threshold (GeV)	Signal strength	Cross section (pb)
25	$1.091 \pm 0.073$	$0.836 \pm 0.056$
30	$1.054 \pm 0.065$	$0.892 \pm 0.055$
35	$1.020 \pm 0.060$	$0.932 \pm 0.055$
45	$0.993 \pm 0.057$	$1.011 \pm 0.058$
60	$0.985 \pm 0.059$	$1.118 \pm 0.067$

## Fid WW+0 AK4 gen jets jet pT thres. varied



# Normalized differential Xsecs

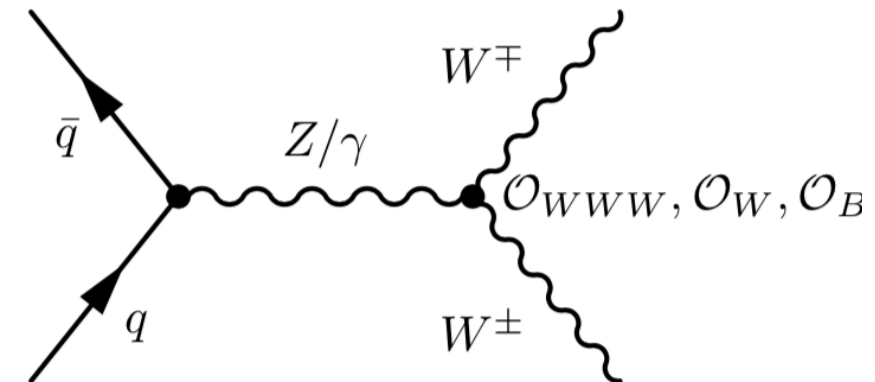
- Differential cross section measurement in  $m_{\ell\ell}$ ,  $p_{T\ell1}$ ,  $p_{T\ell2}$ ,  $\Delta\phi_{\ell\ell}$  bins
- Using the same fiducial definition
- Approach:** several signal strengths (bins categorized at GEN level) are fitted in RECO bins
  - The simultaneous fit to all bins in a given histogram takes all the correlations into account



# Limits on Wilson coefficients

- In the electroweak sector of the SM, the first higher-dimensional operators containing only massive boson fields are dimension-6

- Set limits on the 3 corresponding coefficients affecting WW production: **EFT effects simulated with Madgraph5 aMC@NLO**

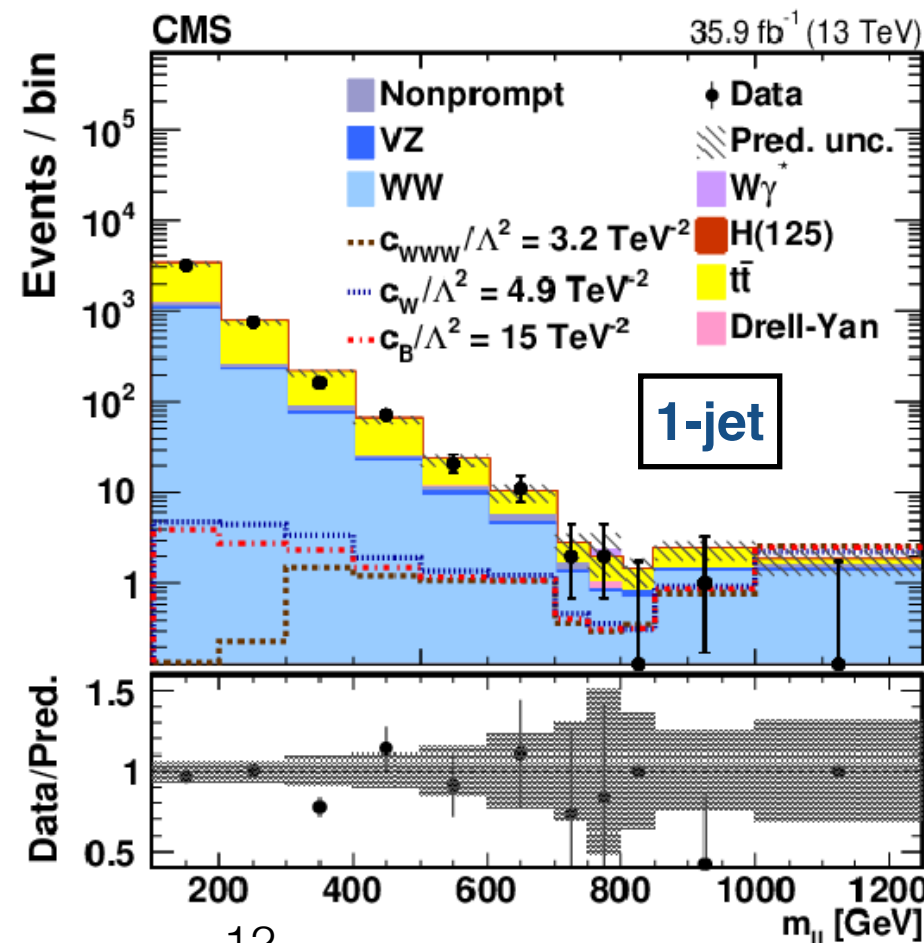
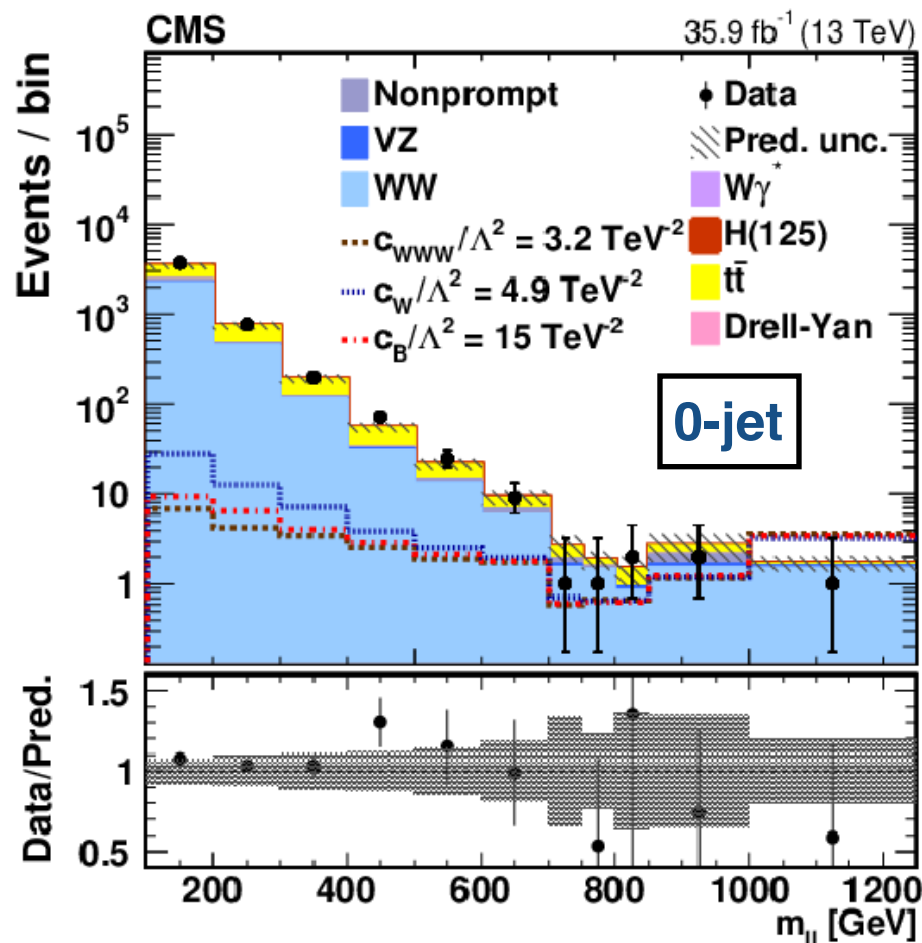


$$\mathcal{O}_{WWW} = \frac{c_{WWW}}{\Lambda^2} W_{\mu\nu} W^{\nu\rho} W_{\rho}{}^{\mu},$$

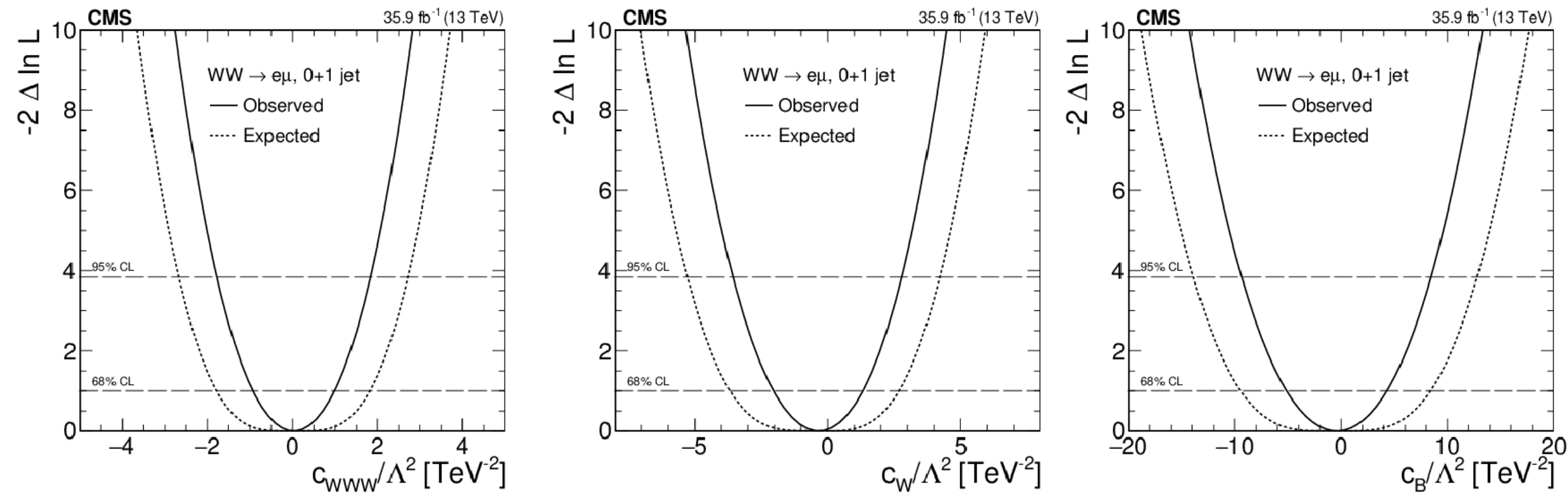
$$\mathcal{O}_W = \frac{c_W}{\Lambda^2} (D^\mu \Phi)^\dagger W_{\mu\nu} (D^\nu \Phi),$$

$$\mathcal{O}_B = \frac{c_B}{\Lambda^2} (D^\mu \Phi)^\dagger B_{\mu\nu} (D^\nu \Phi),$$

**Used ep final state from the sequential analysis**



# Limits on Wilson coefficients



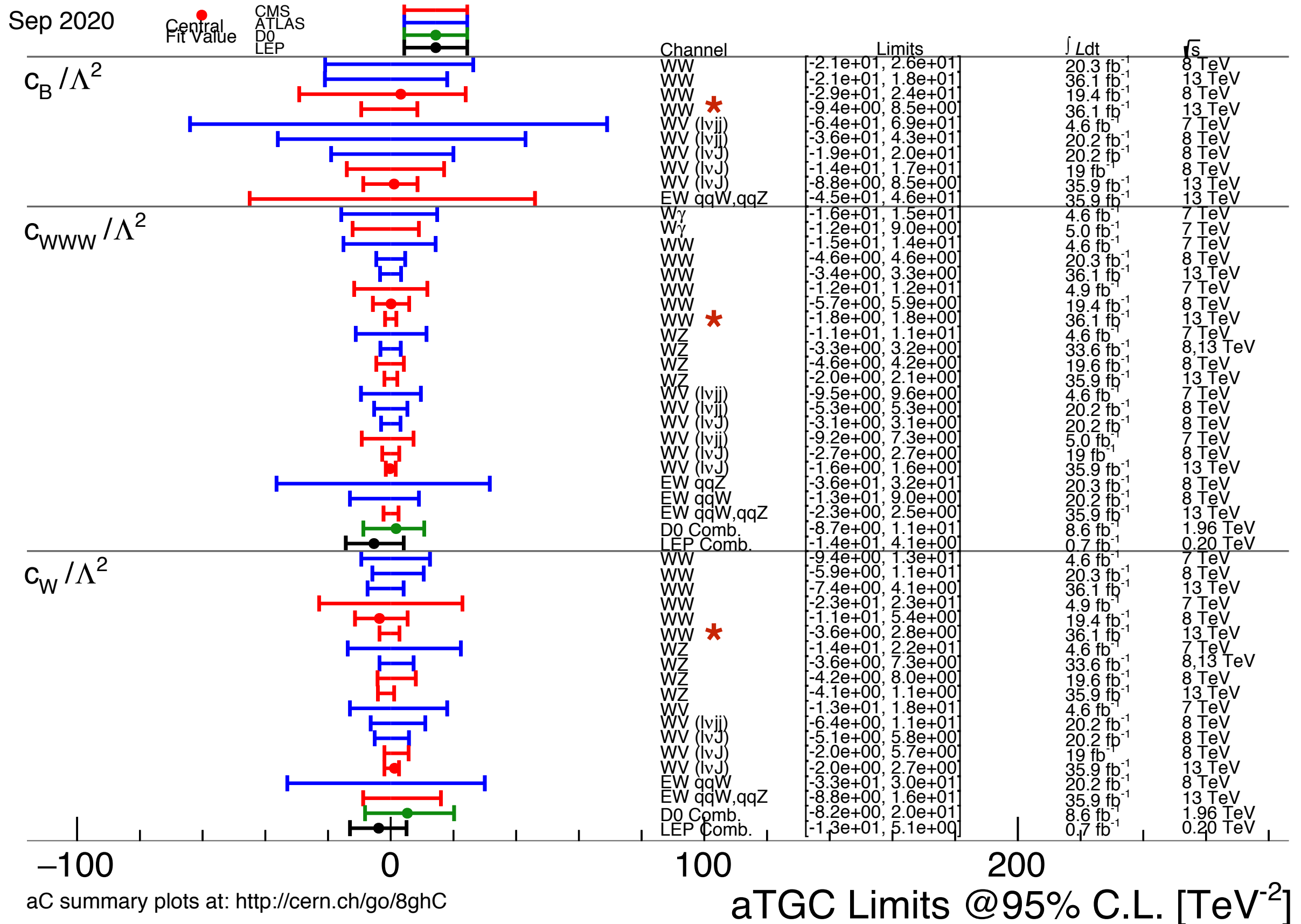
Coefficients ( $\text{TeV}^{-2}$ )	68% confidence interval		95% confidence interval	
	expected	observed	expected	observed
$c_{WWW}/\Lambda^2$	$[-1.8, 1.8]$	$[-0.93, 0.99]$	$[-2.7, 2.7]$	$[-1.8, 1.8]$
$c_W/\Lambda^2$	$[-3.7, 2.7]$	$[-2.0, 1.3]$	$[-5.3, 4.2]$	$[-3.6, 2.8]$
$c_B/\Lambda^2$	$[-9.4, 8.4]$	$[-5.1, 4.3]$	$[-14, 13]$	$[-9.4, 8.5]$

W.r.t. Run-I (Observed): [arXiv:1507.03268](https://arxiv.org/abs/1507.03268)

Coupling constant	This result	Its 95% CL interval
	( $\text{TeV}^{-2}$ )	( $\text{TeV}^{-2}$ )
$c_{WWW}/\Lambda^2$	$0.1^{+3.2}_{-3.2}$	$[-5.7, 5.9]$
$c_W/\Lambda^2$	$-3.6^{+5.0}_{-4.5}$	$[-11.4, 5.4]$
$c_B/\Lambda^2$	$-3.2^{+15.0}_{-14.5}$	$[-29.2, 23.9]$



# Limits on Wilson coefficients



# Summary & plans

- The WW production at 13 TeV results using the CMS full 2016 dataset experiment have been shown, including:
  - Total WW cross-section measurement
  - Fiducial & differential cross-section measurements
  - Limits on Wilson coefficients
- **Future plans of the analysis:**
  - Differential + aTGCs analysis using the full Run2 dataset (2016+2017+2018 CMS data)

# Backup

# Postfit yields

Process	Sequential Cut				Random Forest	
	DF	SF	DF	SF	DF	SF
Top quark	2110 ± 110	5000 ± 120	1202 ± 66	2211 ± 69	3450 ± 340	830 ± 82
Drell–Yan	129 ± 10	498 ± 38	1230 ± 260	285 ± 86	1360 ± 130	692 ± 72
VZ	227 ± 13	270 ± 12	192 ± 12	110 ± 7	279 ± 29	139 ± 10
V V V	11 ± 1	29 ± 2	4 ± 1	6 ± 1	13 ± 4	3 ± 2
H → W <sup>+</sup> W <sup>−</sup>	269 ± 41	150 ± 25	50 ± 2	27 ± 1	241 ± 26	90 ± 10
Wγ <sup>(*)</sup>	147 ± 17	136 ± 13	123 ± 5	58 ± 6	305 ± 88	20 ± 6
Nonprompt leptons	980 ± 230	550 ± 120	153 ± 39	127 ± 32	940 ± 300	183 ± 59
Total background	3870 ± 260	6640 ± 180	2950 ± 270	2820 ± 120		
	10 510 ± 310		5780 ± 300		6600 ± 480	1960 ± 120
q $\bar{q}$ → W <sup>+</sup> W <sup>−</sup>	6430 ± 250	2530 ± 140	2500 ± 180	1018 ± 71	12 070 ± 770	2820 ± 180
gg → W <sup>+</sup> W <sup>−</sup>	521 ± 66	291 ± 38	228 ± 32	117 ± 15	693 ± 44	276 ± 17
Total W <sup>+</sup> W <sup>−</sup>	6950 ± 260	2820 ± 150	2730 ± 190	1136 ± 72		
	9780 ± 300		3860 ± 200		12 770 ± 820	3100 ± 200
Total yield	10 820 ± 360	9460 ± 240	5680 ± 330	3960 ± 360		
	20 280 ± 430		9640 ± 490		19 360 ± 950	5060 ± 240
Purity	0.64	0.30	0.48	0.29		
		0.48		0.40	0.66	0.61
Observed	10 866	9404	5690	3914	19 418	5210

# Rel. syst. uncertainties in total Xsec

## SEQ analysis: combined SRs + TopCRs

Uncertainty source	(%)
Statistical	1.2
$t\bar{t}$ normalization	2.0
Drell–Yan normalization	1.4
$W\gamma^*$ normalization	0.4
Nonprompt leptons normalization	1.9
Lepton efficiencies	2.1
b tagging (b/c)	0.4
Mistag rate (q/g)	1.0
Jet energy scale and resolution	2.3
Pileup	0.4
Simulation and data control regions sample size	1.0
Total experimental systematic	4.6
QCD factorization and renormalization scales	0.4
Higher-order QCD corrections and $p_T^{WW}$ distribution	1.4
PDF and $\alpha_S$	0.4
Underlying event modeling	0.5
Total theoretical systematic	1.6
Integrated luminosity	2.7
Total	5.7



# Jet multiplicity measurement

- Relaxed cut on  $S_{tt}$  ( $S_{tt} > 0.2$ ) to increase the efficiency for WW events with jets

## Efficiency for RF selection w.r.t. preselection

Number of jets	0	1	$\geq 2$
Efficiency	$0.555 \pm 0.003$	$0.448 \pm 0.004$	$0.290 \pm 0.004$

- Unfolding:** Gen jets reconstructed from stable gen particles excluding neutrinos with  $p_{Tj} > 30$  GeV and  $|\eta| < 2.4$ , separated from leptons by  $\Delta R > 0.4$ 
  - Reconstructed and generated jets are said to match if  $\Delta R_{\text{gen, reco}} < 0.4$

$$\mathbf{R}_{\text{PU}} = \begin{pmatrix} 0.986 & 0 & 0 \\ 0.013 & 0.985 & 0 \\ 0.001 & 0.015 & 1 \end{pmatrix}$$

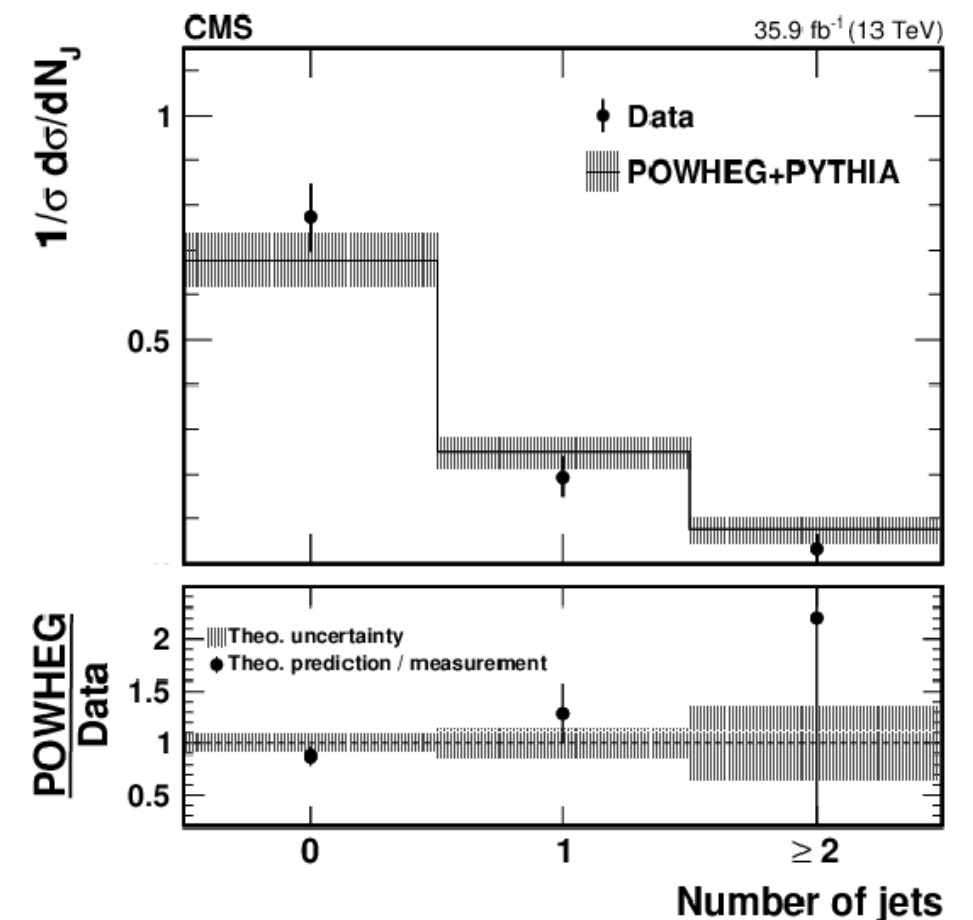
## Total relative uncert.

$$\begin{pmatrix} 0.011 & 0.193 & 0.374 \\ 0.210 & 0.007 & 0.140 \\ 0.305 & 0.181 & 0.015 \end{pmatrix}$$

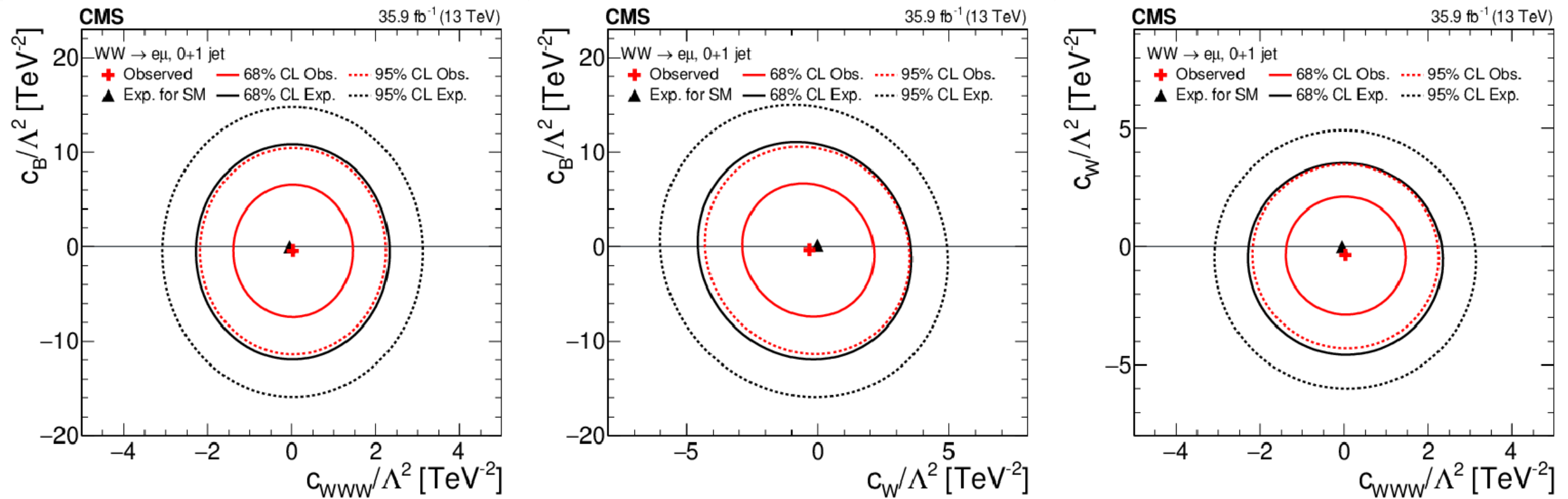
## Fraction of events

Number of jets	0	1	$\geq 2$
Before unfolding	$0.795 \pm 0.007 \pm 0.053$	$0.180 \pm 0.006 \pm 0.039$	$0.025 \pm 0.005 \pm 0.018$
After unfolding	$0.773 \pm 0.008 \pm 0.075$	$0.193 \pm 0.007 \pm 0.043$	$0.034 \pm 0.006 \pm 0.033$
Predicted	$0.677 \pm 0.007 \pm 0.058$	$0.248 \pm 0.007 \pm 0.033$	$0.075 \pm 0.006 \pm 0.026$

$$\begin{aligned} \vec{v} &= R_{\text{PU}} R_{\text{DET}} \vec{t} \\ \vec{u} &= R_{\text{PU}}^{-1} R_{\text{DET}}^{-1} \vec{v} \\ \vec{\omega} &= \vec{u} / |\vec{u}| \end{aligned}$$



# Limits on Wilson coefficients



W.r.t. Run-I results: [arXiv:1507.03268](https://arxiv.org/abs/1507.03268)

