

# Asymptotics of hard diffraction

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Based on work with [Anh Dung Le](#) and Al Mueller  
arXiv:2010.15546, PRD and arXiv:2103.10088, submitted



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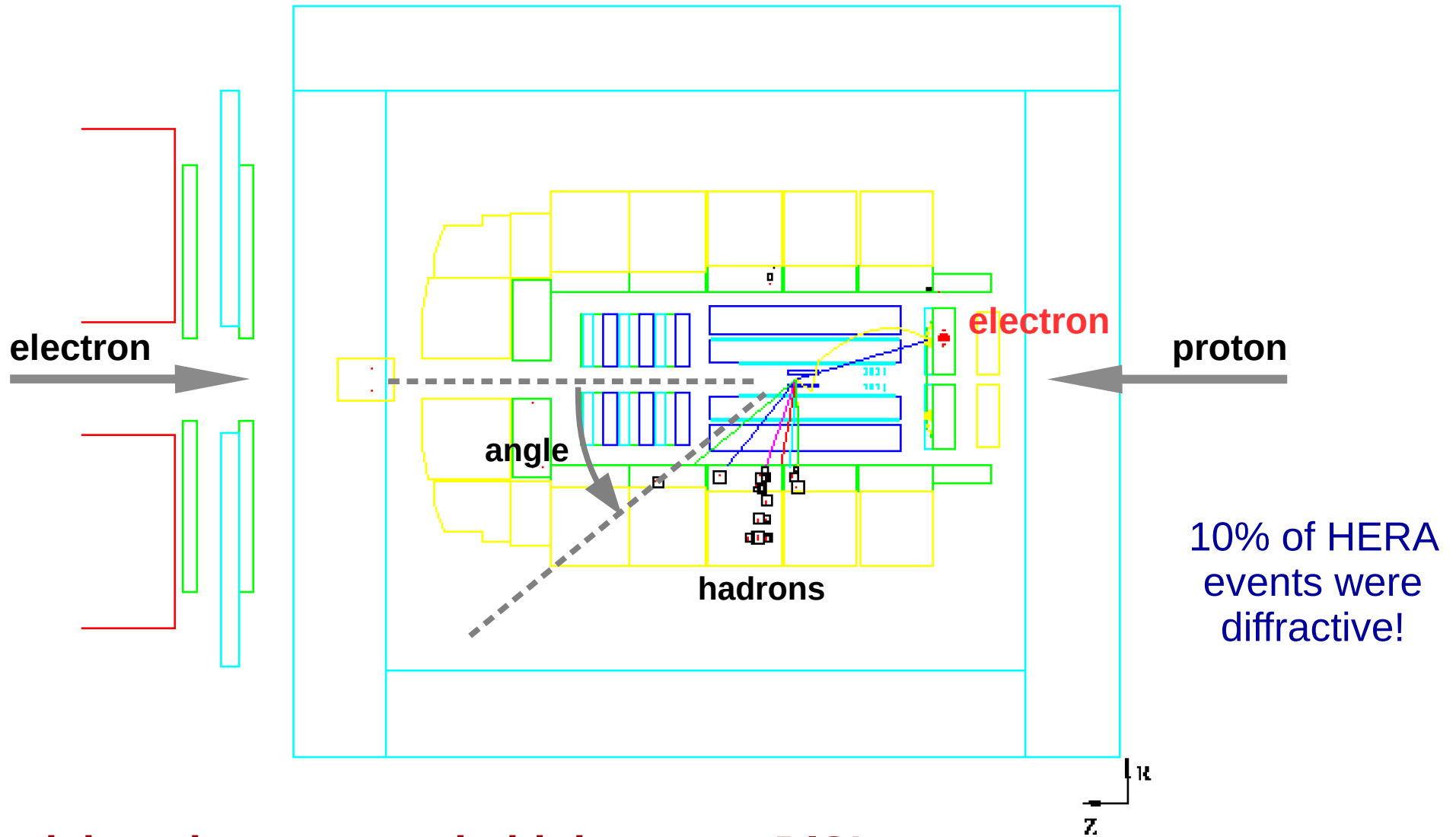
*his PhD thesis includes the material of this talk!*

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# Diffraction in deep-inelastic scattering

A major highlight of HERA!



10% of HERA events were diffractive!

**Surprising phenomenon in high-energy DIS!**

Its existence seems almost contradictory with the parton model...

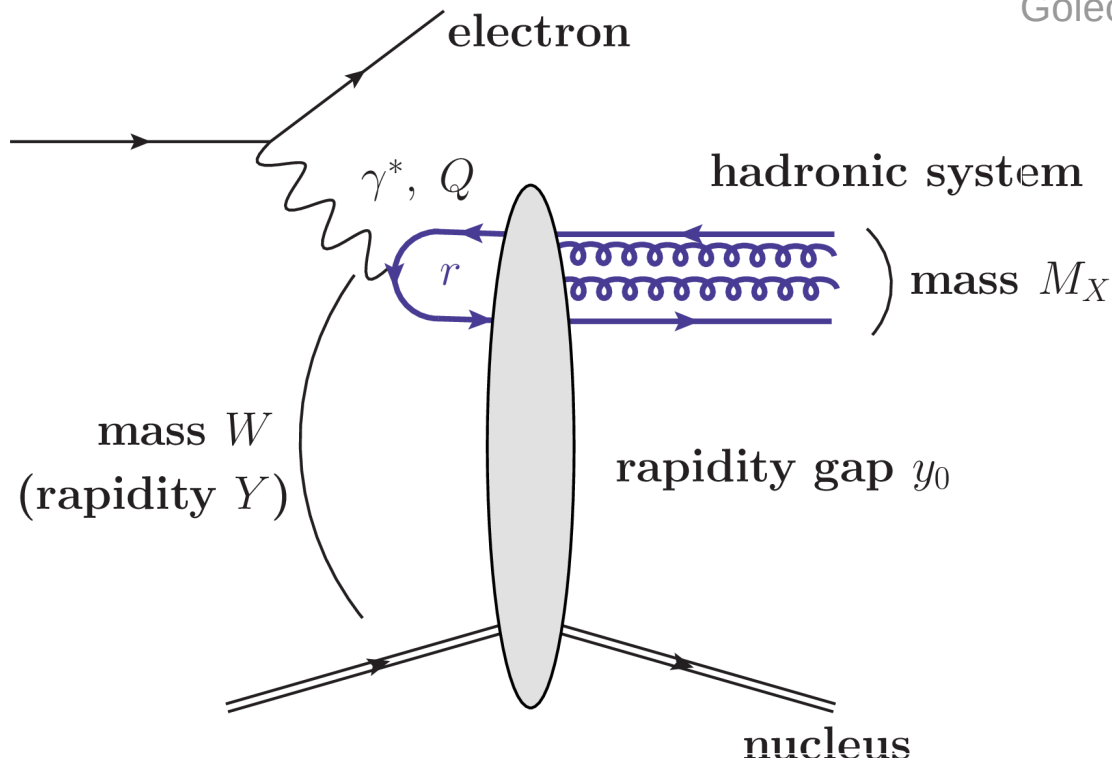
*Its observation boosted saturation physics!*

[Golec-Biernat, Wüsthoff 1998]

# Diffraction in deep-inelastic scattering

**This talk:** Diffractive dissociative electron-*nucleus* scattering  
 $\sim q \bar{q}$  dipole-nucleus, size  $r \sim 1/Q$

[Nikolaev, Zakharov '90  
 Golec-Biernat, Wüsthoff 1998  
 etc...]



**What is the distribution of the rapidity gap  $y_0$ ?**

$$\left| \frac{1}{\sigma_{\text{tot}}} \frac{d\sigma_{\text{diff}}}{dy_0} \right|$$

# Diffraction in deep-inelastic scattering

## Formulation in terms of evolution equations

Forward elastic S-matrix element for dipole-nucleus scattering:

$$S(\mathbf{r}, y=0) = e^{-\frac{r^2 Q_A^2}{4}} \quad (Q_A = \text{nuclear saturation momentum})$$

[McLerran, Venugopalan, 1993  
Golec-Biernat, Wüsthoff]

$$\partial_y S(\mathbf{r}, y) = \bar{\alpha} \int \frac{d^2 \mathbf{r}'}{2\pi} \frac{r^2}{r'^2 (\mathbf{r} - \mathbf{r}')^2} [S(\mathbf{r}', y) S(\mathbf{r} - \mathbf{r}', y) - S(\mathbf{r}, y)]$$

[Balitsky, Kovchegov  
1996-1999]

$$\Rightarrow \sigma_{\text{tot}} = 2[1 - S(\mathbf{r}, Y)]$$

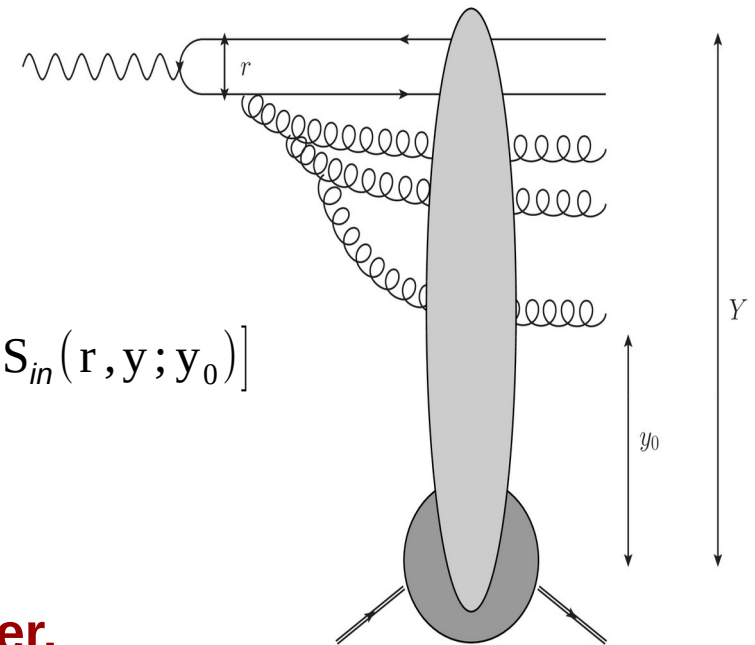
Define  $S_{in}$  at  $y=y_0$  as  $S_{in}(\mathbf{r}, y_0; y_0) = [S(\mathbf{r}, y_0)]^2$

and for  $y > y_0$ :

$$\partial_y S_{in}(\mathbf{r}, y; y_0) = \bar{\alpha} \int \frac{d^2 \mathbf{r}'}{2\pi} \frac{r^2}{r'^2 (\mathbf{r} - \mathbf{r}')^2} \times [S_{in}(\mathbf{r}', y; y_0) S_{in}(\mathbf{r} - \mathbf{r}', y; y_0) - S_{in}(\mathbf{r}, y; y_0)]$$

$$\Rightarrow \frac{d\sigma_{\text{diff}}}{dy_0} = -\frac{\partial}{\partial y_0} S_{in}(\mathbf{r}, Y; y_0)$$

**The solution to these equations is what we are after,  
but they are difficult to solve!**



[Kovchegov, Levin 2000  
numerical solution by Levin, Lublinsky 2001  
See also Anh Dung Le's talk]

# Outline

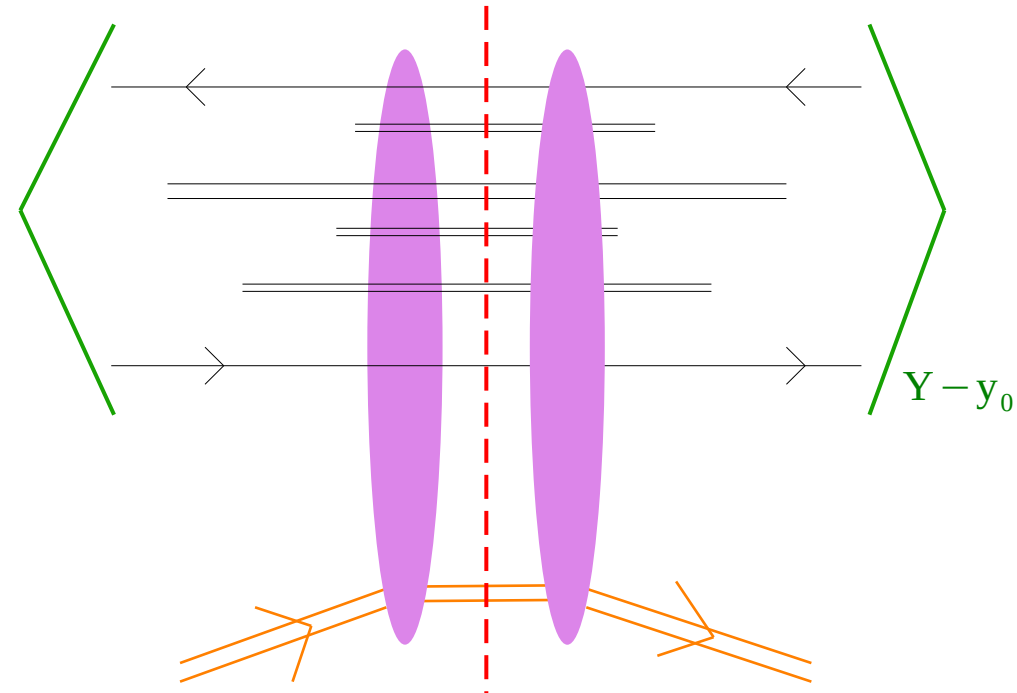
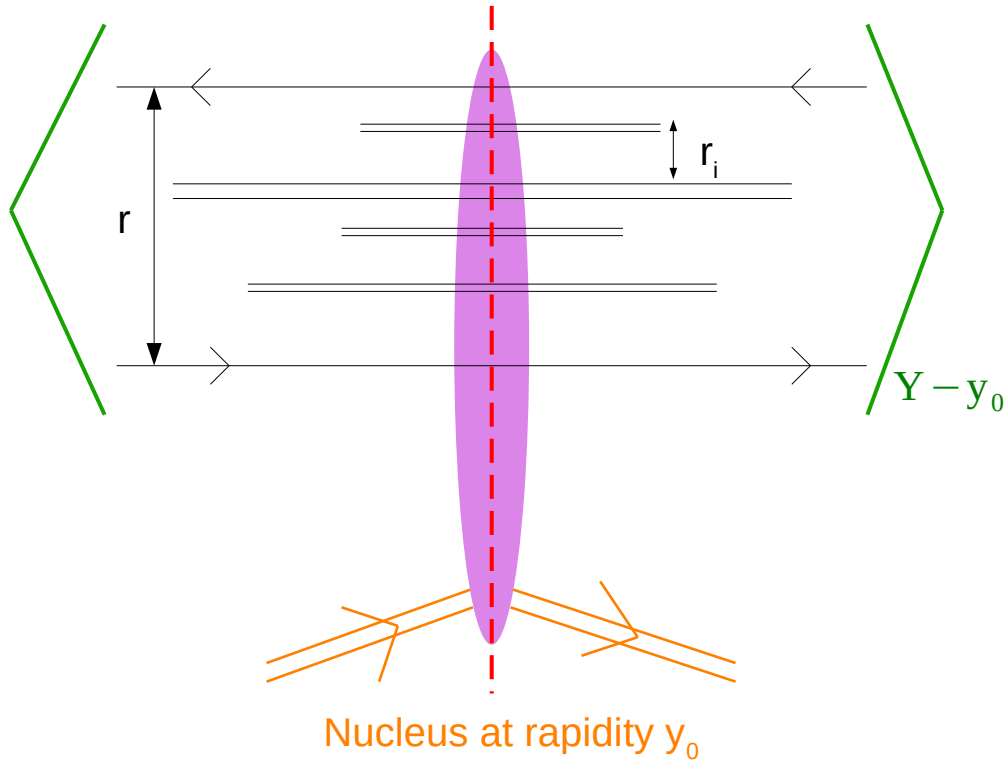
- ★ Probabilistic picture
- ★ Nuclear scattering configurations of onia
- ★ Analytical asymptotics for the gap distribution

# Probabilistic picture

## Cross sections from S-matrix elements

[Mueller 1993]

**Onium evolved to rapidity  $Y-y_0$  = collection of color dipoles**  
*(we represent one realization of the modulus<sup>2</sup> of the wave function)*



$$\sigma_{\text{tot}} = 2 \langle 1 - S(\{r_i\}, y_0) \rangle_{Y-y_0}$$

**Total cross section**

$$\sigma_{\text{diff}} = \langle [1 - S(\{r_i\}, y_0)]^2 \rangle_{Y-y_0}$$

**Diffractive dissociation cross section  
 conditioned to a minimum gap  $y_0$**

# Probabilistic picture

## S-matrix elements in the “y<sub>0</sub>-frame”

$$S(\{r_i\}, y_0) = \prod_i S(r_i, y_0)$$

*each factor solves the BK equation*

independence of scatterings

$$\text{introduce } x \equiv \ln[1/(r^2 Q_A^2)] \text{ and number density } n(x) \rightarrow = \prod_{x'} [S(x', y_0)]^{n(x') dx'} \stackrel{dx' \rightarrow 0}{=} e^{-\int dx' n(x') \ln[1/S(x', y_0)]}$$

and number density n(x)

$$\text{assume } S(x', y_0) \text{ close to } 1 \rightarrow \simeq e^{-\int dx' n(x') [1-S(x', y_0)]} \equiv e^{-I}$$

*I = “overlap” of dipole density and dipole scattering amplitude*

## Cross sections as probabilities

$$\sigma_{\text{tot}} = 2 \langle 1 - S(\{r_i\}, y_0) \rangle_{Y-y_0}$$

$$= 2 \langle 1 - e^{-I} \rangle_{Y-y_0}$$

$$= 2 \langle (e^I - 1) e^{-I} \rangle_{Y-y_0}$$

$$= 2 \sum_{k=1}^{\infty} \left\langle \frac{I^k}{k!} e^{-I} \right\rangle_{Y-y_0}$$

**Interpretation:**  
probability that k dipoles effectively scatter

$$\sigma_{\text{tot}} \equiv 2 \sum_{k=1}^{\infty} W_k$$

$$\sigma_{\text{diff}} = \langle [1 - S(\{r_i\}, y_0)]^2 \rangle_{Y-y_0}$$

$$\sigma_{\text{diff}} = \sum_{k \text{ even} \geq 2} W_k$$

**Diffractive cross section**

**=**

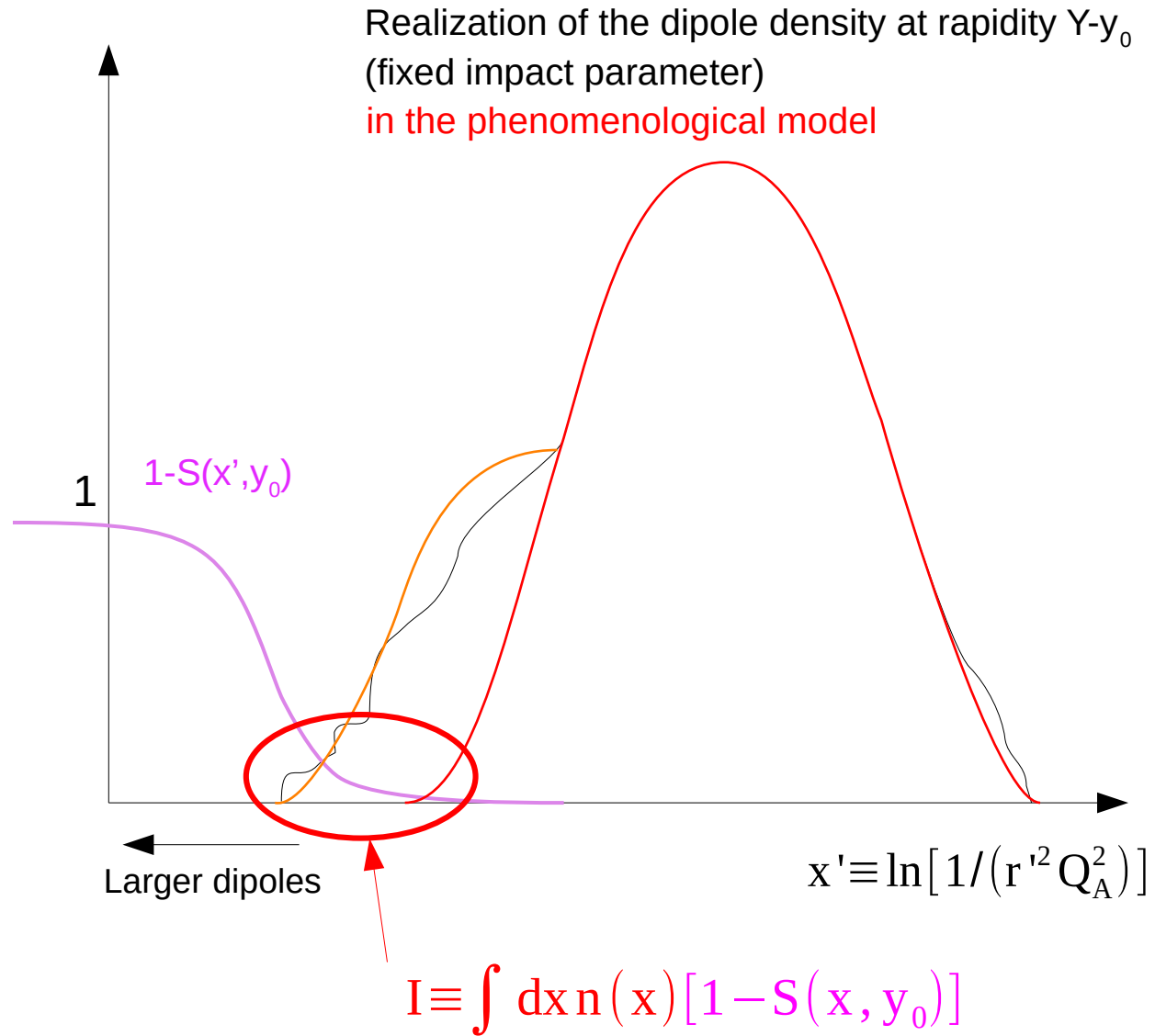
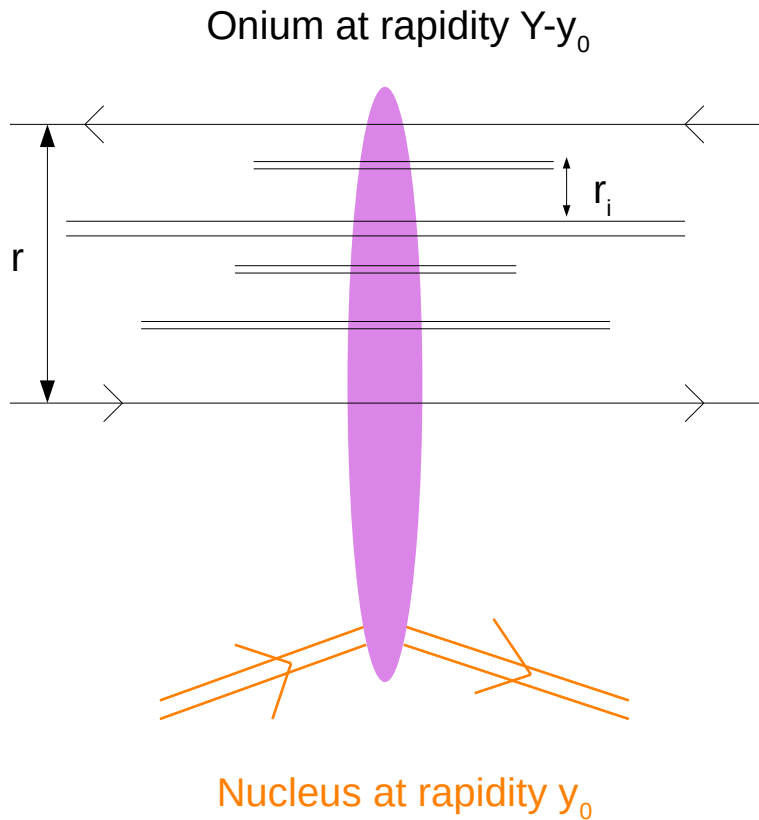
**Probability of an even number of participating dipoles**

***Need to understand the scattering configurations of onia!***



# Nuclear scattering configurations of onia

“ $y_0$ -frame”



Phenomenological model for dipole density = “mean-field” evolution + 1 single fluctuation

# Nuclear scattering configurations of onia

## Phenomenological model

[Mueller, SM, 2014  
Le, Mueller, SM, 2020]

The “mean-field” dipole density is obtained by solving the BFKL equation for the mean dipole density in a dipole of initial size  $r$ , with an absorptive boundary effectively restoring the discreteness of the dipoles

$$n(r') = \text{const}_1 \times \ln \frac{R(Y-y_0)}{r'} \times \left( \frac{R(Y-y_0)}{r'} \right)^{2\gamma_0} e^{-\ln^2[r'^2/[R(Y-y_0)]^2]/[2\chi''(\gamma_0)\bar{\alpha}(Y-y_0)]}$$

$$[R(\tilde{y})]^2 = r^2 e^{\bar{\alpha}\chi'(\gamma_0)\tilde{y} - \frac{3}{2\gamma_0} \ln \tilde{y}}$$

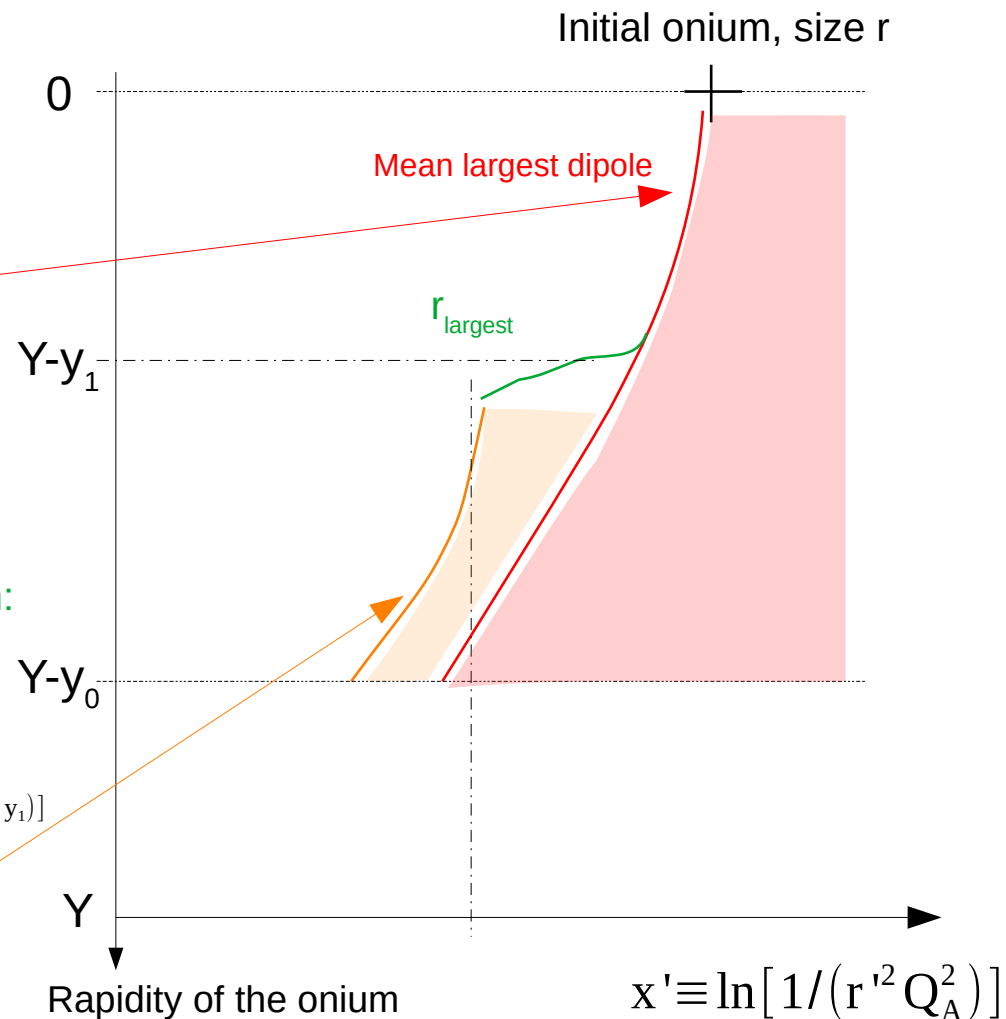
$$[\chi(\gamma) = 2\psi(1) - \psi(\gamma) - \psi(1-\gamma), \quad \gamma_0 = 0.63\dots]$$

The single fluctuation is a very large dipole produced at some rapidity  $Y-y_1$ .

One sets its probability distribution to be that of the largest dipole at  $y_1$ , which solves exactly the BK equation:

$$\text{proba}(r_{\text{largest}}) = \text{const}_2 \times \ln \frac{r_{\text{largest}}}{R(Y-y_1)} \left( \frac{R(Y-y_1)}{r_{\text{largest}}} \right)^{2\gamma_0} e^{-\ln^2[r_{\text{largest}}^2/[R(Y-y_1)]^2]/[2\chi''(\gamma_0)\bar{\alpha}(Y-y_1)]}$$

The dipole further evolves deterministically



# Analytical asymptotics for the gap distribution

from the phenomenological model, in the “scaling region”

[Le, Mueller, SM, 2021]

$$w_k = \left\langle \frac{I^k}{k!} e^{-I} \right\rangle_{Y-y_0} \quad \sigma_{\text{tot}} = 2 \sum_{k=1}^{\infty} w_k \quad \sigma_{\text{diff}} = \sum_{k \text{ even} \geq 2}^{\infty} w_k$$

Probabilistic weights of the number  $k$  of participating dipoles

(= number of exchanged “Pomerons” between the onium and the nucleus):

$$w_1 = \text{const} \times 2 \ln \frac{1}{r Q_s(Y)} [r Q_s(Y)]^{2y_0}$$

$$Q_s^2(y) = Q_A^2 e^{\bar{\alpha} \chi'(y_0) y - \frac{3}{2y_0} \ln y}$$

$$w_k \underset{Y \rightarrow \infty}{=} \frac{\text{const}}{Y_0} \frac{1}{k(k-1)} \left( 1 + \sqrt{\frac{2}{\pi \chi''(y_0)}} \frac{1}{\sqrt{\bar{\alpha} y_0}} \ln \frac{1}{r^2 Q_s^2(Y)} \right) [r Q_s(Y)]^{2y_0}$$

$$1 \ll \ln[r Q_s(Y)] \leq \sqrt{\bar{\alpha} Y}$$

**Interesting feature:  $w_k$  decays slowly with  $k$ :**

**Many-exchange events are typical!**

$$\frac{w_k}{w_2} = \frac{2}{k(k-1)}$$

[see also Salam; Mueller, Salam, 1995-1996]

Gap distribution: NB: derivative of  $w_k$  with respect to  $y_0$  requires a careful calculation (to keep finite  $Y$  terms)

$$\left| \frac{1}{\sigma_{\text{tot}}} \frac{d\sigma_{\text{diff}}}{dy_0} \right| = \frac{\sum_{k \text{ even}} \partial w_k / \partial y_0}{2(w_1 + \sum_{k \geq 2} w_k)} = \frac{\ln 2}{y_0 \sqrt{2\pi \chi''(y_0)}} \frac{1}{\sqrt{\bar{\alpha}}} \left[ \frac{Y}{y_0(Y-y_0)} \right]^{3/2} \exp\left( -\frac{\ln^2[r^2 Q_s^2(Y)]}{2\chi''(y_0)\bar{\alpha}(Y-y_0)} \right)$$

**NEW**

[Mueller, SM, 2018; see also Contreras, Levin, Meneses, Potashnikova, 2018]

# Summary

- We have predicted the rapidity gap distribution in diffractive dissociation of a virtual photon off a nucleus, **including the overall constant**:

$$\left| \frac{1}{\sigma_{\text{tot}}} \frac{d\sigma_{\text{diff}}}{dy_0} \right| = \frac{\ln 2}{y_0 \sqrt{2\pi} \chi''(y_0)} \frac{1}{\sqrt{\bar{\alpha}}} \left[ \frac{Y}{y_0(Y-y_0)} \right]^{3/2} \exp\left( -\frac{\ln^2[r^2 Q_s^2(Y)]}{2\chi''(y_0)\bar{\alpha}(Y-y_0)} \right)$$

NB: We have successful numerical checks of this formula!

- Partonic interpretation: the rapidity gap is due to a **large fluctuation** (unusually low-transverse momentum gluon) in the course of the QCD evolution of the onium Fock state

Once this fluctuation has occurred, typically many exchanges occur!

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## Outlook

- Phenomenology: DIS at EIC/LHeC, convolute with virtual photon wave function; study phenomenologically subasymptotic corrections (finite-Y, running coupling etc...) .  
**Detailed study: see Anh Dung Le's talk!**
- Analytical study of the running coupling
- Aim at a systematic calculation of finite-Y corrections  
→ *requires to understand better general branching processes!*