

Search for new physics in lepton(e/μ) + p_T^{miss} final state with full Run 2 dataset recorded by CMS



Irene Bachiller Perea
CIEMAT - Madrid

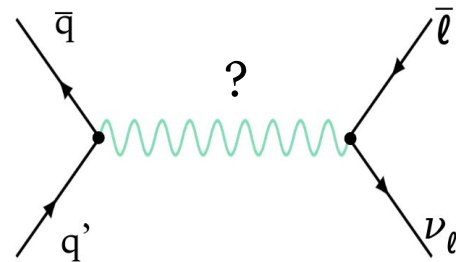


On behalf of the CMS Collaboration



$l(e,\mu) + p_T^{\text{miss}}$ final state

Lepton + p_T^{miss} is one of the most promising channels to search for Physics beyond SM.

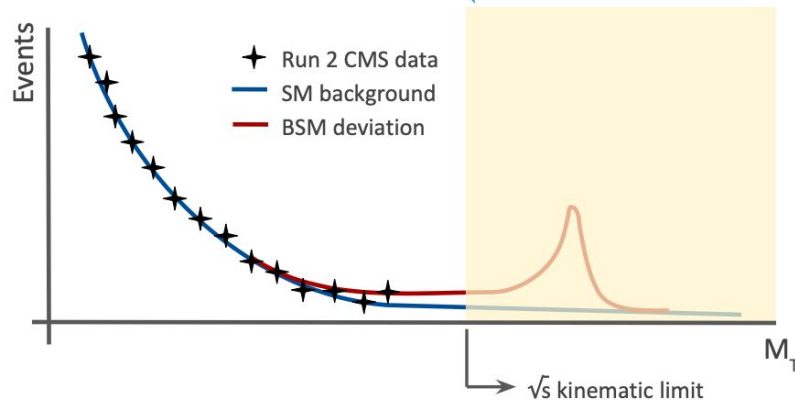
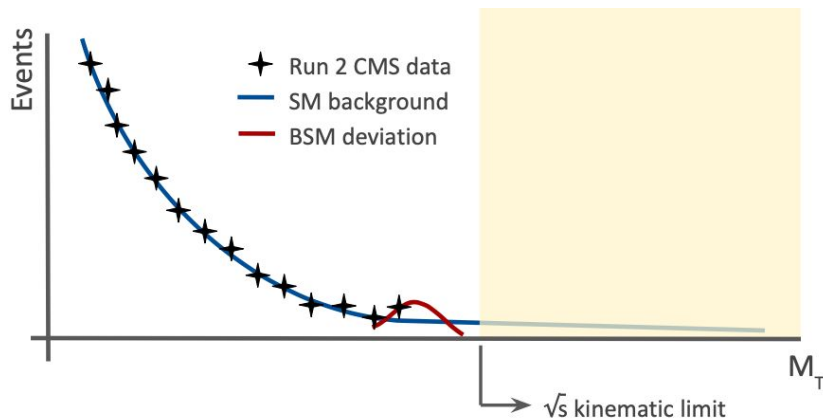


Study based on a binned fit in the **discriminant variable** M_T , in data and background.

$$M_T = \sqrt{2p_T^l p_T^{\text{miss}} (1 - \cos[\Delta\phi(l, \vec{p}_T^{\text{miss}})])}$$

The search in the lepton+ p_T^{miss} channel is performed in different ways:

- **Model Independent:** can be used to test new physics models as R-parity Violating SuperSymmetry model.
- **Direct resonance search:** new gauge bosons in the Sequential Standard Model (SSM) and extra dimensions models.
- **Indirect resonance search:** to constrain the electroweak oblique parameter W .



Data

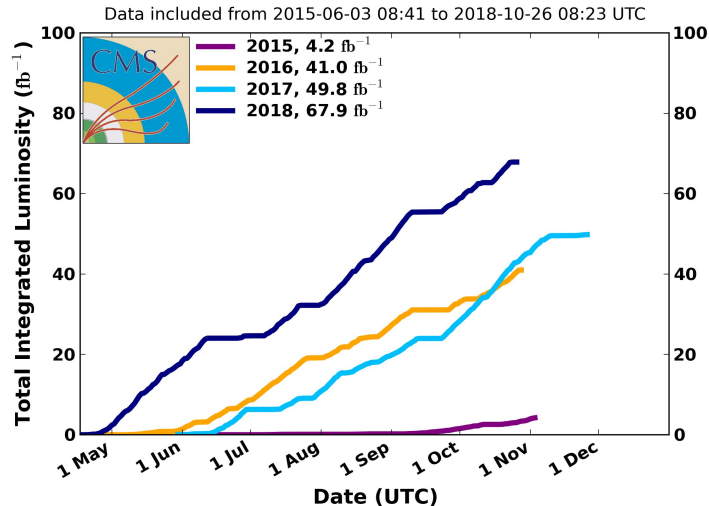
This analysis has made use of proton-proton collisions from LHC recorded by CMS during the so-called Run 2: years 2016, 2017 and 2018.

Luminosity: amount of collisions produced per unit of area.

CMS recorded luminosity 2016+2017+2018:

$$\mathcal{L} = (35.9 + 41.5 + 59.7) \text{ fb}^{-1} = 137.1 \text{ fb}^{-1}$$

CMS Integrated Luminosity Delivered, pp, $\sqrt{s} = 13 \text{ TeV}$

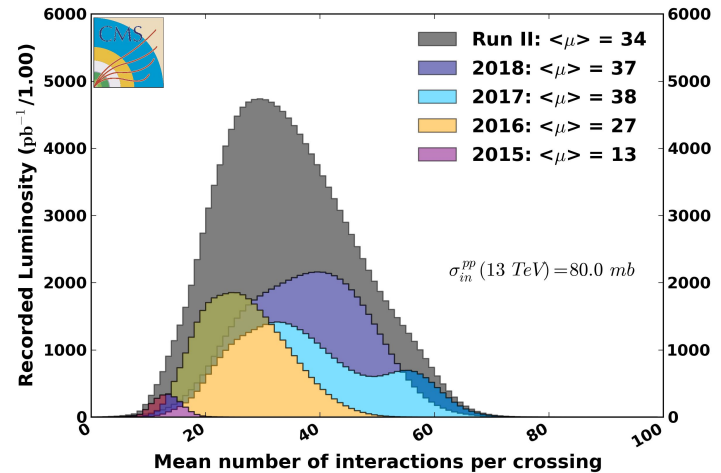


Pileup: number of additional pp interactions in the same or adjacent bunch crossing.

CMS Average Pileup 2016, 2017 & 2018:

$$\text{PU} = 27, 38 \text{ \& } 37$$

CMS Average Pileup (pp, $\sqrt{s}=13 \text{ TeV}$)



<https://twiki.cern.ch/twiki/bin/view/CMSPublic/LumiPublicResults>

Background

Background = processes whose final state is the same or can be mistaken as that of the signal (lepton+ p_T^{miss}).

→ **W** main and irreducible background:

- W+jets inclusive low mass (Madgraph MLM)
- W offshell mass-binned up to ~ 7 TeV (Pythia \rightarrow NNLO with K-factor)
- W HT binned, boosted (MadGraph MLM)

→ **Top**: ttbar and single top (PowHeg)

→ **Dibosons**: WW (PowHeg), WZ and ZZ (Pythia)

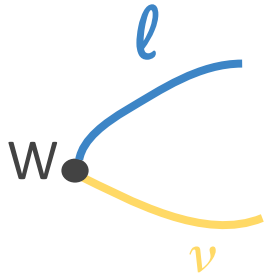
→ **DY**: Z to leptons (PowHeg)

→ **QCD**:

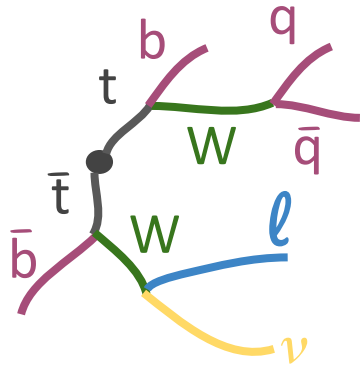
→ **e channel**: jet p_T bined (Madgraph)

→ **μ channel**: p_T bined muon enriched (Pythia)

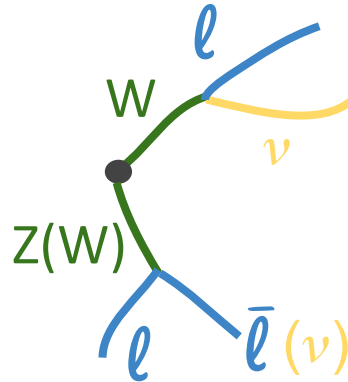
Offshell SM W



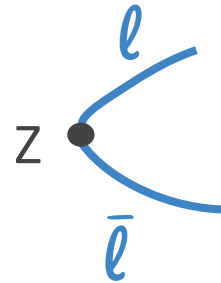
Top



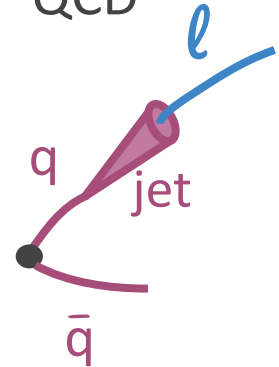
Diboson



DY



QCD



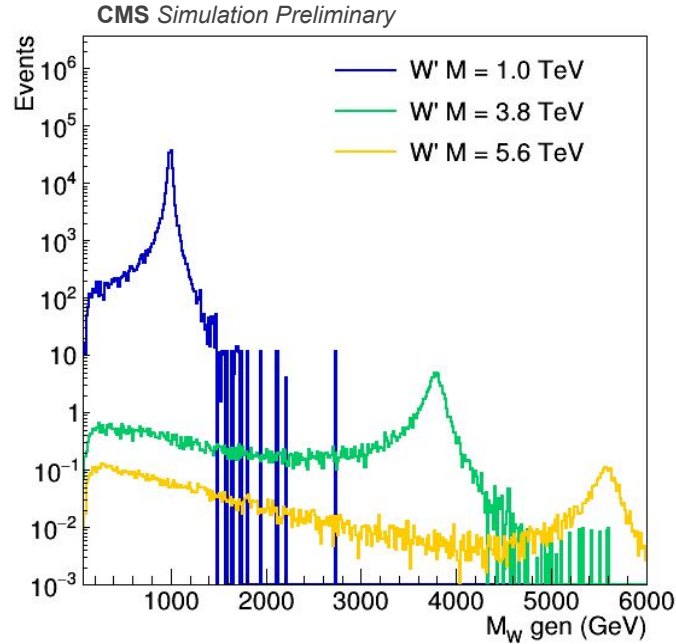
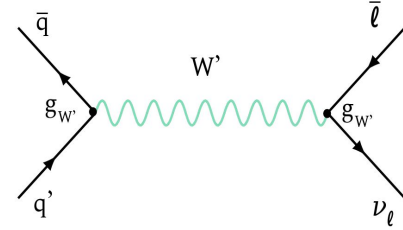
All backgrounds are simulated with MC samples and scaled to Run 2 luminosity using their cross sections.

Top and QCD normalized to data in control region.

Samples corrected by scale factors (SF) taking into account experimental effects from data.

Signal

SSM Wprime signals: SSM W' , heavy analogue of the SM W boson assuming equal coupling strength. Narrow resonance decaying leptonically. Generated by PYTHIA 8.2 corrected to QCD NNLO with K-factor as function of W' mass. W' mass from 0.4 to 6.4 TeV.



Selection

Preselection:

- HLT trigger p_T threshold:
 - e channel 175 GeV (2016) 200 GeV (2017 & 2018)
 - μ channel 50 GeV
- Lepton offline p_T threshold & eta:
 - e channel $p_T > 240$ GeV, $|\eta| < 2.5$
 - μ channel $p_T > 53$ GeV, $|\eta| < 2.4$
- Veto a second lepton with $p_T > 25$ GeV and loose ID → DY and diboson rejection

ID quality cuts:

e channel: dedicated high energy electron ID

- Isolated electron

μ channel: dedicated high p_T muon ID

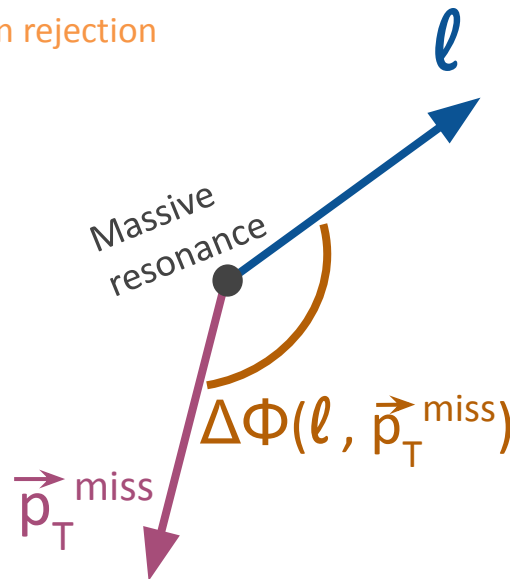
- Isolated muon
- Distance to primary vertex: $d_{xy} < 0.02$ cm, $d_z < 0.5$ cm
- p_T uncertainty: $\Delta p_T > 0.3 * p_T$

Additional cuts:

μ channel: reject events with > 6 jets, or leading jet tagged as coming from b-quark → $t\bar{t}$ rejection

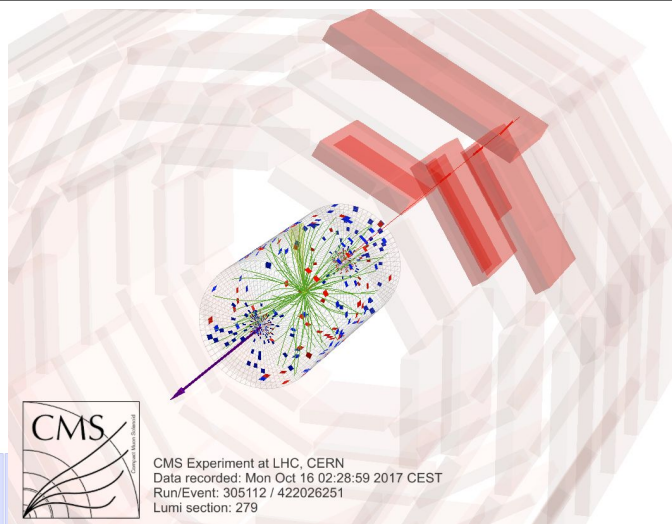
Kinematic cuts: massive resonance production

- p_T balance $0.4 < p_T^{\text{lepton}} / p_T^{\text{miss}} < 1.5$
- Back-to-back: $\Delta\phi(\text{lepton}, p_T^{\text{miss}}) > 2.5$

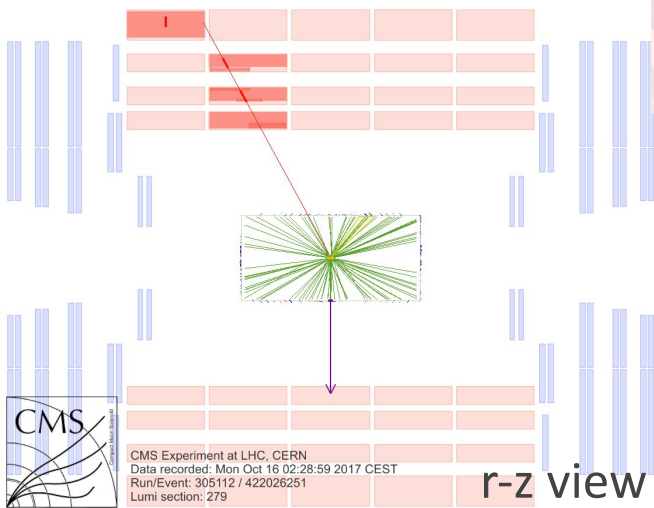
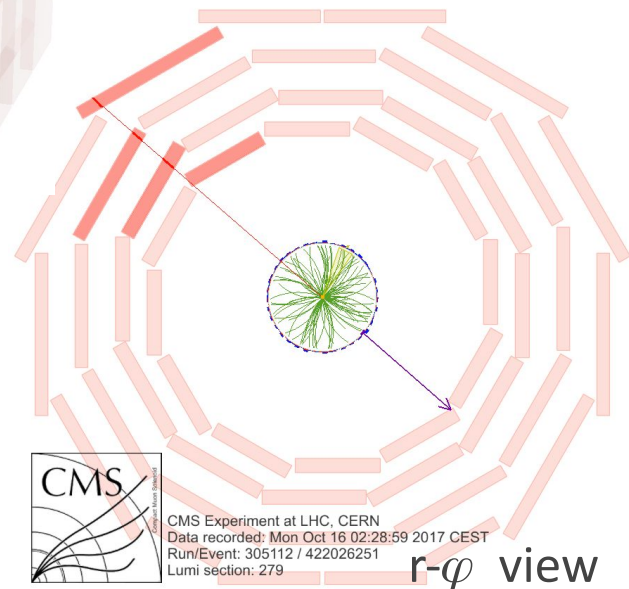


Muon channel highest M_T event (2017)

- $M_T = 2975$ GeV
- $p_T^{\text{miss}} = 1483$ GeV
- Muon:
 - $p_T = 1491$ GeV
 - $\eta = -0.52$
 - $\varphi = 2.43$

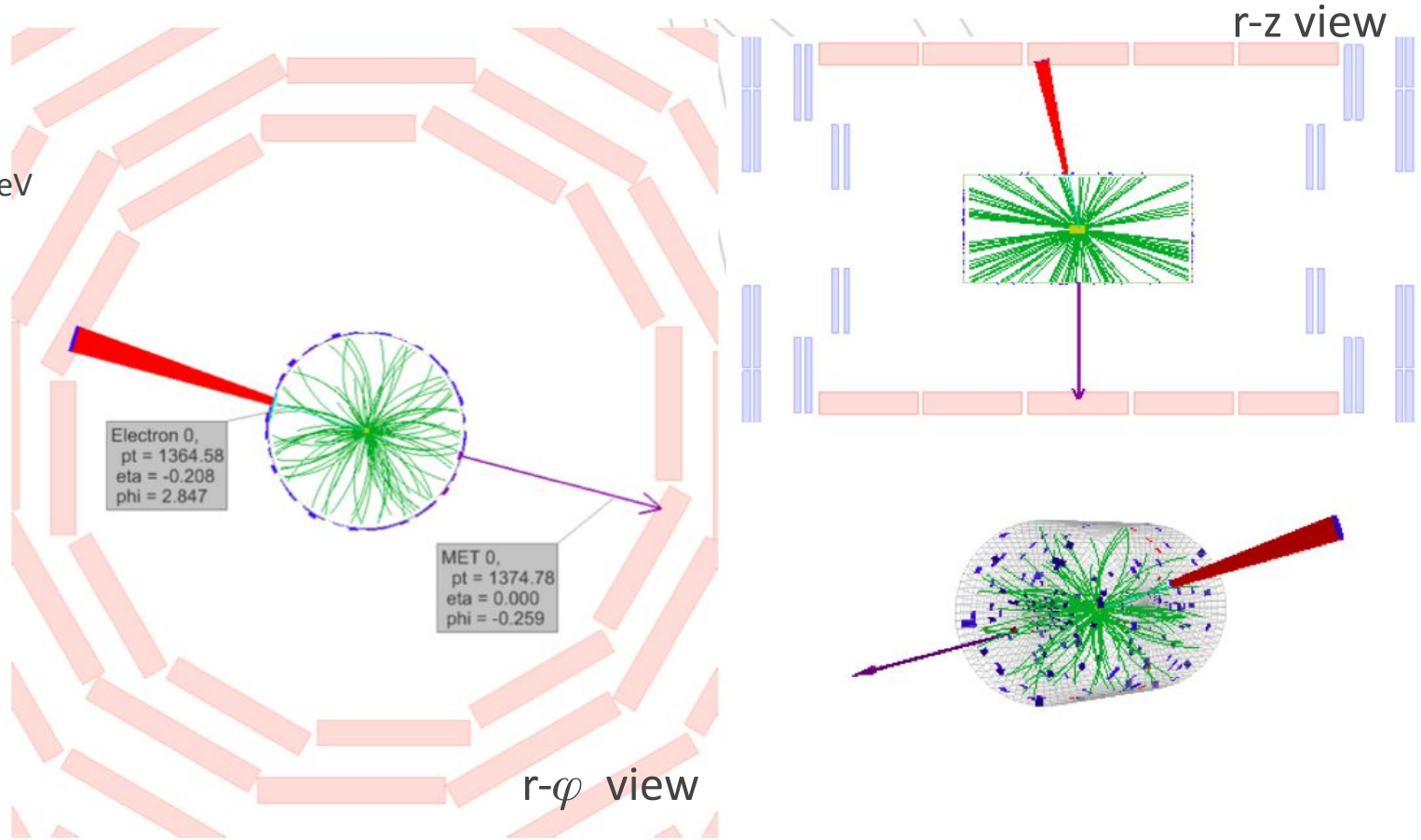


Run 2017
run:lumisecion:number
305112:279:422026251



Electron channel highest M_T event (2018)

- $M_T = 2.7$ TeV
- $p_T^{\text{miss}} = 1375$ GeV
- Electron:
 - $p_T = 1365$ GeV
 - $\eta = -0.21$
 - $\varphi = 2.85$



Run 2018
run:lumisecion:number
320917:310:508468292

Distributions

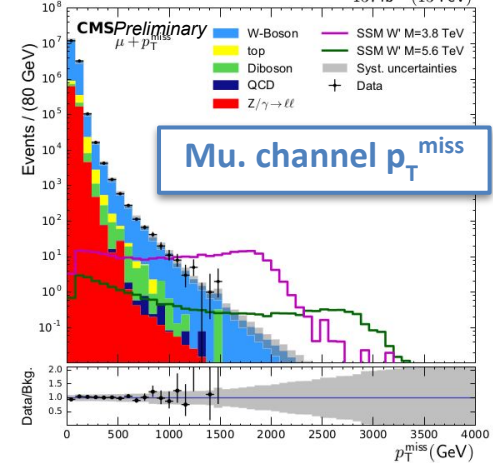
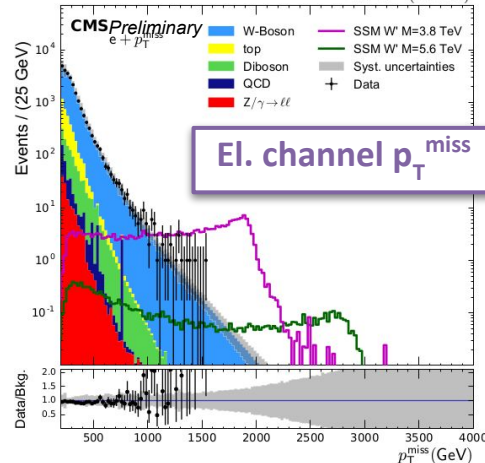
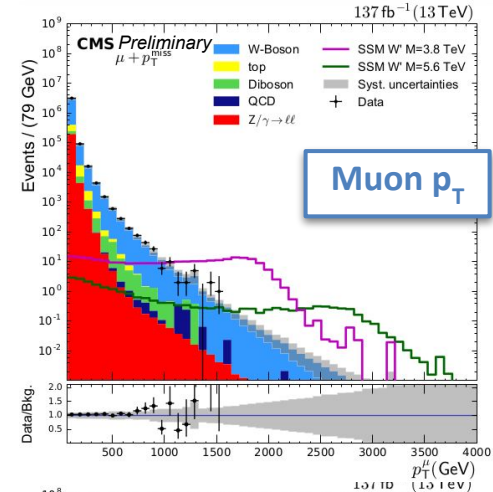
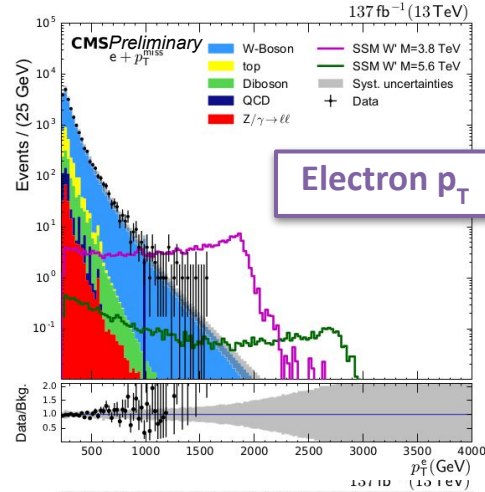
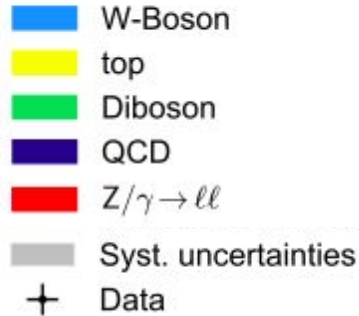
Distributions after selection.

Sequential Standard Model (SSM)

W' signals with $g = g_{SM}$:

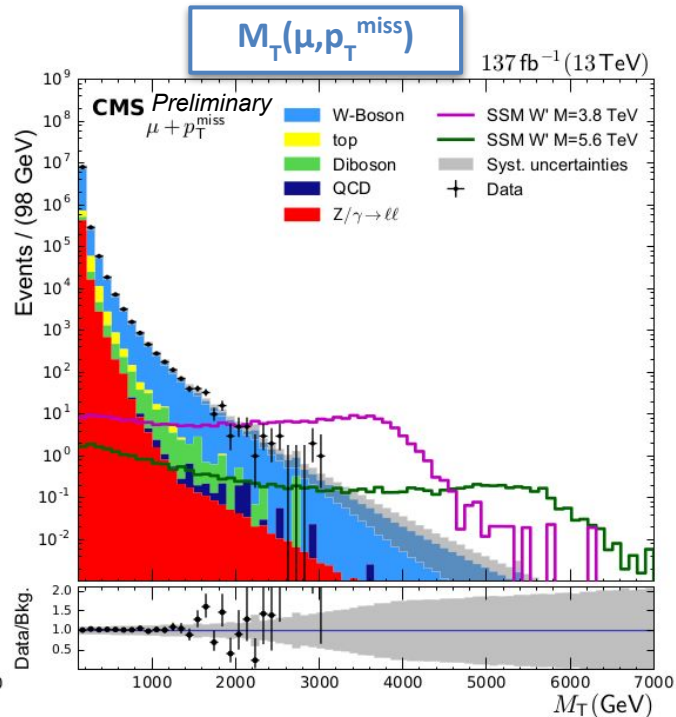
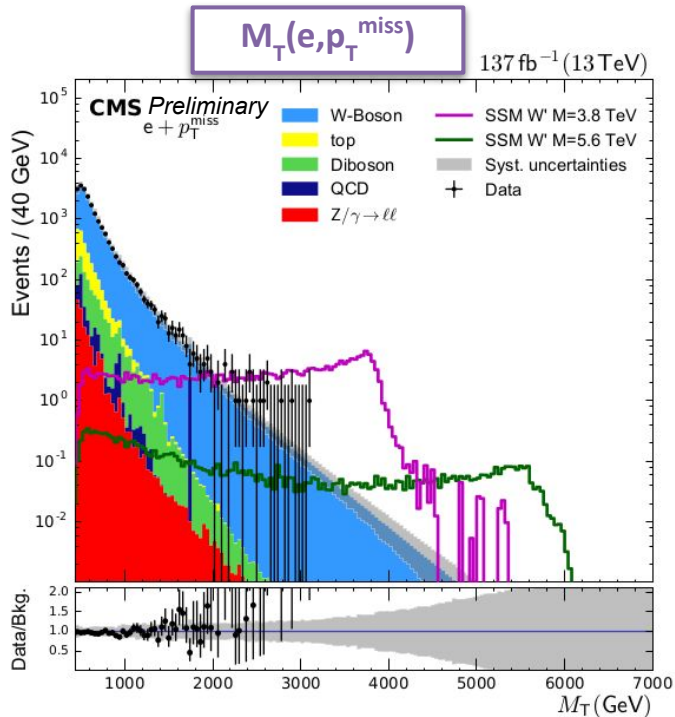
— $M = 3.8$ TeV

— $M = 5.6$ TeV



M_T distributions

- Transverse mass distributions after all selection for full Run 2 data. **Discriminant variable.**
- Good agreement between data and SM prediction. No significant deviation.
- Input for limit setting, statistical analysis with a binned likelihood fit.



Experimental systematic uncertainties - channel specific

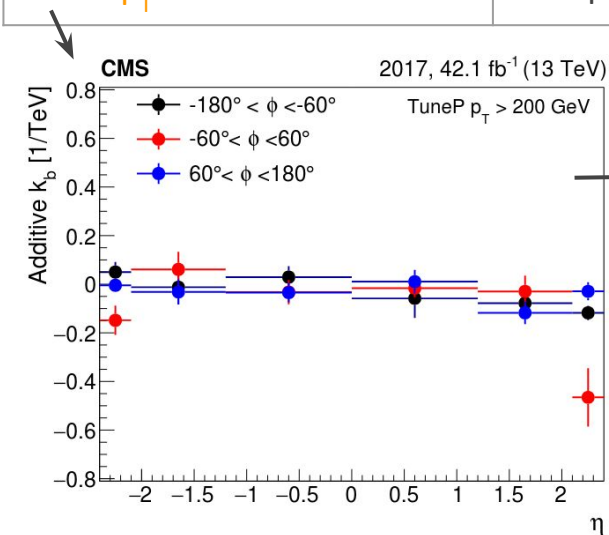
Muon channel		Electron channel	
Source	± Uncertainty value (%)	Source	± Uncertainty value (%)
Trigger SF	0.5(8) for B(E, $p_T > 1$ TeV)	Trigger SF	0.5(1.1) for EB (EE)
ID SF	0.4 (B) 2.1 (E)	ID SF	1 - 5 function of el. E_T
Muon isolation SF	0.1	Jet energy scale & resolution	2-5
Muon p_T resolution	~0 (B) 1 (E)	El energy scale and resolution	0.05-0.1(0.1-0.3)EB(EE)
Muon p_T scale	5-10 p_T shift ($p_T > 2$ TeV)(E)	ECAL level-1 prefiring (2017)	20

Orange: dominant sources E = endcap
B = barrel

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Orange: dominant sources E = endcap B = barrel



Muon p_T scale: Generalized Endpoint method calculates a bias due to misalignment as an additive constant $\kappa_b(\eta, \phi)$ to the curvature $k = q/p_T$, comparing data to MC.

$$1/p_T \leftarrow 1/p_T + \kappa_b(\eta, \phi)$$

Based on this study, statistical treatment is performed to obtain uncertainties.

Published in a dedicated paper to high p_T muons: [doi:10.1088/1748-0221/15/02/P02027](https://doi.org/10.1088/1748-0221/15/02/P02027)

Systematic uncertainties - global

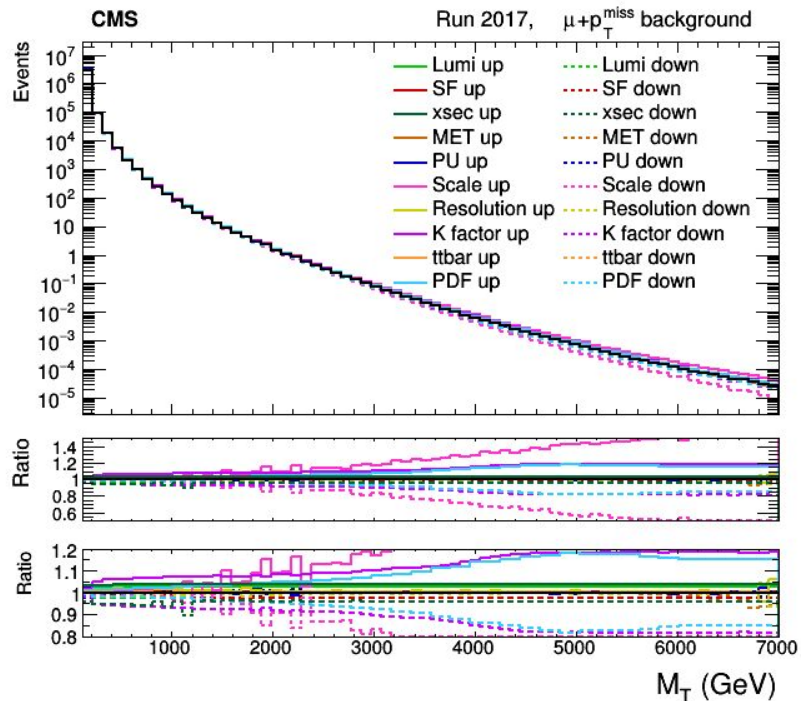
Common sources for both channels:

- Modelling of the background:
 - Cross-sections $\sim 5\%$ typically
 - $t\bar{t}$ CR normalization $\sim 5\%$
 - **k-factor**: mass dependent $\pm(4 - 19)\%$
 - **PDFs**: function of $M_T \pm(3 - 20)\%$
- PU reweighting: $\pm 4.6\%$ on σ_{MB}
- Luminosity: $\pm 2.5\%$
- p_T^{miss} : Jet Energy Corrections, propagation lepton p_T uncertainty, jet energy scale and unclustered energy
 - dominant among the p_T^{miss} sources

Corresponding sources applied to signal samples.

Orange: dominant sources

M_T distribution with the effect of all systematic uncertainty sources being varied $+1\sigma$ and -1σ .



Statistical Analysis - Limit Setting procedure

→ Agreement between ATLAS and CMS W' groups, limits are calculated using a Bayesian method.

$$P(\text{theory}|\text{data}) \propto P(\text{data}|\text{theory}) \cdot P(\text{theory})$$

→ Study based on a binned likelihood fit where the observed events are described by a Poisson distribution.

→ Uncertainties are modeled with log-normal prior distributions. Two approaches are used:

Multi-bin approach

- Use information on signal shape
- Used for limit on the **SSM & split-UED** models

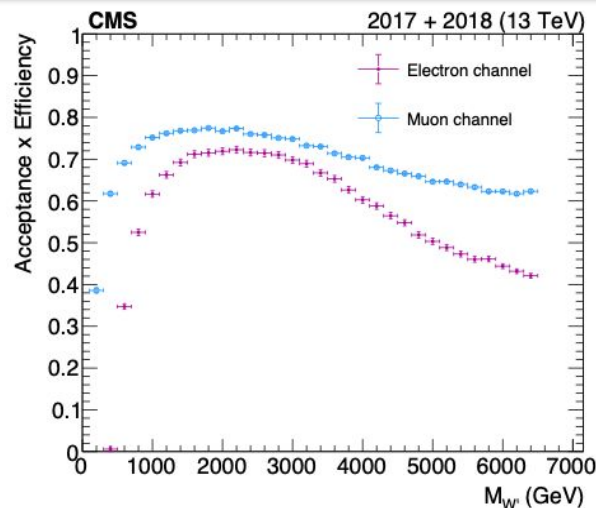
Single-bin approach

- Use information from one single bin
- Used to provide **Model-Independent limit**

$A \times \epsilon \times \mathcal{L}$: used to set limit

Signal efficiencies = $M_{W'}(1.8 \text{ TeV}) \sim 0.72(\text{e}), 0.78(\mu)$

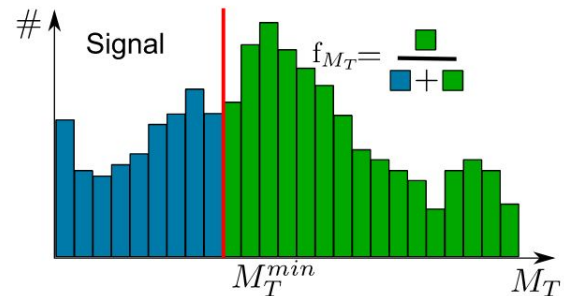
$M_{W'}(3.8 \text{ TeV}) \sim 0.63(\text{e}), 0.71(\mu)$



Model independent limit

- Using a single bin ranging from M_T^{\min} to infinity.
- Limit set on the $\sigma \times B$ and experimental effects (acceptance $A \times$ efficiency ϵ)
- Can be used to test new physics models having $l+p_T^{\text{miss}}$ signature

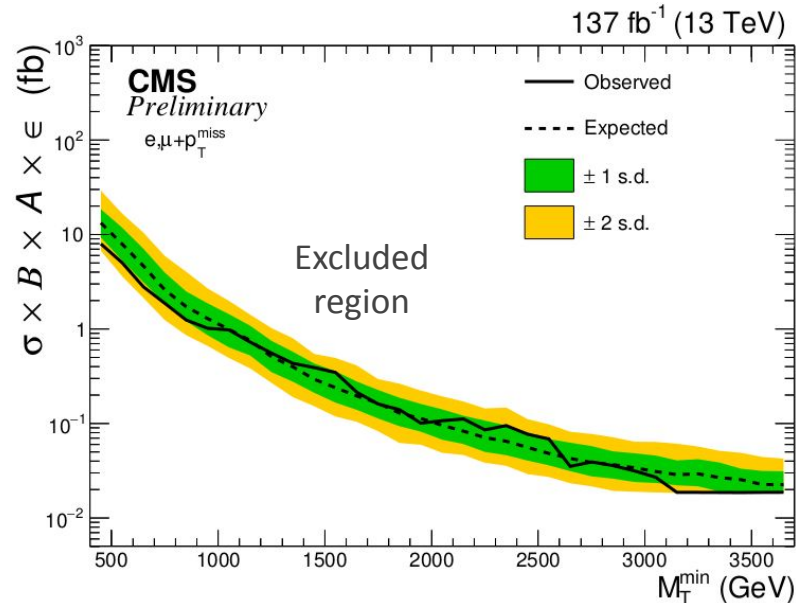
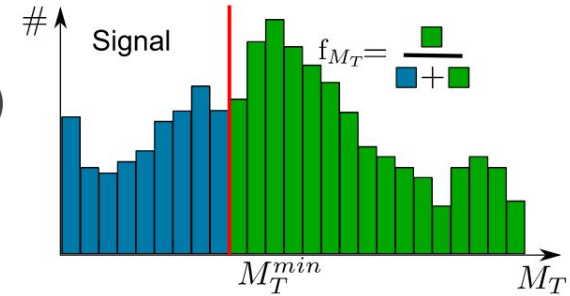
$$(\sigma \times B \times A \times \epsilon)_{\text{excl}} = \frac{(\sigma \times B \times A \times \epsilon)_{\text{MI}}(M_T^{\min})}{f_{M_T}(M_T^{\min})}$$



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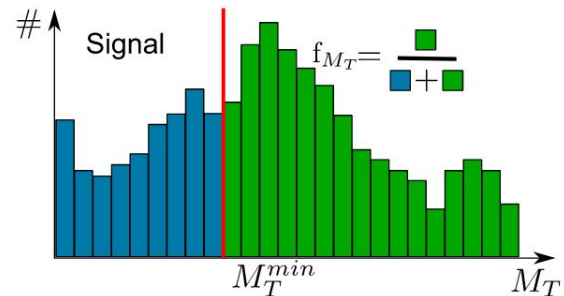
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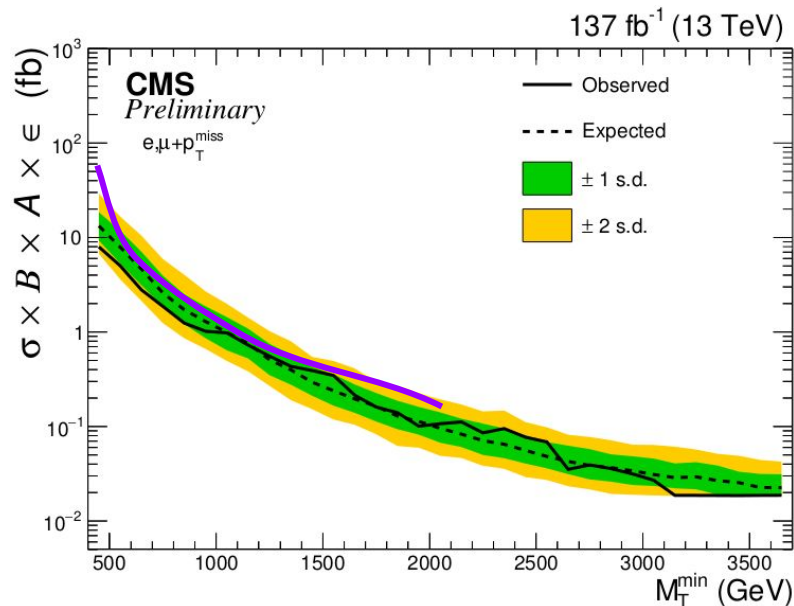
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10.1103/PhysRevD.91.092005



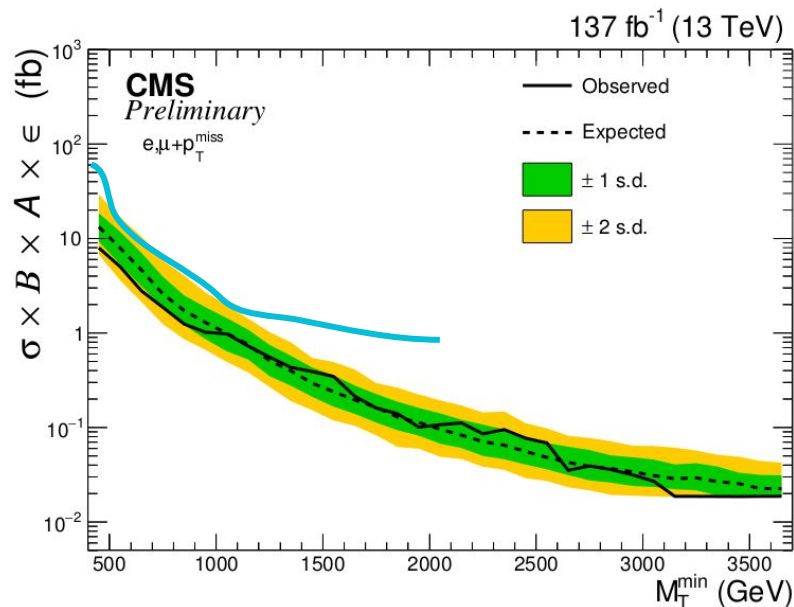
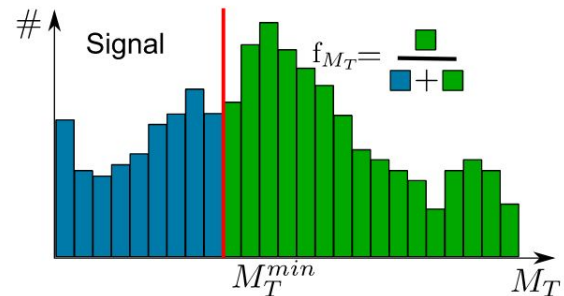
Run 1

8 TeV 20 fb⁻¹

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2015

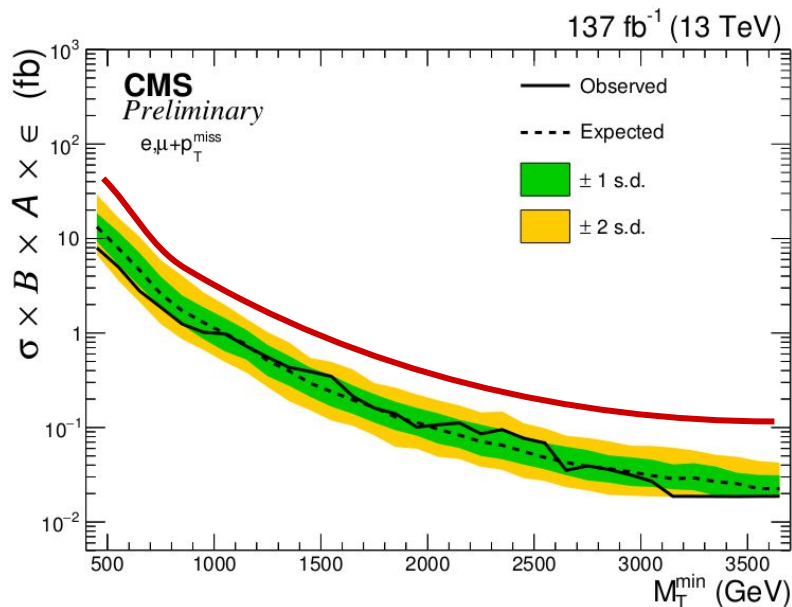
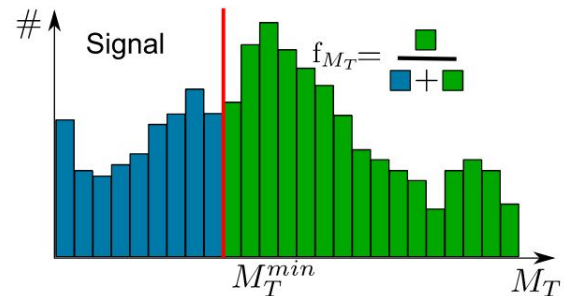
13 TeV 2.3 fb⁻¹

10.1016/j.physletb.2017.04.043

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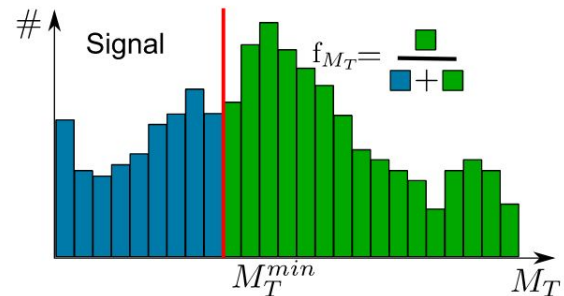
2016

13 TeV 36 fb⁻¹

Model independent limit

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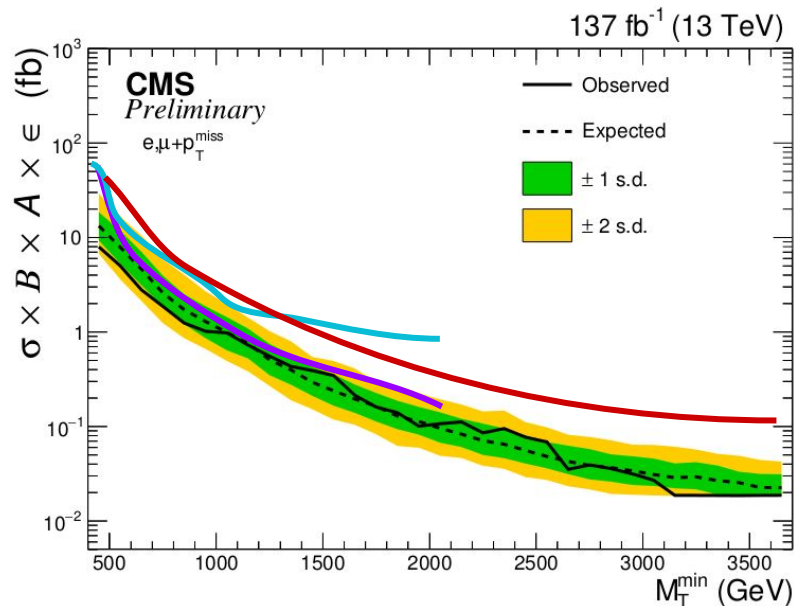
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[10.1103/PhysRevD.91.092005](https://arxiv.org/abs/10.1103/PhysRevD.91.092005)

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JHEP06(2018)128



Run 1

8 TeV 20 fb⁻¹

2015

13 TeV 2.3 fb⁻¹

2016

13 TeV 36 fb⁻¹

Run 2

13 TeV 137 fb⁻¹

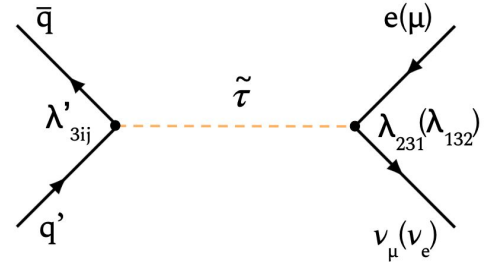
RPV SUSY model

R-parity Violating SuperSymmetry model

[doi:10.1103/PhysRevD.86.055010](https://doi.org/10.1103/PhysRevD.86.055010)

- By using the model independent limits, bound on the RPV SUSY model can be derived at $(\lambda-M_{\tilde{\tau}})$ plane.
- Assuming a SUSY scalar lepton as a mediator with subsequent R-parity and lepton flavor violating decay to a charged lepton and a neutrino. The analysis studies a tau slepton $(\tilde{\tau})$ decays to $e + \nu_{\mu}$ and to $\mu + \nu_e$.

Decay to $e + \nu_{\mu}$ given by λ_{231}
Decay to $\mu + \nu_e$ given by λ_{132}

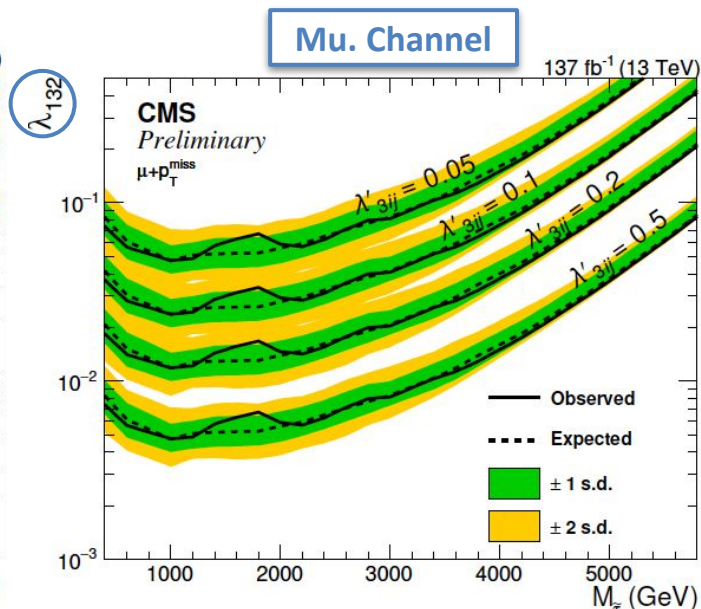
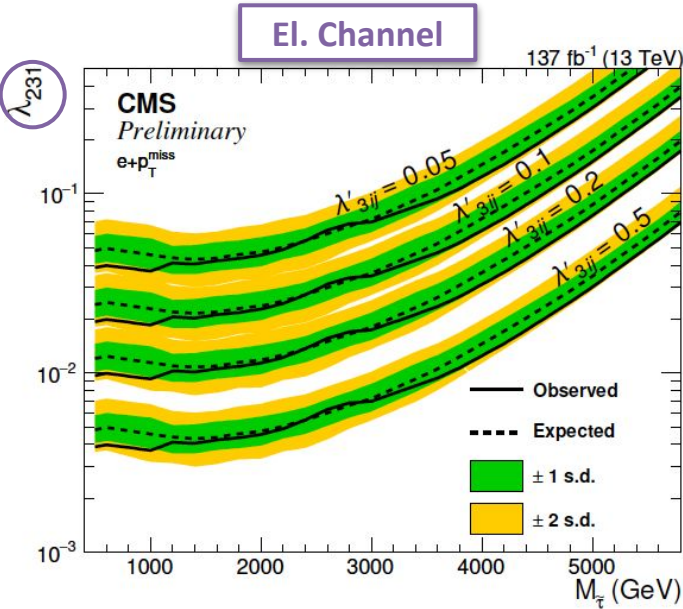


RPV SUSY model

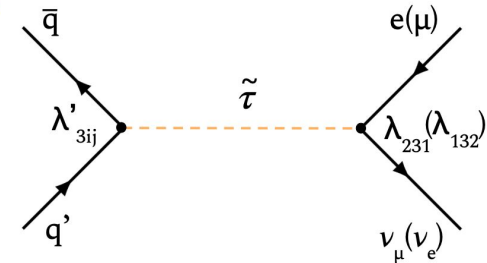
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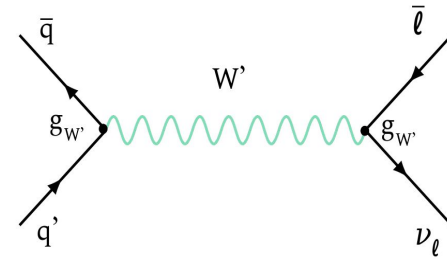
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- Assuming a SUSY scalar lepton as a mediator with subsequent R-parity and lepton flavor violating decay to a charged lepton and a neutrino. The analysis studies a tau slepton ($\tilde{\tau}$) decays to $e + \nu_{\mu}$ and to $\mu + \nu_e$.
- If $M_{\tilde{\tau}} \sim 1 \text{ TeV}$, $\lambda'_{3ij} = 0.5$: $\lambda_{231} (\lambda_{132}) > 3.7 (4.7) \times 10^{-3}$ excluded.



Decay to $e + \nu_{\mu}$ given by λ_{231}
 Decay to $\mu + \nu_e$ given by λ_{132}



- Statistical analysis with a binned likelihood fit.
- Uncertainties are modeled with log-normal prior distributions.
- SSM W' : heavy analogue of the SM W boson with the equal coupling strength $g_{W'}=g_W$.

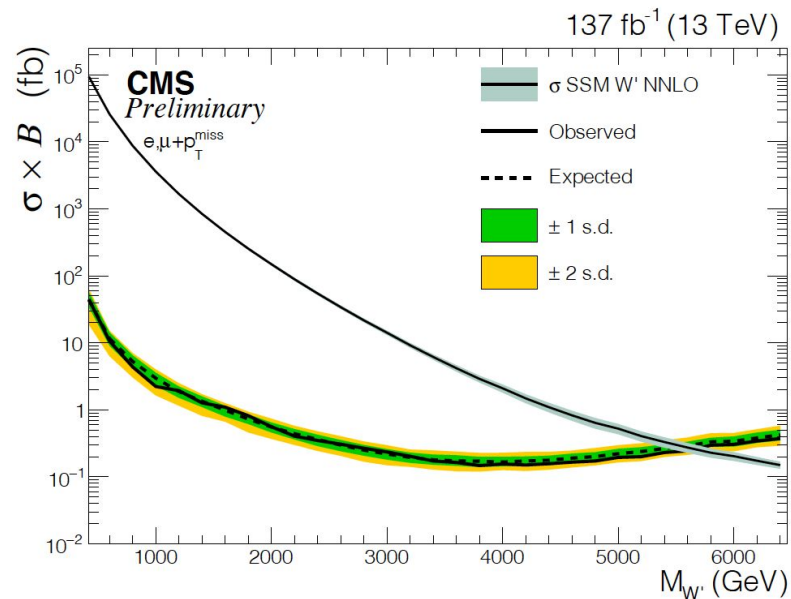
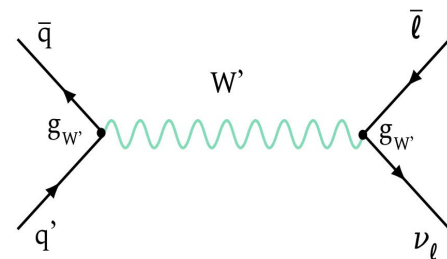


Sequential Standard Model (SSM) W'

doi:10.1007/BF01556677

- Statistical analysis with a binned likelihood fit.
- Uncertainties are modeled with log-normal prior distributions.
- SSM W' : heavy analogue of the SM W boson with the equal coupling strength $g_{W'}=g_W$.
- Full Run 2 limits on SSM W' for $e+\mu$ combined channel:

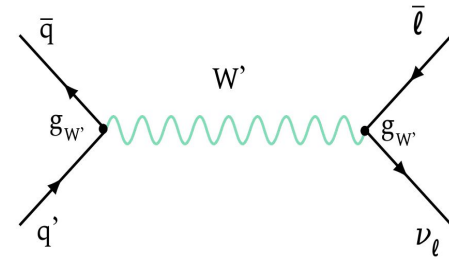
$M_{W'} > 5.7$ (5.6) TeV Obs. (Exp.)



Sequential Standard Model (SSM) W'

- Statistical analysis with a binned likelihood fit.
- Uncertainties are modeled with log-normal prior distributions.
- SSM W' : heavy analogue of the SM W boson with the equal coupling strength $g_{W'}=g_W$.
- Full Run 2 limits on SSM W' for $e+\mu$ combined channel:

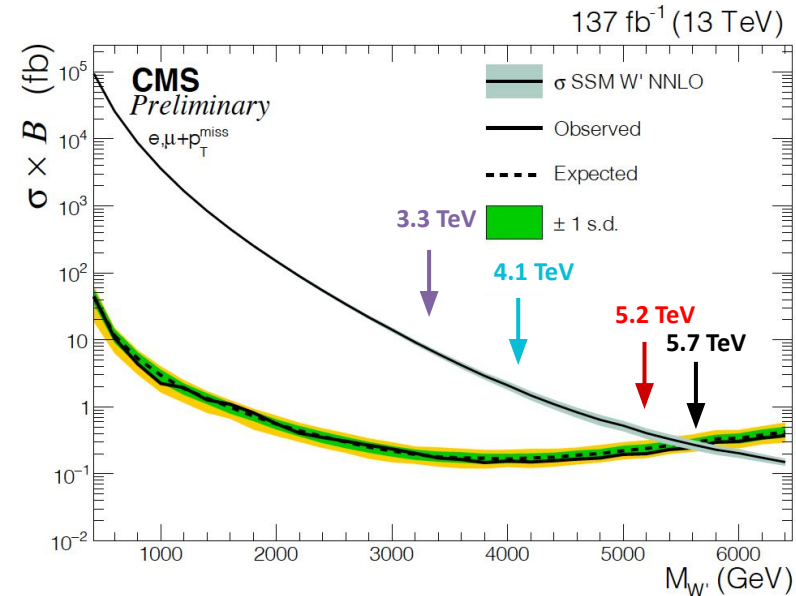
$M_{W'} > 5.7$ (5.6) TeV Obs. (Exp.)



Previous SSM limits:

- CMS Run 1: $M_{W'} > 3.3$ (3.3) TeV Obs. (Exp.) [10.1103/PhysRevD.91.092005](https://arxiv.org/abs/10.1103/PhysRevD.91.092005)
- CMS 2015: $M_{W'} > 4.1$ (4.0) TeV Obs. (Exp.) [10.1016/j.physletb.2017.04.043](https://arxiv.org/abs/10.1016/j.physletb.2017.04.043)
- CMS 2016: $M_{W'} > 5.2$ (5.2) TeV Obs. (Exp.) [JHEP06\(2018\)128](https://arxiv.org/abs/JHEP06(2018)128)

ATLAS Run 2: $M_{W'} > 6.0$ (5.8) TeV Obs. (Exp.) [PhysRevD.100.052013](https://arxiv.org/abs/PhysRevD.100.052013)

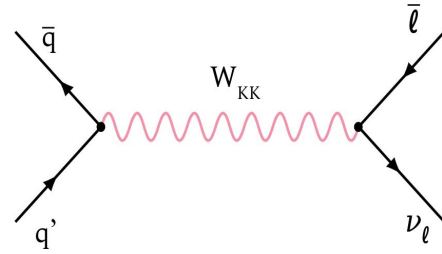


Split-UED $W_{KK}^{(2)}$

Split-Universal Extra Dimension model

[doi:10.1007/JHEP04\(2010\)081](https://doi.org/10.1007/JHEP04(2010)081)

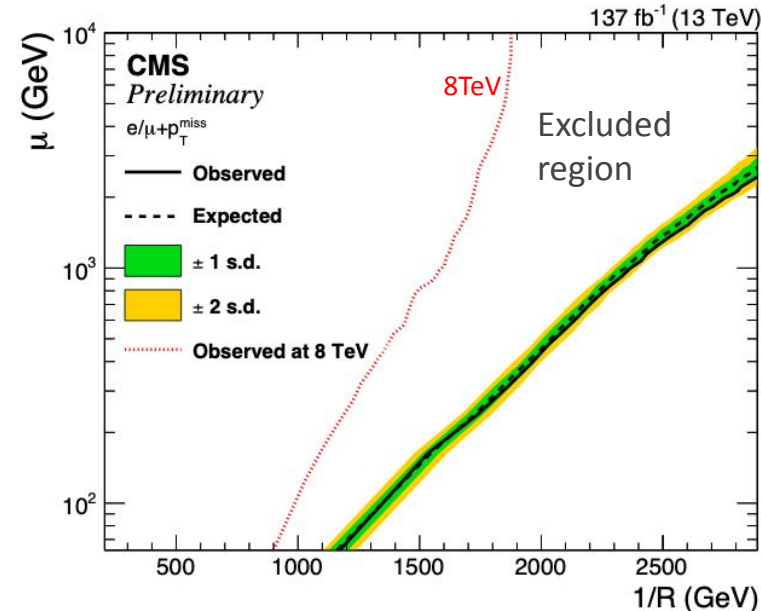
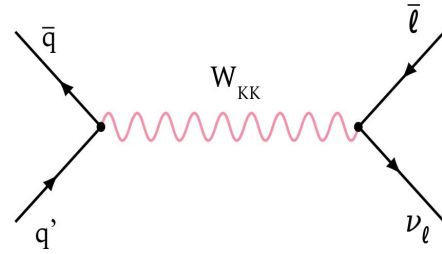
- Extended space-time with an additional compact 5th dimension of radius R , and a bulk mass parameter of the fermion field, μ .
- SM particles have a corresponding Kaluza-Klein (KK) partner, $W_{KK}^{(n)}$.
- Only KK-even modes couple to SM partners.
- From the SSM W' limit, bounds for the case $n = 2$ are set on the split-UED parameter space ($1/R, \mu$).



Split-UED $W_{KK}^{(2)}$

Split-Universal Extra Dimension model [doi:10.1007/JHEP04\(2010\)081](https://doi.org/10.1007/JHEP04(2010)081)

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- Only KK-even modes couple to SM partners.
- From the SSM W' limit, bounds for the case $n = 2$ are set on the split-UED parameter space ($1/R, \mu$).



- Full Run 2 Limits on $1/R$ for $e+\mu$ combined channel :
 $1/R < 2.8$ (2.7) TeV Obs. (Exp.) excluded at $\mu > 2$ TeV.

W oblique electroweak parameter

doi:10.1016/j.physletb.2017.06.04
10.1016/j.nuclphysb.2004.10.014
arxiv.org/abs/2103.10532

→ Universal new physics effects in **4 fermion contact interactions** can be described by the oblique electroweak parameters S, T, W and Y. **W and Y parameters** induce effects that grow with \sqrt{s} .

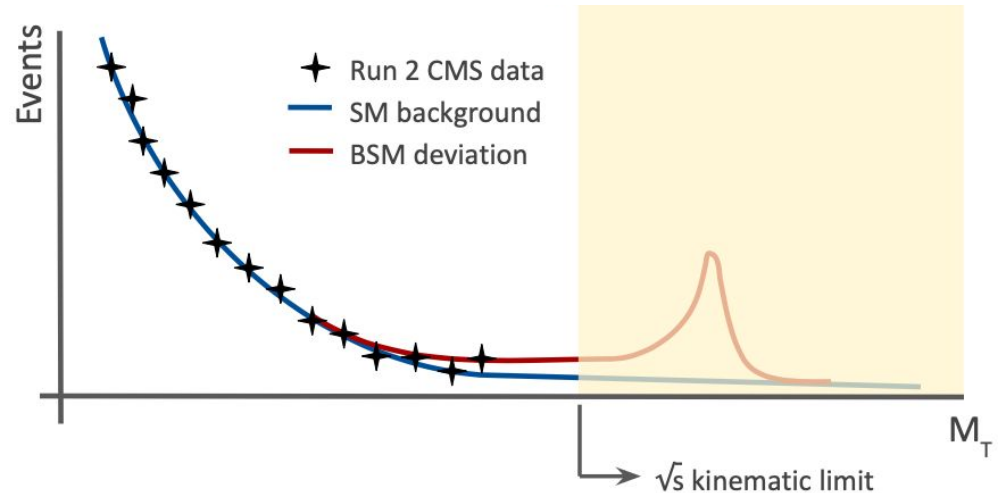
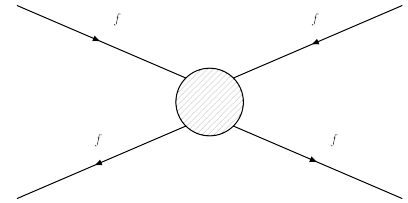
→ The effect is that the propagators of the SM electroweak gauge bosons will be modified, and the relative deviations with respect to the SM will be given by the weight :

$$\left| \frac{P_W}{P_W^{(0)}} \right|^2 = \left(1 + \frac{(2t^2 - 1)W}{1 - t^2} + \frac{t^2 Y}{1 - t^2} - \frac{W(q^2 - m_W^2)}{m_W^2} \right)^2$$

t^2 = tangent squared of SM weak mixing angle ~ 0.3

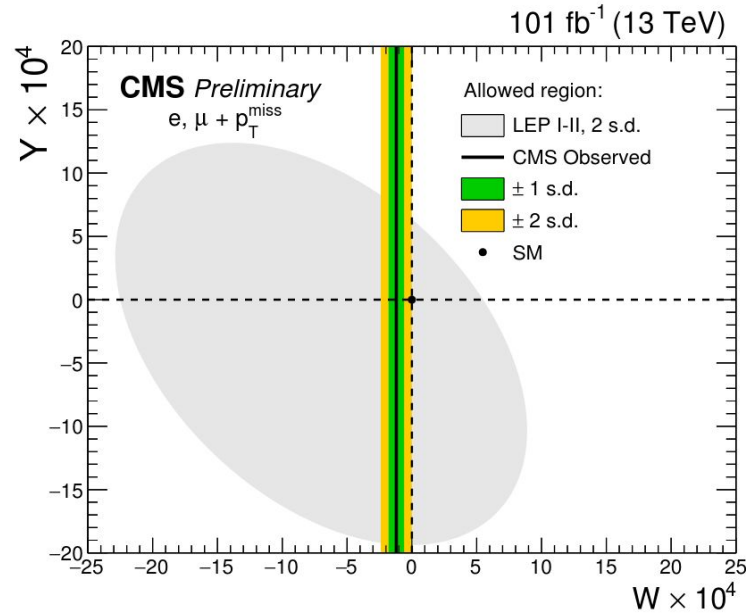
→ This weight is applied to the SM background prediction as a function of the W-parameter.

→ Fit the W-parameter value to find the one that makes the background best reproduce data by using a binned negative log-likelihood fit.



W oblique electroweak parameter

- Result of combining 2017 + 2018 data (101 fb^{-1}) for both e and μ channels: $W = [-12^{+5}_{-6}] \times 10^{-5} (\pm 1 \text{ s.d.})$
- Result consistent with SM within 2σ , it reduces a factor ~ 10 previous bounds from LEP experiments. [JHEP02\(2016\)086](#)
- First experimental measurement of W oblique electroweak parameter with LHC Run 2 data.



Conclusions

Search for **new physics in lepton(e/ μ) + p_T^{miss} final state** by CMS with Run 2 dataset (137.1 fb⁻¹).

No significant deviation from SM expectation is found. We set limits in different ways:

- Model Independent
 - R-parity Violating SuperSymmetry model (RPV SUSY), limit on λ -M $\tilde{\tau}$ plane.
- Direct resonance search:
 - Sequential Standard Model (SSM), limit on W' mass.
 - Extra dimensions (split-UED), limit on μ -1/R plane.
- Indirect resonance search:
 - Constrain in the electroweak oblique parameter W , first time using LHC data.

These results are published in [PAS-EXO-19-17](#). Coming publication in the last steps of internal review.