

Parton Degrees of Freedom: Connected and Disconnected Sea Partons from CT18 Parametrization of PDFs

Tie-Jiun Hou

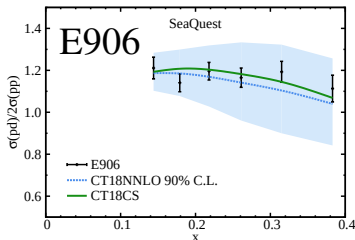
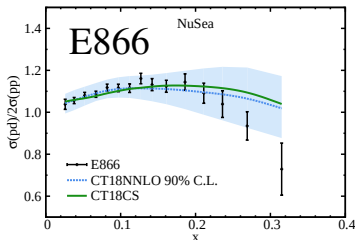
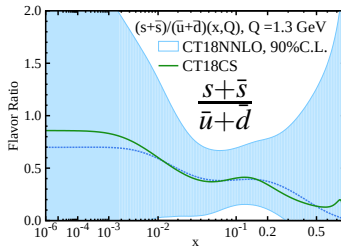
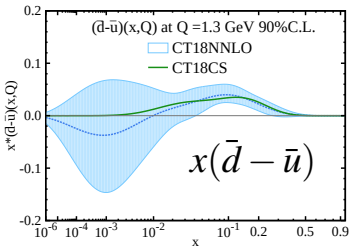
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CT18CS Global PDF Analysis

An alternative parametrization of CT18 for $\bar{u}$ and $\bar{d}$ at $Q_0 = 1.3$ GeV scale with an input from Lattice QCD.



CT18

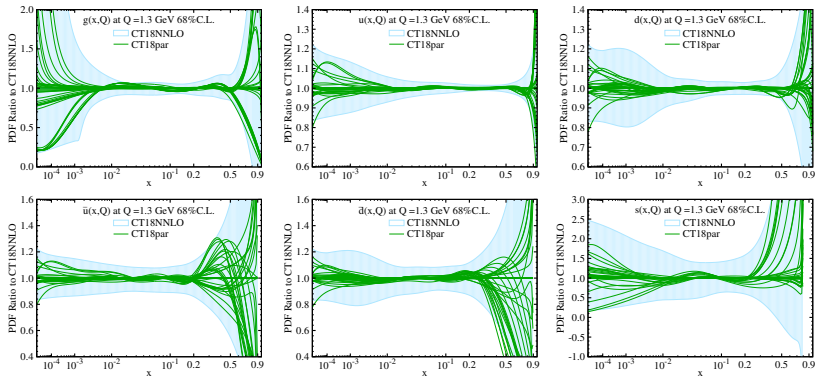
LHC data sets included in CT18 (1912.10053, T.- J. Hou *et al.*)

245	1505.07024	LHCb Z (W) muon rapidity at 7 TeV(applgrid)
246	1503.00963	LHCb 8 TeV Z rapidity (applgrid);
249	1603.01803	CMS W lepton asymmetry at 8 TeV (applgrid)
250	1511.08039	LHCb Z (W) muon rapidity at 8 TeV(applgrid)
253	1512.02192	ATLAS 7 TeV Z p_T (applgrid)
542	1406.0324	CMS incl. jet at 7 TeV with R=0.7 (fastNLO)
544	1410.8857	ATLAS incl. jet at 7 TeV with R=0.6 (applgrid)
545	1609.05331	CMS incl. jet at 8 TeV with R=0.7 (fastNLO)
573	1703.01630	CMS 8 TeV $t\bar{t}$ (p_T , y_t) double diff. distributions (fastNNLO)
580	1511.04716	ATLAS 8 TeV $t\bar{t}$ p_T and $m_{t\bar{t}}$ diff. distributions (fastNNLO)

Theory Calculation at NNLO

Obs.	Expt.	fast table	NLO code	K-factors	R,F scales
Inclusive jet	ATL 7 CMS 7/8	APPLgrid fastNLO	NLOJet++	NNLOJet	p_T, p_T^1
p_T^Z	ATL 8	APPLgrid	MCFM	NNLOJet	$\sqrt{Q^2 + p_{T,Z}^2}$
W/Z rapidity W asymmetry	LHCb 7/8 ATL 7 CMS 8	APPLgrid	MCFM/aMCfast	FEWZ/MCFM	$M_{W,Z}$
DY (low,high mass)	ATL 7/8 CMS 8	APPLgrid	MCFM/aMCfast	FEWZ/MCFM	Q_{ll}
$t\bar{t}$	ATL 8 CMS 8	fastNNLO			$\frac{H_T}{4}, \frac{m_T}{2}$

Non-perturbative forms of PDFs in CT18 at $Q_0 = 1.3\text{GeV}$



- CT18 – sample result of exploring various non-perturbative parametrization forms at $Q_0 = 1.3\text{ GeV}$.
- There is no data to constrain very large or very small x region.

Non-perturbative forms of PDFs in CT18 at $Q_0 = 1.3$ GeV

In CT18, 6 d.o.f of partons are parametrized at $Q_0 = 1.3$ GeV,

$$g, \quad u^v, \quad d^v, \quad \bar{u}, \quad \bar{d}, \quad s.$$

Where $\bar{s}(x) \equiv s(x)$ is assumed, and $u = u^v + \bar{u}$ and $d = d^v + \bar{d}$.
The functional form for the 6 parton flavors is

$$f^i(x, Q = Q_0) = a_0^i x^{a_1^i - 1} (1 - x)^{a_2^i} P^i(x)$$

Where a_1^i term describes the behavior of PDFs as $x \rightarrow 0$, and a_2^i term describes the behavior of PDFs as $x \rightarrow 1$. The $P^i(x)$ is some choice of Bernstein polynomial.

In total, there are 29 shape parameters used in CT18.

As a result, the full CT18 global fit yields $\chi^2 = 4292$, with a total of 3681 data points, and $\chi^2/N_{pt} = 1.17$.

Gottfried sum rule

Gottfried sum rule was originally obtained by assuming $\bar{u}$ and $\bar{d}$ to be the same, which leads to

$$S_G = \int_0^1 dx \frac{[F_2^p(x) - F_2^n(x)]}{x} = \frac{1}{3}, \quad \text{with } \bar{d}(x) \equiv \bar{u}(x)$$

New Muon Collaboration (NMC – PRL 66, 2712 (1991)),
 $\mu + p(n) \rightarrow \mu + X$, obtained $S_G = 0.240 \pm 0.016$ at $Q = 2$ GeV. The correct expression of sum rule is,

$$S_G = \frac{1}{3} - \frac{2}{3} \int_0^1 dx (\bar{d}(x) - \bar{u}(x)) + O(\alpha_s^2).$$

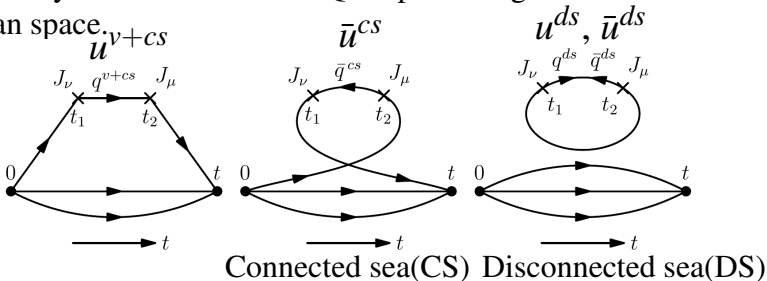
Hence, NMC data gives

$$\int_0^1 dx (\bar{d}(x) - \bar{u}(x)) = 0.140 \pm 0.024, \quad \text{at } Q_0 = 2.0 \text{ GeV}$$

What is the origin of $\bar{d} \neq \bar{u}$?

Hadronic tensor in Euclidean path-integral formalism

Motivated by Hadronic tensor in QCD path-integral formalism in Euclidian space.



$$\begin{aligned} u &= u^{v+cs} + u^{ds}, & d &= d^{v+cs} + d^{ds} \\ \bar{u} &= \bar{u}^{cs} + \bar{u}^{ds}, & \bar{d} &= \bar{d}^{cs} + \bar{d}^{ds} \end{aligned}$$

Define $u^v \equiv u^{v+cs} - \bar{u}^{cs}$, which is equivalent to defining $u^{cs} \equiv \bar{u}^{cs}$.

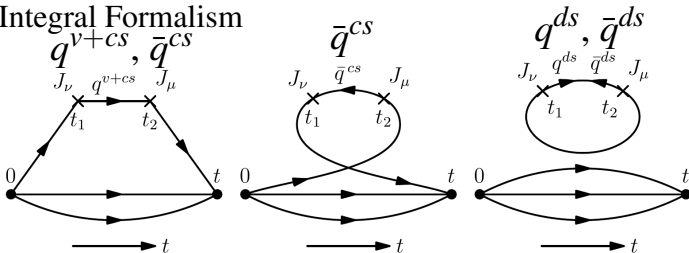
Hence,

$$\begin{aligned} u - \bar{u} &\equiv (u^{v+cs} + u^{ds}) - (\bar{u}^{cs} + \bar{u}^{ds}) = u^v + (u^{ds} - \bar{u}^{ds}) \\ &\neq u^v, \quad \text{unless } u^{ds} = \bar{u}^{ds} \end{aligned}$$

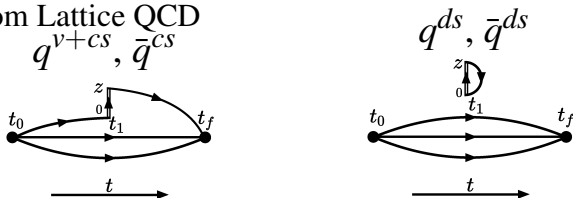
Similarly, $d^v \equiv d^{v+cs} - \bar{d}^{cs}$.

Hadronic tensor in Euclidean path-integral formalism versus Quasi-PDF from Lattice QCD

- Path-Integral Formalism

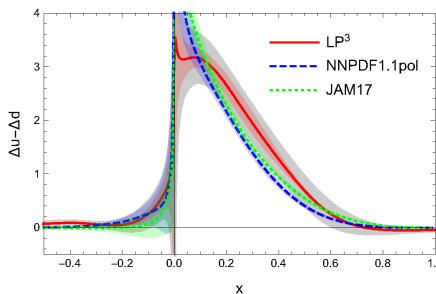


- Quasi-PDF from Lattice QCD

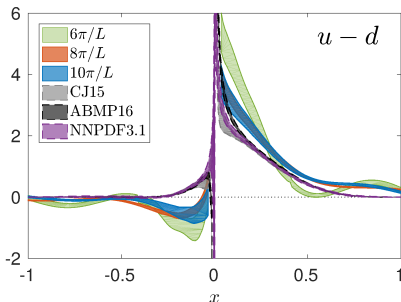


Connected Insertion(CI) Disconnected Insertion(DI)

Quasi PDF results from LP3 and ETMC - connected insertion calculation



LP3 – H.W. Lin et al, PRL,
arXiv:1807.07431



C. Alexandrou et al, PRL,
arXiv:1803.02685

Where

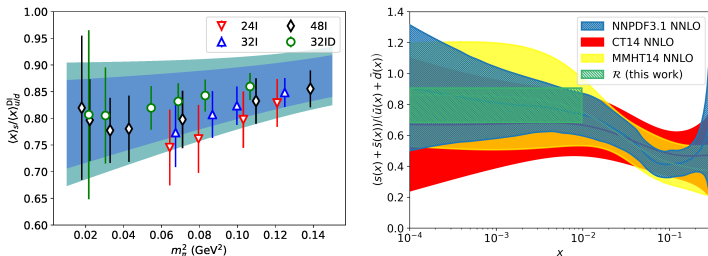
$$q(x > 0) = q^{v+cs}(x), \quad q(x < 0) = -\bar{q}^{cs}$$

Parton degrees of freedom are the same as in hadronic tensor

- K.F. Liu, PRD, arXiv:2007.15075

Lattice input to global fitting of PDFs

Lattice result from overlap on $N_f = 2 + 1$ DWF on 4 lattices, with one at physical pion mass (J. Liang et al., χ QCD,PRD,arXiv:1901.07526)



$$\frac{1}{R} = \frac{\langle x \rangle_{s+\bar{s}}}{\langle x \rangle_{\bar{u}+\bar{d}}(DI)} (\text{at } 1.3 \text{ GeV}) = 0.822(69) \quad (78)$$

This is the only Lattice data used in the CT18CS analysis.

Strategy for global analysis in CT18CS

In CT18CS, the non-perturbative PDFs parametrized at $Q_0 = 1.3$ GeV are :

$$g, \quad u^v, \quad d^v, \quad \bar{u}^{cs}, \quad \bar{d}^{cs}, \quad s^{ds}.$$

In this analysis,

■ Disconnected Sea (DS) components:

- Similar to CT18 fit, we assume $\bar{s}(x) = s(x)$. Hence, $s^{ds}(x) = \bar{s}^{ds}(x)$.
- Likewise, we also assume $u^{ds}(x) = \bar{u}^{ds}(x)$ and $d^{ds}(x) = \bar{d}^{ds}(x)$ for simplicity.
- Assuming isospin symmetry for u and d quark PDF

This leads to

$$u^{ds} = \bar{u}^{ds} = d^{ds} = \bar{d}^{ds} = Rs = R\bar{s},$$

With $1/R = 0.822$ at $Q_0 = 1.3$ GeV.

Parton degrees of freedom at $Q_0 = 1.3 \text{ GeV}$

■ Connected Sea (CS) components:

We define $u^{cs} \equiv \bar{u}^{cs}$ and $d^{cs} \equiv \bar{d}^{cs}$. They will be separately determined by the global fit, though with the same a_1 and a_2 components.

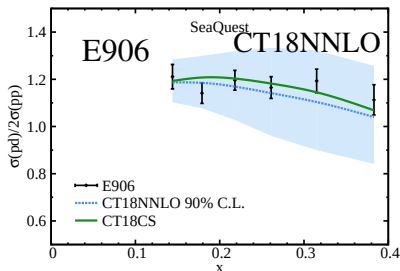
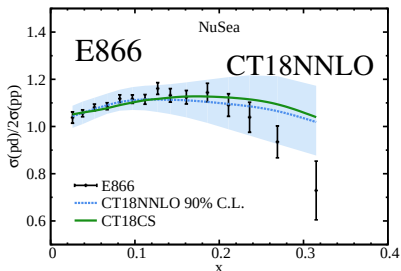
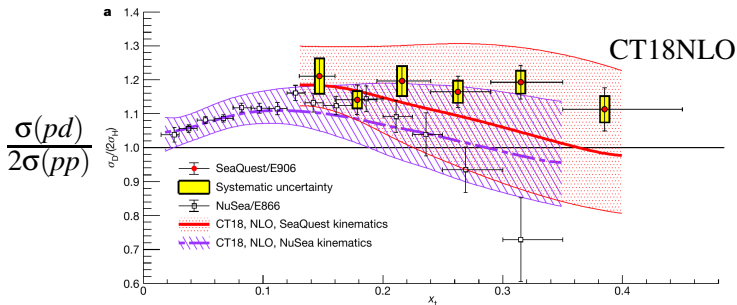
The physical parton degrees of freedom used in CT18CS are then:

	g	$=$	g_{par}	
	u^v	$=$	u_{par}^v	
	d^v	$=$	d_{par}^v	
In CT18	$\bar{u}$	$=$	$\bar{u}^{cs} + \bar{u}^{ds} = \bar{u}_{par} + R s_{par}$	In CT18CS
	$\bar{d}$	$=$	$\bar{d}^{cs} + \bar{d}^{ds} = \bar{d}_{par} + R s_{par}$	
	s	$=$	$\bar{s} = s_{par}$	

Both CT18 and CT18CS have 6 independent non-perturbative PDF functions, hence 6 parton degrees of freedom, at Q_0 scale.

The PDFs $f(x, Q)$ at $Q > Q_0$ are obtained by applying DGLAP evolution equations, as in CT18.

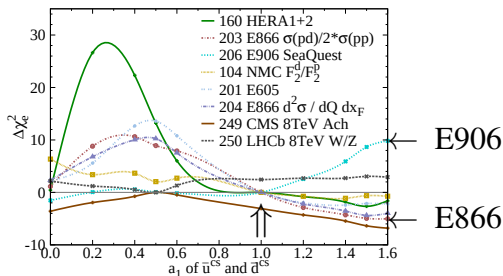
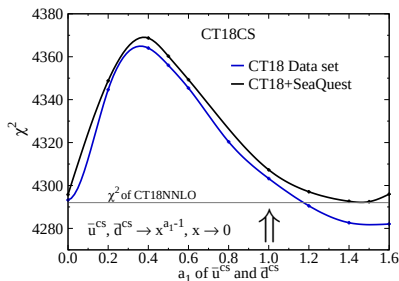
E906/SeaQuest



Small- x behavior of CT18CS PDFs

The non-perturbative PDF functions are chosen so that

- $\bar{d}/\bar{u} \xrightarrow{x \rightarrow 0} 1$. $\Leftarrow$ Isospin symmetry, similar to CT18
- $\bar{u}^{ds}, \bar{d}^{ds}, \bar{s}^{ds} \xrightarrow{x \rightarrow 0} x^{-1}$. $\Leftarrow$ Similar to CT18



- We scan the a_1 parameter of $\bar{u}^{cs}$ and $\bar{d}^{cs}$, and choose $a_1 = 1.0$ as CT18CS, where most of data are well fitted.
- The SeaQuest data was not included in the global fit.
- CT18CS has total $\chi^2 = 4299$ for $N_{pt} = 3681$. CT18 has total $\chi^2 = 4292$, only lower by 7 units.

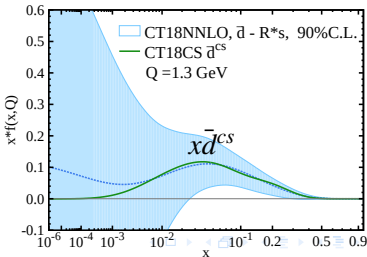
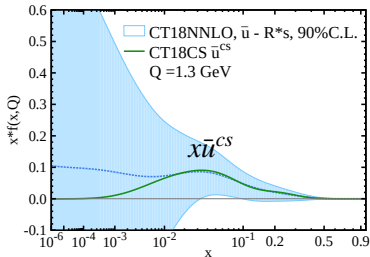
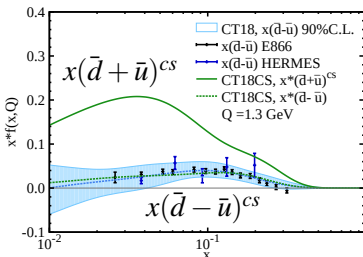
CT18CS PDFs

With the input of $\bar{u}^{ds} = \bar{d}^{ds} = R_s^{ds}$ from lattice QCD, and considering the scenario of small- x behavior, we obtain the CT18CS at $Q_0 = 1.3$ GeV scale.

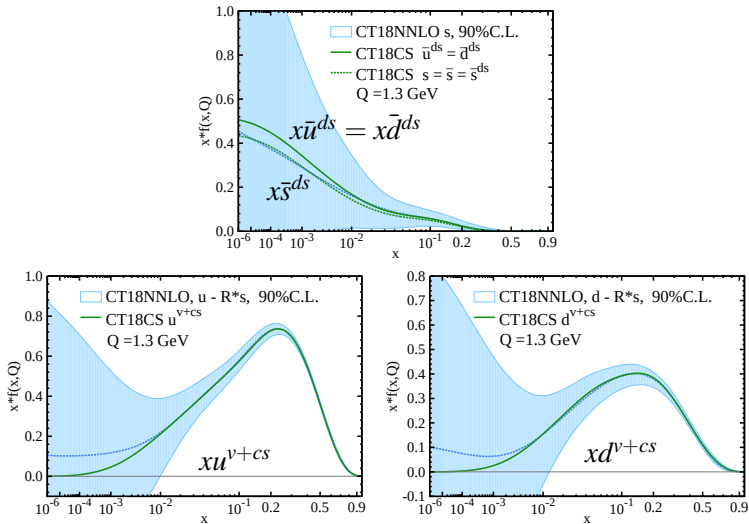
$$\frac{1}{R} \equiv \frac{\langle x \rangle_{s+\bar{s}}}{\langle x \rangle_{\bar{u}+\bar{d}}(DI)}$$

$$= 0.822$$

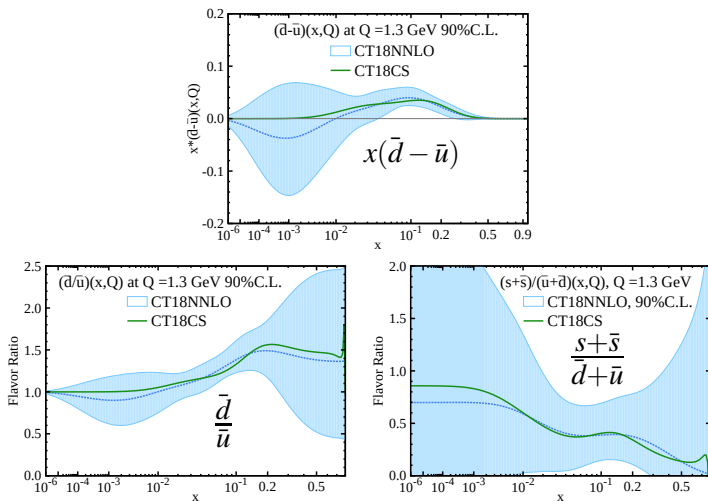
at 1.3 GeV



CT18CS PDFs



CT18CS PDFs



The $\langle x \rangle$ Moment of CT18CS at 1.3 GeV

The $\langle x \rangle$ moment of CT18CS at 1.3 GeV:

u^{v+cs}	d^{v+cs}	$\bar{u}^{cs}$	$\bar{d}^{cs}$	$2u^{ds}(2d^{ds})$	$2s^{ds}$	gluon
0.335	0.157	0.013	0.021	0.038	0.026	0.386

More direct comparison between global analysis and lattice calculation can be done one by one, instead of being limited to $u - d$ and s .

	$\mu = 2.0 \text{ GeV}$		$\mu = 1.3 \text{ GeV}$
	CT18	Lattice	CT18CS
$\langle x \rangle_{u^+ - d^+}$	0.156(7)	0.184(32)	0.172
$\langle x \rangle_{s^+}$	0.033(9)	0.092(41)	0.026

$$u^+ - d^+ = (u + \bar{u}) - (d + \bar{d}) = (u^{v+cs} + u^{ds} + \bar{u}^{cs} + \bar{u}^{ds}) - (d^{v+cs} + d^{ds} + \bar{d}^{cs} + \bar{d}^{ds})$$

$$\xrightarrow{CT18CS} (u^{v+cs} - d^{v+cs}) + (\bar{u}^{cs} - \bar{d}^{cs})$$

$$s^+ = s + \bar{s} = s^{ds} + \bar{s}^{ds} \xrightarrow{CT18CS} 2s^{ds}$$

Allow direct comparison between lattice calculations and global analysis for each parton degree of freedom.

Summary

- With the input from lattice QCD, $\frac{1}{R} \equiv \frac{\langle x \rangle_{s+\bar{s}}}{\langle x \rangle_{\bar{u}+\bar{d}}(DI)}$, we consider global analysis with the connected sea parton degrees of freedom taken into account, which are responsible for $\bar{u} \neq \bar{d}$, as suggested by data.
- The result of global analysis, the CT18CS, is found to be compatible with CT18.
- The CT18CS allows to provide direct comparison between lattice calculations and global analysis for each parton degree of freedom.

Hadronic tensor in Euclidean path-integral formalism

■ DIS in Minkowski space $\frac{d^2\sigma}{dE'd\omega} = \frac{\alpha^2}{q^4} \frac{E'}{E} l^{\mu\nu} W_{\mu\nu}$

$$W_{\mu\nu}(\vec{q}, \vec{p}, \nu) = \frac{1}{\pi} \text{Im} T_{\mu\nu} = \langle N(\vec{p}) | \int \frac{d^4x}{4\pi} e^{iq \cdot x} J_\mu(x) J_\nu(0) | N(\vec{p}) \rangle_{spin\ ave.}$$

$$= \frac{1}{2} \sum_n \int \prod_{i=1}^n \left[\frac{d^3 p_i}{(2\pi)^3 2E_{pi}} \right] (2\pi)^3 \delta^4(p_n - p - q) \langle N(\vec{p}) | J_\mu(0) | n \rangle \langle n | J_\nu(0) | N(\vec{p}) \rangle_{spin\ ave.}$$

■ Euclidean path-integral

(K.F. Liu and S.J. Dong, PRL 72, 1790 (1994), K.F. Liu, PRD 62, 074501 (2000))

$$\begin{aligned} & \tilde{W}_{\mu\nu}(\vec{q}, \vec{p}, \tau) \\ &= \frac{1}{4\pi} \sum_n \frac{2m_N}{E_n} \delta(\vec{p}_n - \vec{p} - \vec{q}) \langle N(\vec{p}) | J_\mu | n \rangle \langle n | J_\nu | N(\vec{p}) \rangle_{spin\ ave.} e^{-(E_n - E_p)\tau} \\ &= \langle N(\vec{p}) | \sum_{\vec{x}} \frac{e^{-i\vec{q} \cdot \vec{x}}}{4\pi} J_\mu(\vec{x}, \tau) J_\nu(0, 0) | N(\vec{p}) \rangle_{spin\ ave.} \end{aligned}$$

Inverse problem

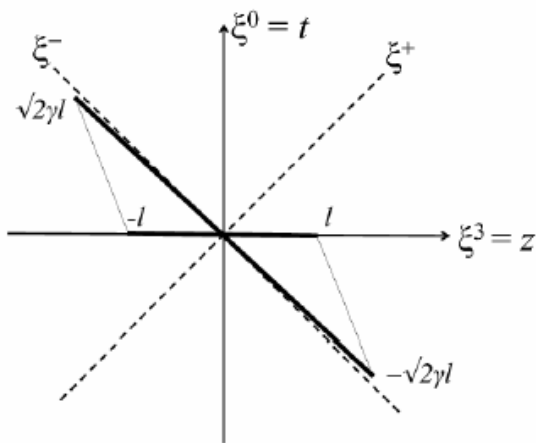
(Laplace transform)

$$D(\tau) = \int K(\tau, \nu) \rho(\nu) d\nu,$$

$$D(\tau) = \tilde{W}_{\mu\nu}(\tau), \quad K(\tau, \nu) = e^{-\nu\tau}, \quad \rho(\nu) = W_{\mu\nu}(q^2, \nu)$$

PDF on Lattice: Quasi-PDF

Problem: No light-cone direction on Euclidean lattice.



Alternative approach:
spatial separation
 $\downarrow$ *boost*
light-like separation

Credit: Ji, PRL.2013
Quasi-PDF:

$$\tilde{q}(x, \mu_R, P^z) = \int \frac{dz}{4\pi} e^{-ix \cdot z P^z} \langle N | \bar{\psi}(z) \Gamma W(z, 0) \psi(0) | N \rangle$$

Can be calculated on lattice

Large Momentum Effective Theory (LaMET) [Ji, PRL.2013]:

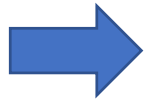
$$\tilde{q}(x, \mu_R, P_z) = \int_{-1}^1 \frac{dy}{|y|} C\left(\frac{x}{y}, \frac{\mu_R}{\mu}, \frac{\mu}{y P^z}\right) q(y, \mu) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{P_z^2}, \frac{m_N^2}{P_z^2}\right)$$

Strange quark and antiquark Quasi-PDFs

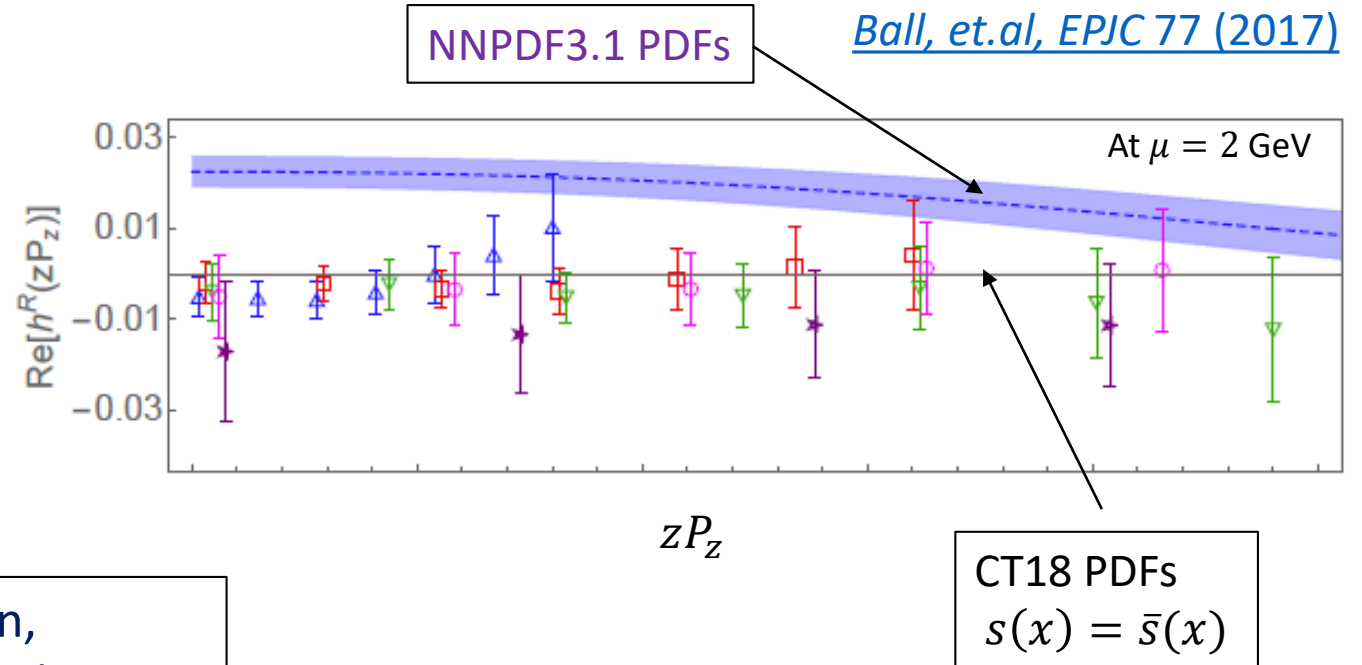
- Not a valence quark of the nucleon.
- Only disconnected contribution $\langle N | \overline{\psi}(z) \Gamma W(z, 0) \psi(0) | N \rangle$ on Lattice.

Disconnected insertion diagrams are more difficult to calculate on Lattice. The first attempt was done in [arXiv: 2005.01124](#) (by Rui Zhang, Huey-Wen Lin and Boram Yoon)

$$\text{Re}[h(z)] \propto \int dx (s(x) - \bar{s}(x)) \cos(xzP_z)$$



$s(x) = \bar{s}(x)$ is a good approximation, to be consistent with Quasi-PDF calculation.



[Ball, et.al, EPJC 77 \(2017\)](#)

[Hou, et.al, PRD 103 \(2021\)](#)