



Recent $H \rightarrow WW$ results using CMS data

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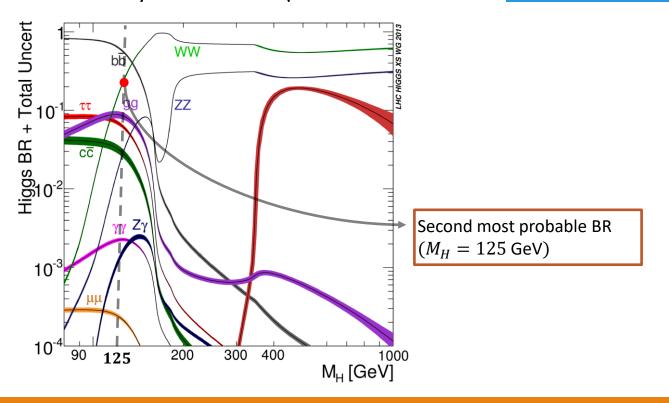
On behalf of the CMS Collaboration



Introduction



- The H → WW decay channel is suitable for measuring rare Higgs production modes and differential cross sections
- Several Higgs boson's properties in this channel have been extensively studied with $\sqrt{s}=13$ TeV results in CMS-HIG-16-042



RESULTS COVERED



CMS-PAS-HIG-19-017

Measurement of Higgs boson production in association with a W or Z boson in the H → WW decay channel

CMS-HIG-19-002

• Measurements of differential Higgs boson production cross sections in the leptonic WW decay mode at $\sqrt{s}=13$ TeV

HIG-19-017



Motivation



- HIG-19-017 targets the $VH(H \to W^+W^-)$ production mode, also known as Higgs-stralhung, where only associated vector bosons decaying leptonically are considered $(V \to leptons)$
- This process provides a direct probe to measure the coupling of the Higgs boson to vector bosons and is
 particularly sensitive to possible BSM operators, thus being an important test for the SM Higgs sector
- For the extreme rarity of this channel, the analysis benefits from the use of the full Run2 CMS data set (137 fb^{-1}) although the error on this measurement is still dominated by the statistical component



Main backgrounds



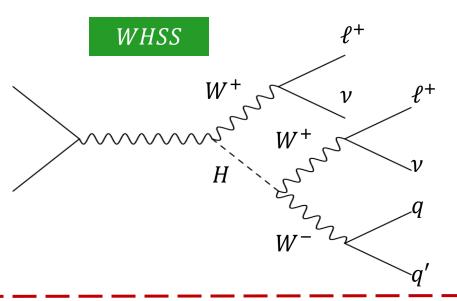
- Background contamination strongly depends on the considered final state, defined by different number of leptons and jets
- Di-boson production mechanisms such as WZ, $Z\gamma(Z\gamma^*)$ and ZZ are directly measured in data through dedicated control regions
- Non prompt leptons are also estimated from data, applying a transfer function to loose leptons that do not pass the tight isolation and identification criteria of the analysis. Such events mainly originate from leptonic decays of heavy hadrons and are primarily due to:
 - $\Leftrightarrow W + jets$ in 2ℓ channel
 - \star Z + jets in 3ℓ channel
 - Negligible in 4ℓ channel
- Other minor backgrounds $(t\bar{t} + tW, DY, WW, VVV ...)$ are estimated from MC simulation

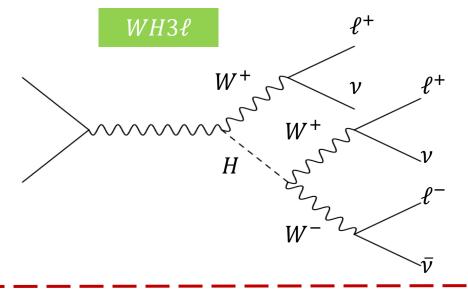


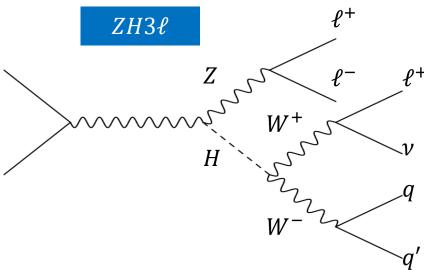
DEGLI STUDI FIRENZE Analysis strategy — Inclusive XSec

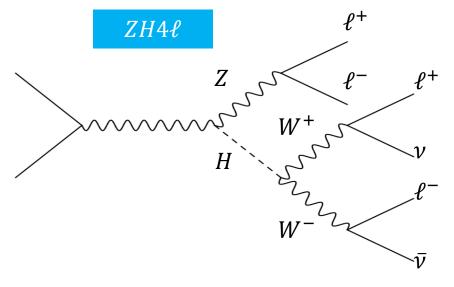


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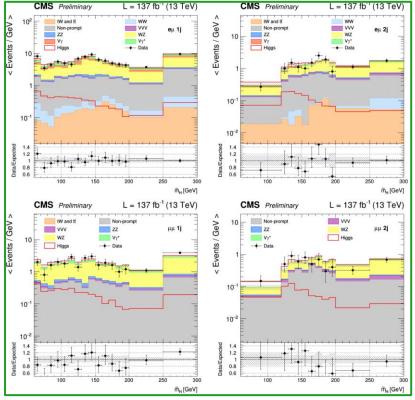






Signal extraction — Inclusive XSec





$WH3\ell$

An MVA discriminant is fitted in the SR, trained from a BDT algorithm

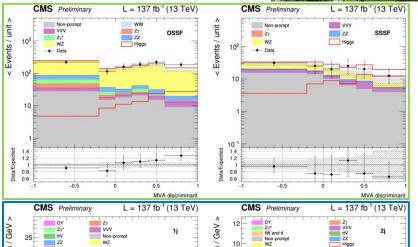
*ZH*3ℓ

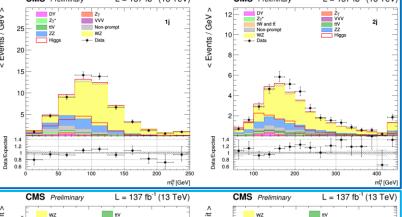
$$m_T^H \equiv m_T (p_\ell + p_T^{miss}, p_{j(j)})$$

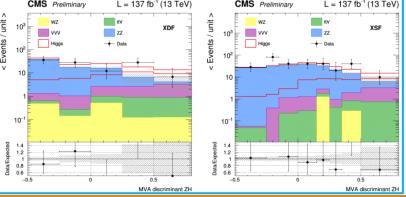
 It represents the transverse mass between the 2 W bosons, i.e. is the Higgs transverse mass

ZH4ℓ

An *MVA* discriminant is fitted in the SR, trained from a BDT algorithm







WHSS

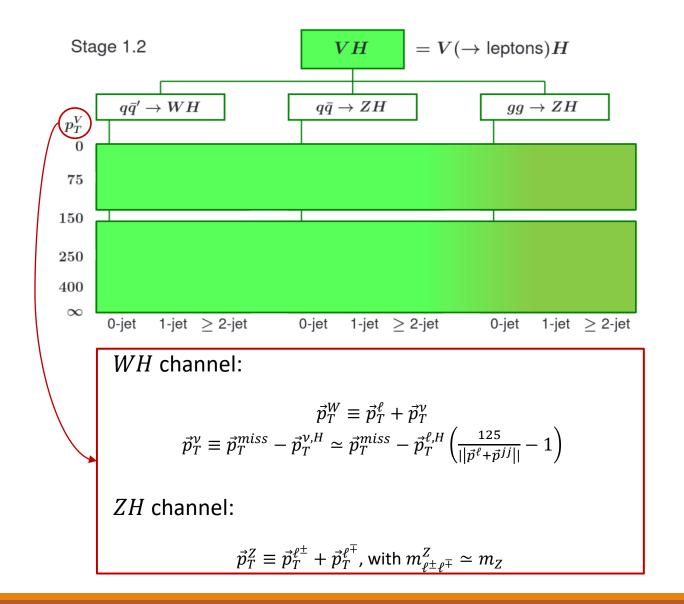
$$\widetilde{m}_H^2 \equiv (p_{jj} + 2p_\ell)^\mu (p_{jj} + 2p_\ell)_\mu$$

• It serves as a proxy of the Higgs mass $(2p_\ell \text{ mimics } p_\ell + p_
u)$



Signal extraction – STXS





- The STXS framework has been developed as an evolution of signal strength measurements
- Its purpose is to provide pre-defined kinematic bins to measure Higgs production modes and reduce theoretical uncertainties
- In the VH(H → WW) analysis only two bins have been considered due to the limited statistical precision of this channel

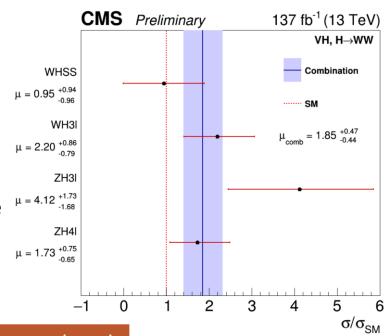
The fit procedure used to extract the STXS binned cross section uses same background CRs, SR categorization and discriminating observables as those of the inclusive measurement

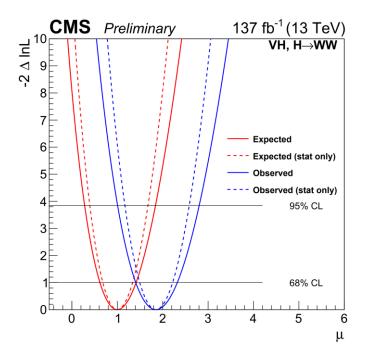


Results – Inclusive XSec



- The signal strength modifier $\hat{\mu}$ is extracted by a simultaneous fit to all categories
- The fit assumes that the relative rates of the different Higgs boson's production mechanisms are as the SM ones
- An additional fit has been performed scaling the signal process with a different signal strength modifier in each category





	$\hat{\mu}$	Significance (exp.)
WHSS	$0.95^{+0.94}_{-0.96}$	$1.0~\sigma~(1.1~\sigma)$
WH3ℓ	$2.20^{+0.86}_{-0.79}$	$3.0 \sigma (1.6 \sigma)$
ZH3ℓ	$4.12^{+1.73}_{-1.68}$	$2.5 \sigma (0.6 \sigma)$
ZH4ℓ	$1.73^{+0.75}_{-0.65}$	$3.1 \sigma (2.1 \sigma)$
Combination	$1.85^{+0.47}_{-0.44}$	$4.7 \sigma (2.8 \sigma)$

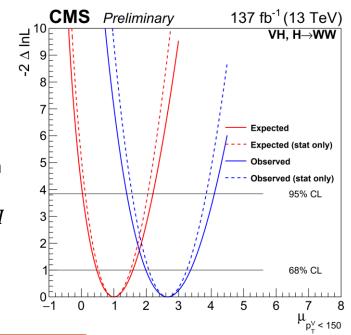
$$\hat{\mu} = 1.85^{+0.33}_{-0.32}(stat)^{+0.27}_{-0.25}(exp)^{+0.10}_{-0.07}(theo)$$

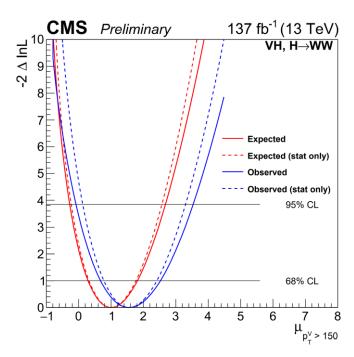


Results – STXS



- The signal strength modifier $\hat{\mu}$ is extracted by a simultaneous fit to all categories
- All production modes are scaled together in the $H \to WW$ channel assuming SM relative rates, while the $H \to \tau\tau$ decay is kept fixed to its SM cross section
- An additional fit has been performed scaling each VH production mode with a different signal strength modifier in each $p_T^V \gtrsim 150~{\rm GeV}$ bin





	$\hat{\mu}$	Significance (exp.)
$WH p_T^W < 150 \text{ GeV}$	$1.5^{+1.0}_{-0.9}$	$1.64 \sigma (1.24 \sigma)$
$WH p_T^W > 150 \text{ GeV}$	$3.6^{+1.8}_{-1.6}$	$2.23 \sigma (0.83 \sigma)$
$ZH \ p_T^Z < 150 \ \mathrm{GeV}$	$3.4^{+1.1}_{-1.6}$	$4.37 \sigma (1.59 \sigma)$
$ZH \ p_T^Z > 150 \ \mathrm{GeV}$	$0.8^{+1.2}_{-0.9}$	$0.83 \sigma (1.18 \sigma)$

$$\hat{\mu}_{p_{\mathrm{T}}^{\mathrm{V}} < 150 GeV}^{\mathrm{V}} = 2.65_{-0.55}^{+0.57} (stat)_{-0.32}^{+0.38} (exp)_{-0.07}^{+0.08} (theo)$$

$$\hat{\mu}_{p_{\mathrm{T}}^{\mathrm{V}} > 150 GeV}^{\mathrm{V}} = 1.56_{-0.77}^{+0.85} (stat)_{-0.40}^{+0.43} (exp)_{-0.09}^{+0.11} (theo)$$

HIG-19-002



Motivation

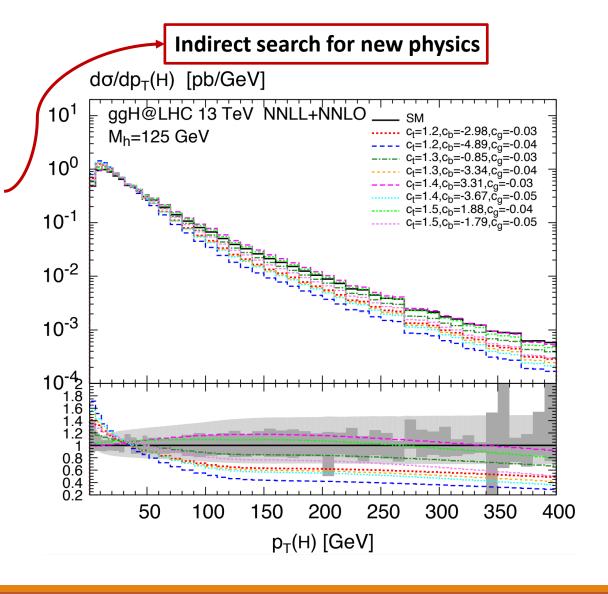
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- **Differential production cross section** for the Higgs boson can be predicted theoretically with high precision, such as $\frac{d\sigma}{dp_T^H}$ and $\frac{d\sigma}{dN_{jets}}$
- Variations of the Higgs boson's couplings to quarks and to other gauge bosons w.r.t. SM values may be observed through p_T^H spectrum
- $\sigma(pp \to H + N_{jets})$ is sensitive to relative contributions from different production modes per jet bin

H → WW has enough statistics to tackle all the main production mechanisms

Signal candidates are binned in p_T^H and N_{jets} , which are referred to as **differential basis observables**



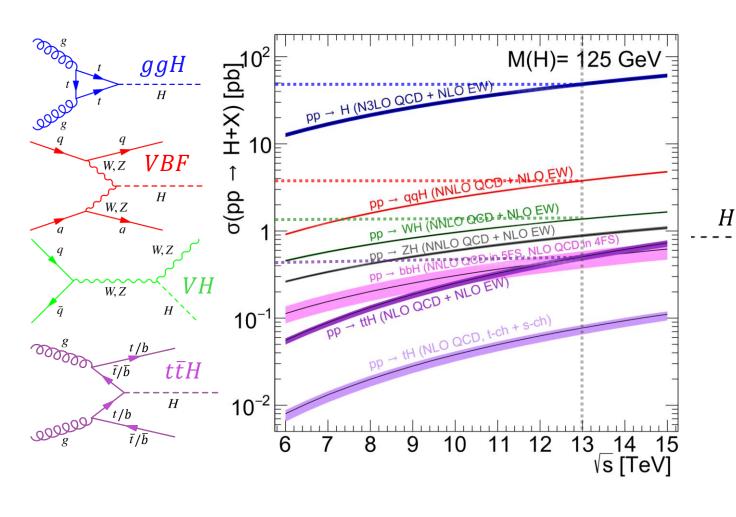


$H \rightarrow WW \rightarrow 2\ell 2\nu$

 W^{-}



• Fully leptonic final state is the cleanest channel to detect the Higgs signal



2 isolated leptons $(e\mu)$ with opposite charge

Leptons emitted close to each other (spin correlation with the Higgs boson)

Large signal yield and relatively clean signature

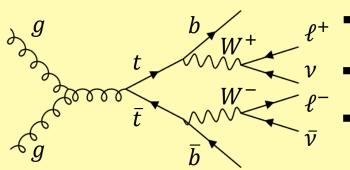
 MET presence due to the escaping neutrinos (Higgs mass is not reconstructed)



Main backgrounds

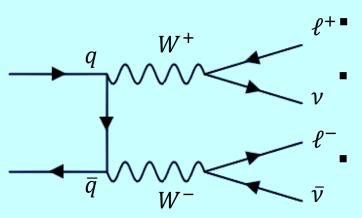






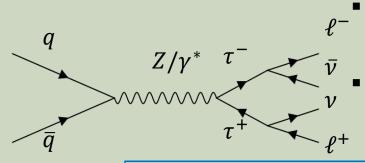
- Large cross section
- b-veto applied
- Normalization taken from data

Non-resonant W⁺W⁻



- No spin correlation
- Different kinematics
- Normalization taken from data

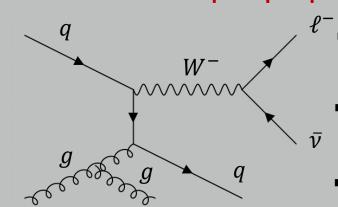
Drell-Yan $au^+ au^-$



- Suppresed with $m_T > 60$ GeV (*)
- Normalization taken from data

$$(*) \ m_T = \sqrt{2 p_T^{\ell\ell} p_T^{miss} [1 - \cos\!\Delta\phi(\overrightarrow{p}_T^{\ell\ell}, \overrightarrow{p}_T^{miss})]}$$

Non-prompt leptons



- Jet misidentified as a lepton
- Mainly comes from W + jets events
- Fake rate estimated from data



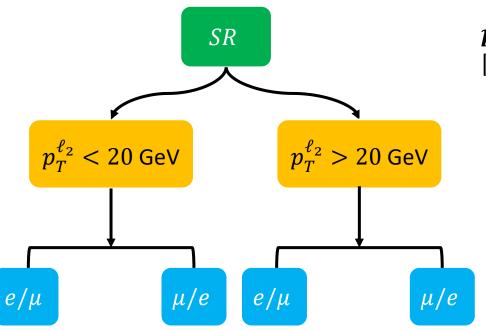
Analysis strategy



PRE-SELECTION:

- 2 opposite charged leptons $(e\mu)$ $p_T^{\ell_1} > 25$ GeV, $p_T^{\ell_2} > 13$ GeV
- $p_T^{miss} > 20$ GeV, $p_T^{\ell\ell} > 30$ GeV
- Third lepton veto
- Signal candidates are selected applying the pre-selection and further cuts on top of that
 - $m_T > 60 \text{ GeV}$
- $m_T^{\ell_2} > 30 \text{ GeV}$
- No b-tagged jets

All Higgs production modes are regarded as signal processes



 N_{jets} binning: $[0, 1, 2, 3, \ge 4]$

 p_T^H binning: $[0, 20, 45, 80, 120, 200, \infty]$

Categories are merged in high p_T^H and N_{jets} bins due to lack of statistics



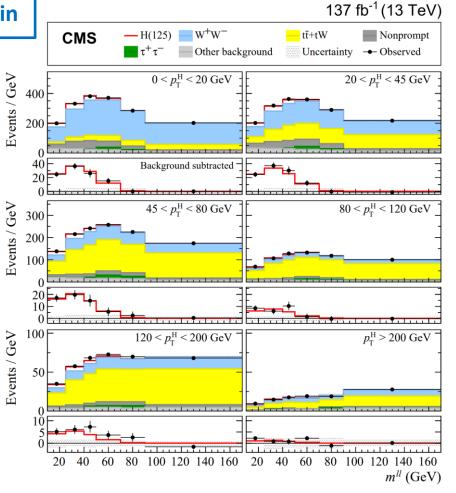
Post-fit distributions

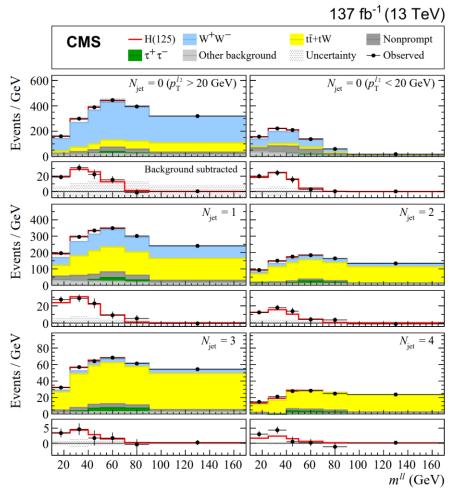


2D $(m_{\ell\ell}, m_T)$ histrogram is fitted to data in each N_{jets} and p_T^H bin

• $m_{\ell\ell}$ distribution is obtained integrating over all the m_T range and combining full Run2 dataset

 The Higgs signal is measured in a fiducial phase space, defined with a similar selection as the one of the ggH-tagged category







Correlation matrices

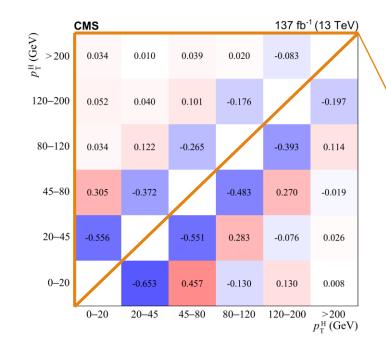


- These matrices quantify correlation between signal strength modifiers in the fiducial phase space
- Each element has been extracted by the fit to the full Run2 combined data sets (integrated luminosity 137 fb⁻¹)

REGULARIZATION TERM

$$\mathcal{K}(\vec{\mu}) = \prod_{i} \exp\left(-\frac{[(\mu_{i+1} - \mu_i)^2 - (\mu_i - \mu_{i-1})^2]}{2\delta^2}\right)$$

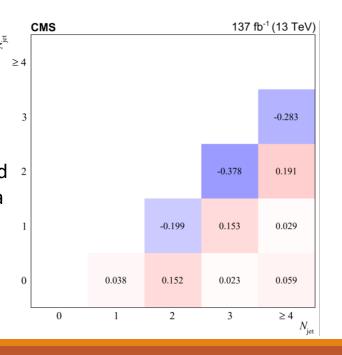
• δ is called the **regularization strength** parameter and controls the intensity of the regularization procedure



No regularization has been applied 2 to the N_{jet} distribution since it is a discrete variable

The p_T^H correlation matrix above the diagonal shows the effect of the regularization procedure: $\delta = 2.50$ has been found minimizing the mean of the global

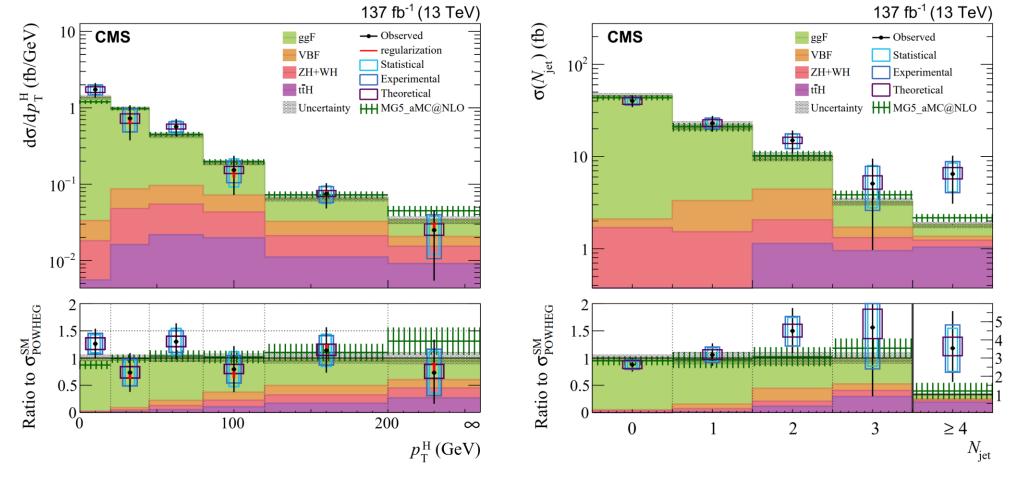
correlation coefficient





Results – unfolded distributions





$$\mu^{\rm fid} = 1.05 \pm 0.12 \, \left(\pm 0.05 ({\rm stat}) \pm 0.07 ({\rm exp}) \pm 0.01 ({\rm signal}) \pm 0.07 ({\rm bkg}) \pm 0.03 ({\rm lumi}) \right)$$
 $\sigma^{\rm fid} = 86.5 \pm 9.5 \, {\rm fb}$ consistent with $\sigma^{\rm SM} = 82.5 \pm 4.2 \, {\rm fb}$



Conclusions



- The $H \rightarrow WW$ decay channel led to important results using Run2 CMS data
- **Higgs boson production in association with either a W or Z boson** has been measured, quoting both the **inclusive cross section** (as a signal strength modifier) and the **STXS measurement**
- Results are consistent with SM predictions within 2σ
- **Differential cross section measurement** w.r.t. Higgs transverse momentum and number of associated hadronic jets have been performed
- **Signal strength modifiers** have been measured at generator level using a regularized unfolding procedure and no deviations from SM have been observed
- More analyses are currently ongoing and brand new results with full Run2 CMS data are expected to give more insight on the Higgs boson properties

BACKUP



VH strategy – Inclusive XSec



$WH \rightarrow 2\ell 2\nu qq (WHSS)$

$$\begin{split} p_T^{\ell_1} > 25 \; \text{GeV} \; ; p_T^{\ell_2} > 20 \; \text{GeV} \; ; p_T^{\ell_3} < 10 \; \text{GeV} \\ m_{\ell\ell} > 12 \; \text{GeV} \; ; \; \Delta \eta_{\ell\ell} < 2 \\ b - jet \; veto \\ p_T^{miss} > 30 \; \text{GeV} \; ; \; \widetilde{m}_H > 50 \; \text{GeV} \end{split}$$

1j eμ SR	\mathcal{Y}	≥ 2j eµ SR	\Box
$1 jet p_T > 30 \mathrm{GeV}$		≥ 2 jets $p_T > 30$ GeV	
		$m_{jj} < 100~{ m GeV}$	
	\bot		
1 <i>j μμ SR</i>	$\overline{}$	≥ 2 <i>j μμ SR</i>	
-) - -		= 2) pipi BIT	
$1 \text{ jet } p^T > 30 \text{ GeV}$		$\geq 2 \text{ jets } p^T > 30 \text{ GeV}$	

$WH \rightarrow 3\ell 3\nu (WH3\ell)$

$$\begin{split} p_T^{\ell_1} > 25 \text{ GeV} \; ; p_T^{\ell_2} > 20 \text{ GeV} \; ; p_T^{\ell_3} > 15 \text{ GeV} \; ; p_T^{\ell_4} < 10 \text{ GeV} \\ q(\ell\ell\ell) = \pm 1 \; ; \min(m_{\ell\ell}) > 12 \text{ GeV} \\ b - jet \; veto \\ no \; jet \; with \; p^T > 30 \text{ GeV} \end{split}$$

$\ell^+\ell^-$ SF SR	$\overline{}$	$\ell^{\pm}\ell^{\pm}$ SF SR	
$ m_{\ell\ell}-m_Z >20~{ m GeV}$			
$p_T^{miss} > 40~{ m GeV}$			
	人		

0j WZ CR

 $\ell^+\ell^-pair$; $|m_{\ell\ell}-m_Z|<20~{
m GeV}$ $\ell^+\ell^ p_T^{miss}>45~{
m GeV}$ $m_{\ell\ell\ell}>100~{
m GeV}$

 $Z\gamma \ CR$ $\ell^+\ell^-pair \; ; \; |m_{\ell\ell}-m_Z| < 20 \; {
m GeV}$ $p_T^{miss} < 40 \; {
m GeV}$ $q_{\ell\ell} < 100 \; {
m GeV}$



VH strategy – Inclusive XSec



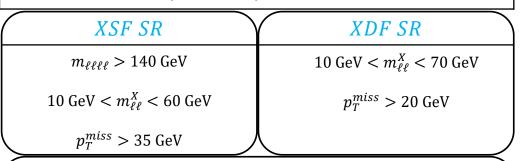
$ZH \rightarrow 3\ell\nu qq (ZH3\ell)$

$$\begin{split} p_T^{\ell_1} > 25 \text{ GeV} \; ; \; p_T^{\ell_2} > 20 \text{ GeV} \; ; \; p_T^{\ell_3} > 15 \text{ GeV} \; ; \; p_T^{\ell_4} < 10 \text{ GeV} \\ q(\ell\ell\ell) = \pm 1 \; ; \min(m_{\ell\ell}) > 12 \text{ GeV} \\ b - jet \; veto \\ |m_{\ell\ell} - m_Z| < 25 \text{ GeV} \; ; |m_{\ell\ell\ell} - m_Z| > 20 \text{ GeV} \end{split}$$

1j SR	$\geq 2j SR$
1 jet $p_T > 30 \text{ GeV}$	≥ 2 jets $p_T > 30$ GeV
$\Delta\phi(W,j(j)) < \pi/2$	$\Delta\phi(W,j(j)) < \pi/2$
1j WZ CR	$\geq 2j WZ CR$
$1j WZ CR$ $1 jet p_T > 30 GeV$	$ \geq 2j \ WZ \ CR $ $ \geq 2 \ jets \ p_T > 30 \ GeV $

$ZH \rightarrow 4\ell 2\nu (ZH4\ell)$

$$\begin{split} p_T^{\ell_1} > & 25 \text{ GeV} \; ; \; p_T^{\ell_2} > 20 \text{ GeV} \; ; \; p_T^{\ell_3}, p_T^{\ell_4} > 10 \text{ GeV} \; ; p_T^{\ell_5} < 10 \text{ GeV} \\ q(\ell\ell\ell\ell) = 0 \; ; \min(m_{\ell\ell}) > 12 \text{ GeV} \\ b - jet \; veto \\ & \left| m_{\ell\ell}^Z - m_Z \right| < 15 \text{ GeV} \end{split}$$



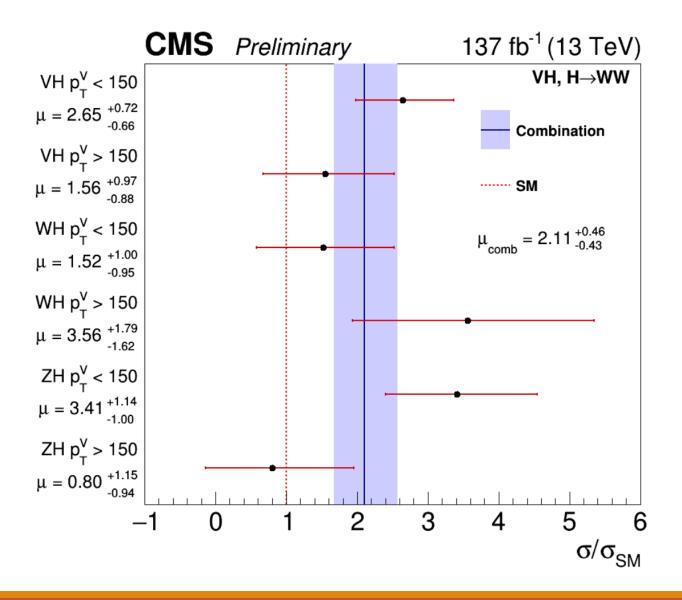
ZZ CR

75 GeV
$$< m_{\ell\ell}^X <$$
 105 GeV
$$p_T^{miss} <$$
 35 GeV



VH analysis - STXS results







Signal composition — VH analysis



Different signal composition in each category

$$WH(H \rightarrow WW) + WH(H \rightarrow \tau\tau) \simeq 95\%$$

 $ZH(H \rightarrow WW) + ZH(H \rightarrow \tau\tau) \simeq 5\%$
 $other < 1\%$

$$WH(H \rightarrow WW) + WH(H \rightarrow \tau\tau) \simeq 60\%$$

 $ZH(H \rightarrow WW) + ZH(H \rightarrow \tau\tau) \simeq 40\%$
 $other \ll 1\%$

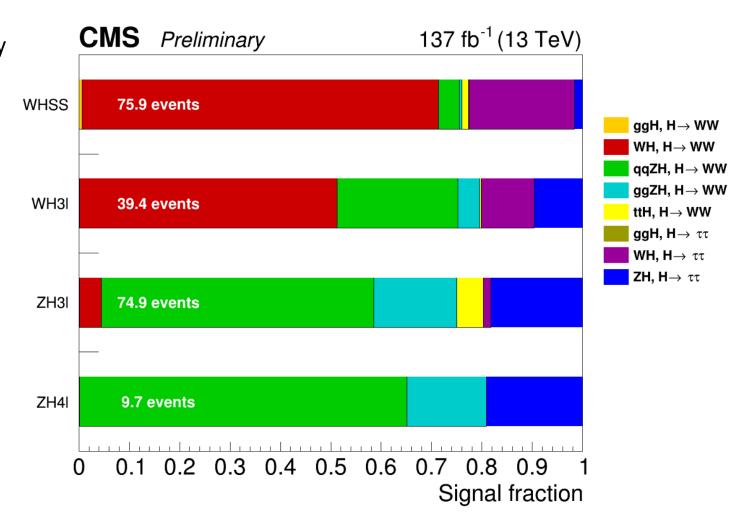
$$WH(H \rightarrow WW) + WH(H \rightarrow \tau\tau) \simeq 5\%$$

 $ZH(H \rightarrow WW) + ZH(H \rightarrow \tau\tau) \simeq 90\%$
 $other \simeq 5\%$

$$WH(H \rightarrow WW) + WH(H \rightarrow \tau\tau) \ll 1\%$$

 $ZH(H \rightarrow WW) + ZH(H \rightarrow \tau\tau) \simeq 100\%$
 $other \ll 1\%$

 The fit is performed scaling all production modes with a unique signal strength, i.e. assuming SM relative rates

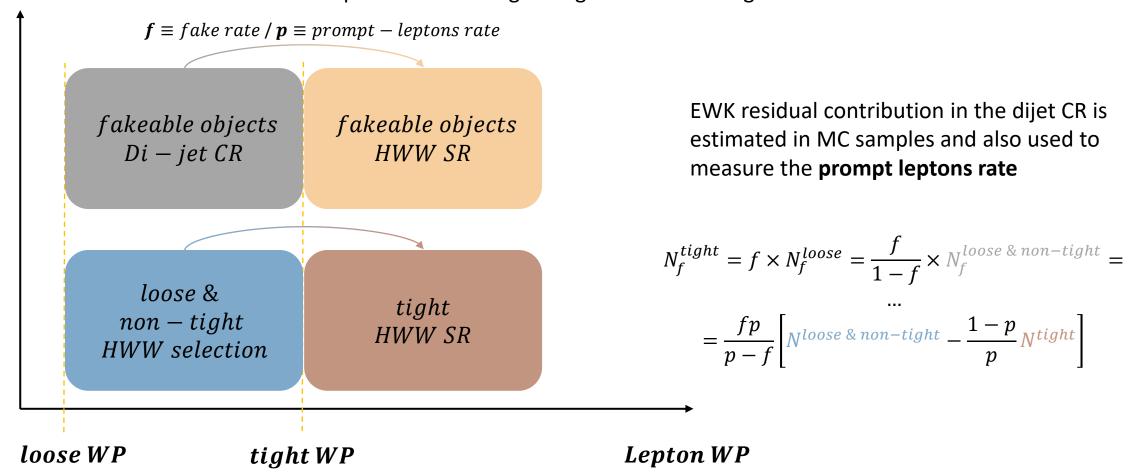




Non-prompt leptons estimation



The estimation of the non-prompt lepton contribution (or fake leptons) is based on the "fakeable object"
method data-driven technique, with no applicability restrictions: the basic idea is to estimate this background
in a dedicated CR and then extrapolate it to the signal region with the usage of a transfer function





Full differential cross sections



$p_{\mathrm{T}}^{\mathrm{H}}$	$\sigma^{ ext{SM}}$	11		F	Regulariz	zed μ			Bias	$\sigma^{ m obs}$
(GeV)	(fb)	μ	Value	stat	exp	signal	bkg	lumi	Dias	(fb)
0–20	27.45	1.37 ± 0.30	1.26 ± 0.27	± 0.17	± 0.19	± 0.01	± 0.10	± 0.03	+0.00	34.6 ± 7.5
20–45	24.76	0.52 ± 0.42	0.73 ± 0.36	± 0.24	± 0.25	± 0.01	± 0.10	± 0.03	-0.12	18.2 ± 8.9
45–80	15.28	1.55 ± 0.41	1.30 ± 0.33	± 0.24	± 0.20	± 0.03	± 0.09	± 0.03	-0.03	19.9 ± 5.2
80–120	7.72	0.49 ± 0.52	0.79 ± 0.42	± 0.32	± 0.25	± 0.02	± 0.08	± 0.03	-0.16	6.1 ± 3.3
120-200	5.26	$1.34^{+0.51}_{-0.48}$	1.14 ± 0.41	± 0.29	± 0.27	± 0.04	± 0.08	± 0.03	+0.11	6.0 ± 2.2
>200	2.05	$0.64{}^{+0.63}_{-0.60}$	$0.73^{+0.61}_{-0.57}$	± 0.38	± 0.42	$^{+0.09}_{-0.03}$	± 0.10	± 0.03	+0.19	1.5 ± 1.2
NI	$\sigma^{ ext{SM}}$				μ					$\sigma^{ m obs}$
$N_{ m jet}$	(fb)	Value	stat	ex	p s	ignal	bkg	lum	ni	(fb)
0	45.70	$0.88 \pm 0.$	13 ± 0.06	± 0	.08 =	±0.01	± 0.07	± 0.0	03 40	0.1 ± 6.0
1	21.74	1.06 ± 0.2	20 ± 0.12	± 0	.14	±0.01	± 0.08	± 0.0	03 23	3.0 ± 4.6
2	9.99	1.50 ± 0.4	$\begin{array}{cc} 40 & ^{+0.25}_{-0.28} \end{array}$	± 0	.28 =	± 0.04	± 0.11	± 0.0	03 1	5.0 ± 4.2
3	3.26	$1.56^{+1.3}_{-1.2}$	$\begin{array}{rrr} 35 & +0.89 \\ 26 & -0.71 \end{array}$	$^{+0}$		$+0.17 \\ -0.07$	$+0.29 \\ -0.19$	$^{+0.0}_{-0.0}$)7)4	$5.1^{+4.4}_{-4.1}$
≥ 4	1.83	$3.54^{+2.0}_{-1.8}$		$+1. \\ -1.$.28	$+0.40 \\ -0.20$	$+0.38 \\ -0.34$	$+0.1 \\ -0.0$		$6.5^{+3.8}_{-3.4}$



Systematic uncertainties



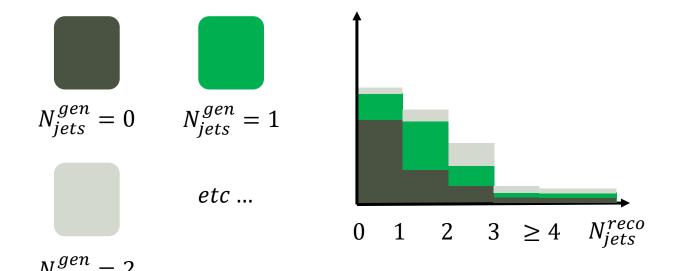
Nuisance parameter	Туре	Effect
Lepton reconstruction and identification efficency	Experimental	shape & normalization
Trigger efficiency	Experimental	shape & normalization
Integrated luminosity	Experimental	normalization
Lepton momentum scale	Experimental	shape & normalization
Jet energy scale (JES)	Experimental	shape & normalization
Unclustered energy scale	Experimental	shape & normalization
b-tagging scale factors	Experimental	shape
Pileup	Experimental	normalization
Non-prompt leptons estimation	Experimental	shape & normalization
PDF	Theoretical	normalization
Renormalization and factorization scale	Theoretical	shape & normalization
Parton shower modeling	Theoretical	shape
Underlying event modeling	Theoretical	normalization



Unfolding procedure



- MC samples are binned at gen-level in the differential basis observables
- Bins are treated as independent signal sources that might contribute in each reco-level category
- Embedding these templates within the likelihood function is equivalent fitting reco-level yields and inverting the response matrix



A **fiducial phase space** similar to the signal region is defined for gen-level samples

Signal in the *j*-th bin at reco-level is also determined by events coming from the **non-fiducial** region

Non-fiducial cross section is **scaled together** with the fiducial component, due to its large contribution



Signal extraction – Differential



- Two additional control regions are defined to contrain top and DY normalization during the fit procedure
- $m_{\ell\ell} > 50 \text{ GeV}$
- At least one b-tagged jet
- $40 \text{ GeV} < m_{\ell\ell} < 80 \text{ GeV}$
- $m_T < 60 \text{ GeV}$

• $m_{\ell\ell}$ and m_T are found to have good discrimination power between signal and background

2D template shape is fitted to data in each bin of each signal sub-category

$$\mathcal{L}_{unreg}(\vec{\mu}, \vec{\theta}) = \prod_{j=1}^{N_{bins}^{reco}} Poisson(n_j; (\vec{\mu}, \vec{\theta}) + b_j(\vec{\theta})) \cdot \mathcal{N}(\vec{\theta})$$

Regularization factor is added to penalize changes in the second derivative of μ

$$\mathcal{L}_{reg}\!\left(\vec{\mu},\vec{\theta}\right) = \mathcal{L}_{unreg}\!\left(\vec{\mu},\vec{\theta}\right) \cdot \, \mathcal{K}\!\left(\vec{\mu}\right)$$

$$\vec{\mu}$$
 = signal strength modifiers vector

$$\overrightarrow{\theta}=$$
 nuisance parameters

$$\mathcal{N}\Big(\vec{ heta}\Big) = \text{nuisance constraints}$$

$$s_{j}(\vec{\mu}, \vec{\theta}) = \sum_{i=1}^{N_{bins}^{gen}} \left[R_{ji} (\vec{\theta}) \mu_{i} L_{j} \cdot (\sigma_{i}^{fid} + \sigma_{i}^{non-fid}) \right]$$



HIG-16-042 results



35.9 fb⁻¹ (13 TeV)

SM

 $\mu_{\rm comb}$ = 1.28 $^{+0.18}_{-0.17}$

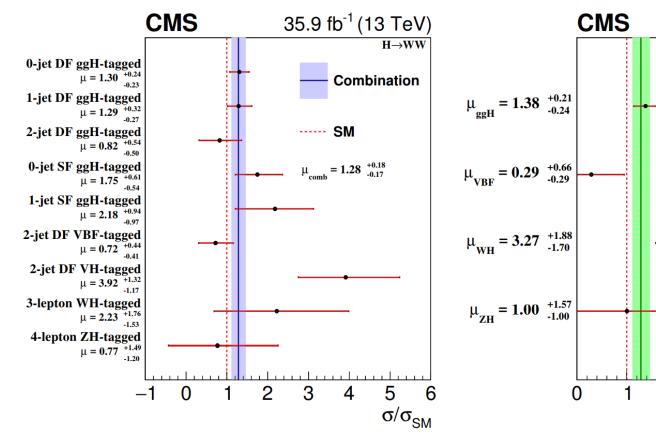
3

Combination

 $H\rightarrow WW$

 $\sigma/\sigma_{\sf SM}$

• Results obtained using the 2016 CMS data set ($\mathcal{L} = 35.9 \text{ fb}^{-1}$)



$$\mu = 1.28^{+0.18}_{-0.17} = 1.28 \pm 0.10 \, ({
m stat}) \pm 0.11 \, ({
m syst})^{+0.10}_{-0.07} \, ({
m theo})$$