

# The origin of axial anomaly in the high temperature phase of QCD

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Reference:

V. Dick, F. Karsch, E. Laermann, S. Mukherjee and S.Sharma,  
arxiv: 1502.06190.

# Outline

- 1 The  $U_A(1)$  puzzle
- 2 Background
- 3 Our results
- 4 Conclusions

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# The $U_A(1)$ puzzle

- **Origin:**

Anomalous  $U_A(1)$  not an exact symmetry of QCD yet may the order of phase transition for  $N_f = 2$  [Pisarki & Wilczek, 83].

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- **Earliest works:**

Disconnected contribution to two point-functions in the chiral symmetry restored phase  $\rightarrow 0$  as  $m \rightarrow 0$  [Cohen, 96]  $\Rightarrow U_A(1)$  is restored!

# The $U_A(1)$ puzzle

- **Origin:**

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- Contribution of fermion zero modes neglected. It may result in non-vanishing  $U_A(1)$  even when chiral symmetry is restored

[Lee & Hatsuda, 96].

**Need a careful lattice study!**

# Implications for QCD: Critical point

- The existence of critical point crucially depends on number of light quarks.
- A moderately heavy strange quark may shift its position but not its existence.
- Associated with chiral symmetry restoration:  
Need fermions with **exact** chiral symmetry at finite  $\mu$  on the lattice.  
[Narayanan & Sharma, 11 , Gavai & Sharma, 12]
- Need to maintain the axial anomaly on the lattice and study its  $T$ -dependence.

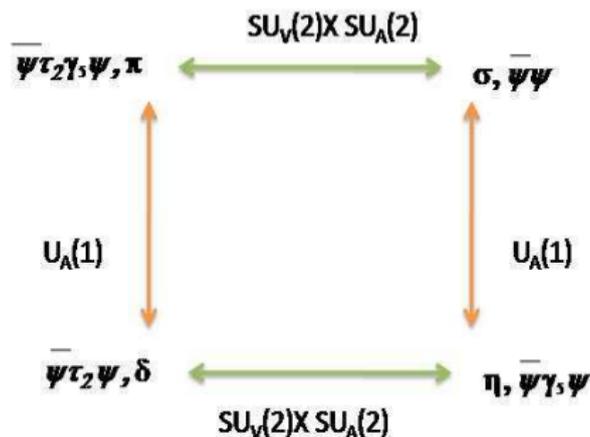
# Constituents of the hot QCD medium

- At  $T = 0$ , anomaly effects related to instantons [t'Hooft, 76].
- Near  $T_c$ , a medium consisting of interacting instantons can explain chiral symmetry breaking  $\Rightarrow$  Instanton Liquid Model [Shuryak, 82]
- At  $T \gg T_c$ , medium is like a dilute gas of instantons [Gross, Pisarski & Yaffe, 81].
- What is the medium made up of for  $T_c \leq T \leq 2T_c$ ?

# Routes to solve the puzzle

Not an exact symmetry  $\rightarrow$  what observables to look for?

Degeneracy of the correlators with specific quantum numbers in meson channels [Shuryak, 94]



# Routes to solve the puzzle

- Either look at the difference of the integrated correlators

$$\chi_\pi - \chi_\delta = \int d^4x [\langle i\pi^+(x)i\pi^-(0) \rangle - \langle \delta^+(x)\delta^-(0) \rangle]$$

- Equivalently study  $\rho(\lambda, m_f)$  of the Dirac operator.

$$\chi_\pi - \chi_\delta \xrightarrow{V \rightarrow \infty} \int_0^\infty d\lambda \frac{4m_f^2 \rho(\lambda, m_f)}{(\lambda^2 + m_f^2)^2}, \quad \langle \bar{\psi}\psi \rangle \xrightarrow{V \rightarrow \infty} \int_0^\infty d\lambda \frac{2m_f \rho(\lambda, m_f)}{(\lambda^2 + m_f^2)}$$

- If chiral symmetry restored:  $\langle \bar{\psi}\psi \rangle = 0 \Rightarrow \lim_{m_f \rightarrow 0} \lim_{V \rightarrow \infty} \rho(0, m_f) \rightarrow 0$ .
- A gap in the infrared spectrum  $\Rightarrow U_A(1)$  restored
- **chiral symmetry restored +  $U_A(1)$  broken if:**  
 $\lim_{\lambda \rightarrow 0} \rho(\lambda, m_f) \rightarrow \delta(\lambda) m_f^\alpha, 1 < \alpha < 2$

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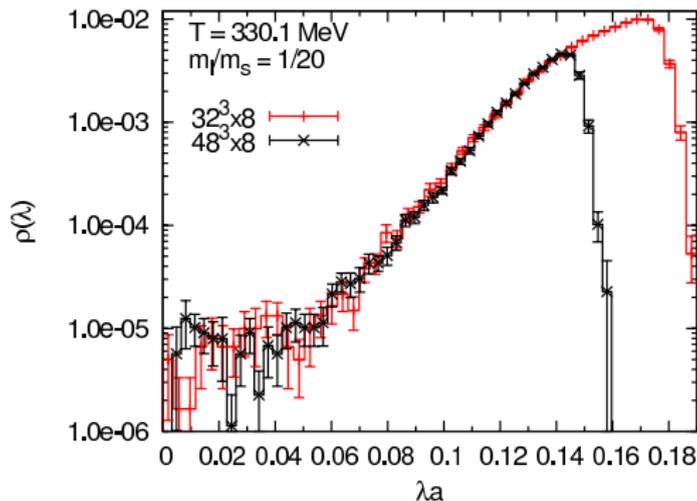
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# More puzzles from lattice?

The earlier results on  $U_A(1)$  are **not conclusive**

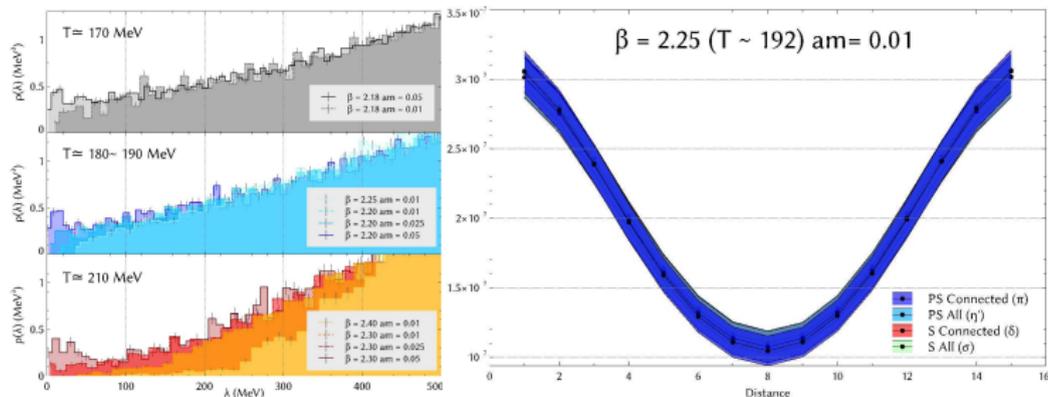


Dynamical (improved) Staggered fermions: First Large volume study  $32^3 \times 8 \rightarrow U_A(1)$  broken [Ohno et. al., 12]

Same observation noted earlier for smaller lattice [Chandrasekharan & Christ, 96]

Delicate cut-off effects  $\rightarrow$  continuum symmetries not preserved

# More puzzles from lattice?

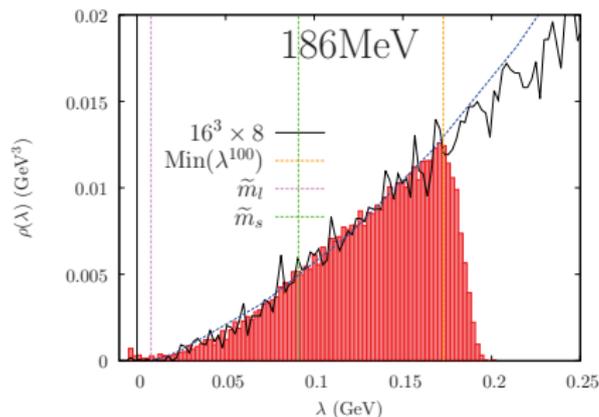


Dynamical overlap fermions with exact chiral symmetry on lattice  $\rightarrow U_A(1)$

restored [Cossu et. al, JLQCD collaboration, 11, 12]

Pion mass 220 MeV! Effects of fixing the topology? Thermodynamic equilibrium?

# More puzzles from lattice?



Dynamical domain wall fermions with better chiral symmetry  $\rightarrow U_A(1)$  broken

[Buchoff et. al., 13] Low statistics in the lower end of spectrum?

Optimal domain wall fermions: On small lattice  $\rightarrow U_A(1)$  restored [Chiu et. al. 13]

# More puzzles from lattice?

Issues need to be addressed

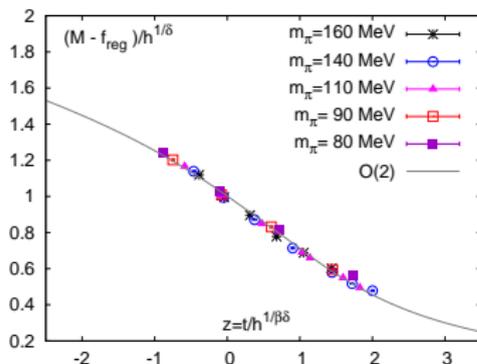
- Sufficient control on the lattice artifacts?
- Is the lattice volume large enough to hold sufficient number of instantons?
- Chiral and continuum limits.

# Motivation for our work

- At present improved versions of staggered fermions used extensively for QCD thermodynamics. Continuum limits for  $T_c, \chi_2$  are in agreement.

[Budapest-Wuppertal collaboration 10, HotQCD collaboration, 11].

- Highly improved staggered quarks(HISQ): minimal taste symmetry breaking  $\Rightarrow$  lattice artifacts reduced.
- Hints about the  $O(4)$  scaling from these configurations  $\rightarrow$  effects of anomaly? [Bielefeld-BNL collaboration, 09, HotQCD collaboration, 11]



- Absence of unique index theorem for staggered fermions makes it difficult!

# Index theorem on the lattice

- It is impossible to define chiral fermions on lattice which are (ultra)local.  
[Nielsen & Ninomiya, 82]
- Overlap fermions [Narayanan & Neuberger, 94, Neuberger, 98] have exact chiral symmetry on the lattice.

$$D_{ov} = M(1 + \gamma_5 \text{sgn}(\gamma_5 D_W(-M))) , \text{sgn}(A) = A/\sqrt{A \cdot A}.$$

- It satisfies the Ginsparg-Wilson relation  $\{\gamma_5, D_{ov}\} = aD_{ov}\gamma_5 D_{ov}$   
[Ginsparg & Wilson, 82]
- $D_{ov}$  has an exact index theorem like in the continuum  $\Rightarrow$  the zero modes of  $D_{ov}$  related to topological structures of the underlying gauge field.  
[Hasenfratz, Laliena & Niedermeyer, 98]

# Index theorem on the lattice

- We use the overlap as **valence operator** to probe the infrared spectrum.
- The Highly Improved Staggered Quark(HISQ) configurations form the **sea quarks** in background.
- We look at the eigenvalue distribution of  $D_{ov}$  on the HISQ ensembles.
- Zero modes of  $D_{ov}$  related to topological structures of HISQ sea.
- **Infrared part of eigenvalue distribution** gives us idea about the  $\chi_{SB}$  ,  $U_A(1)$  and the topological structures that contribute to them.

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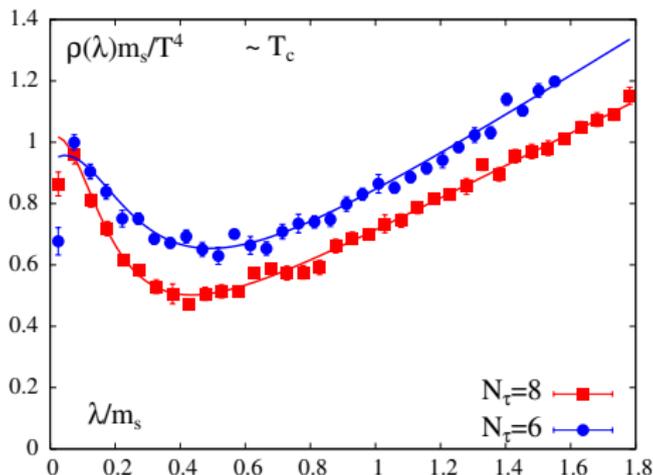
# Numerical details

- Lattice size: 4D hypercube with  $N = 32$ , 24 sites along each spatial dim and  $N_\tau = 8$ , 6 sites along temporal dim.
- Volumes,  $V = N^3 a^3$ , Temperature,  $T = \frac{1}{N_\tau a}$ ,  $a$  is the lattice spacing.
- Box size:  $m_\pi V^{1/3} > 3$
- 2 light+1 heavy flavour
- Input  $m_s$  physical  $\approx 100$  MeV and  $m_s/m_l = 20 \Rightarrow m_\pi = 160$  MeV.
- To study the chiral limit we have another set of  $N_\tau = 6$  configurations  $T_c$  with lighter than physical  $m_\pi = 110$  MeV.

# Eigenvalue distribution near $T_c$

General features: **Near zero mode peak** +bulk

We fit the eigenvalue density to ansatz:  $\rho(\lambda) = \frac{A\epsilon}{\lambda^2+A} + B\lambda^\gamma$



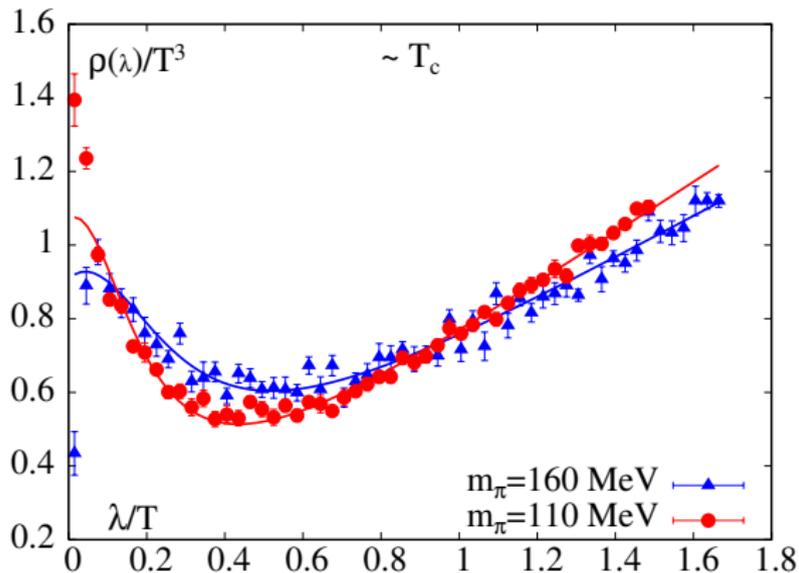
Bulk rises linearly as  $\lambda$ , **no gap seen**.

If  $\rho(\lambda, m)$  analytic in  $m^2 \Rightarrow$  signature of  $U_A(1)$  restoration as chiral symmetry restored:  $\rho \propto \lambda^3$  [Aoki, Fukaya & Taniguchi, 12].

# Eigenvalue distribution near $T_c$

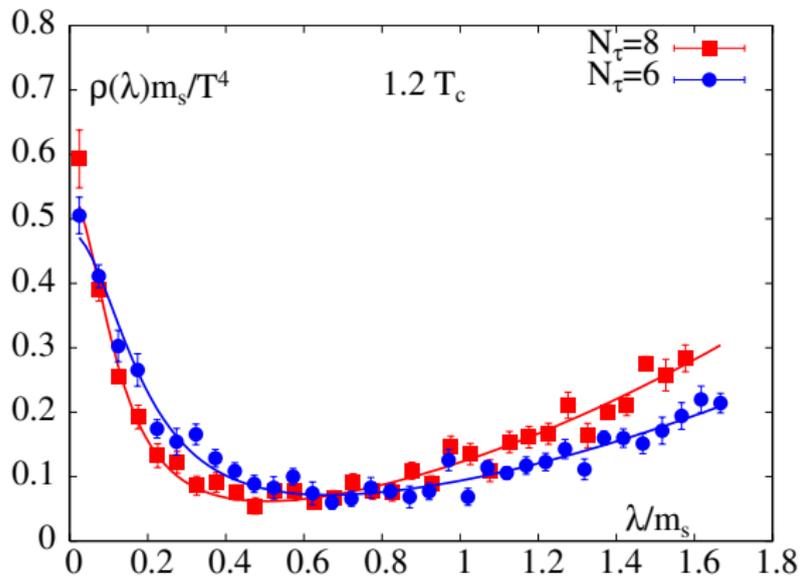
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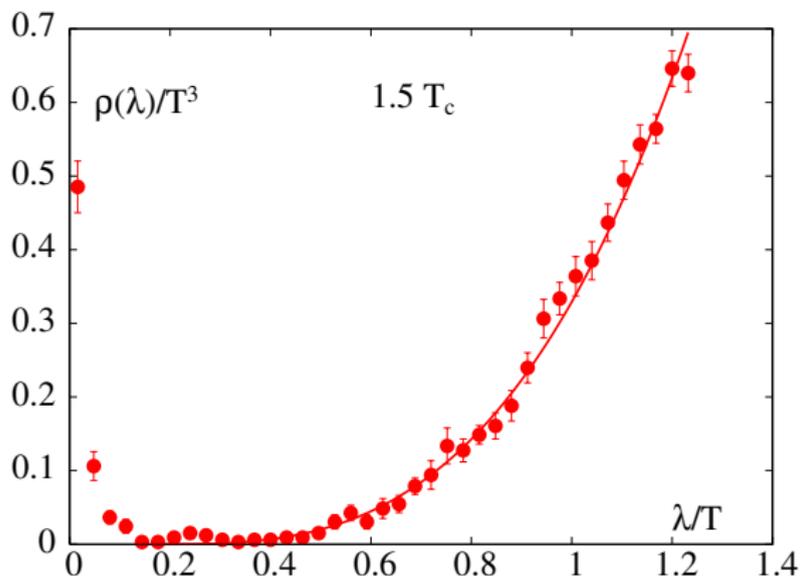
**No gap even when quark mass reduced!**

At higher temperatures..



Near zero mode peak shows little cut-off dependence. Bulk rises as  $\lambda^2$ .

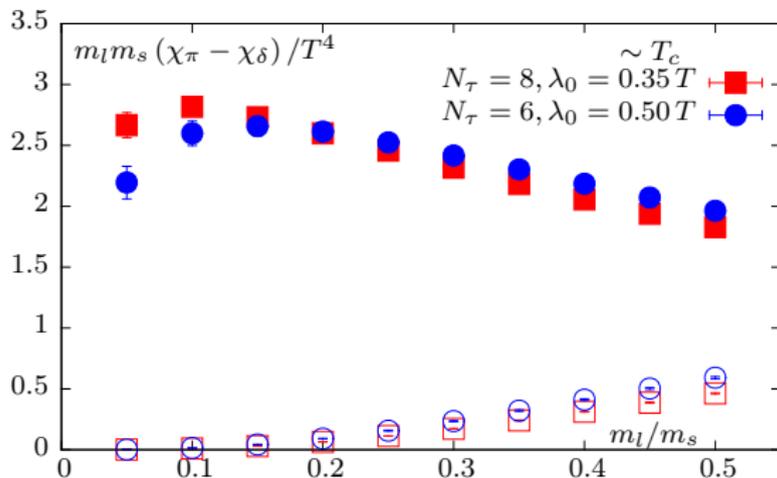
At higher temperatures..



At  $1.5T_c$ , the bulk and the near zero peak decouples completely  
Bulk rises as  $\lambda^3$ .

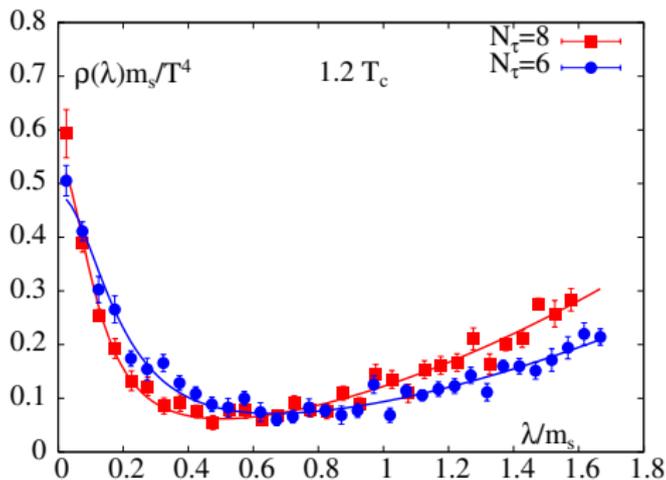
# Microscopic origin of $U_A(1)$

- $m_s$  tuned by matching RG invariant combination  $m_s^2 \frac{\langle \bar{\psi} \psi \rangle_s - \chi_{conn}^s}{T^4}$ .
- Renormalized  $\chi_\pi - \chi_\delta$  has negligible cut-off dependence near  $T_c$ .
- Significant contribution comes from the near zero modes than the bulk  $\Rightarrow$   
Near zero modes responsible for  $U_A(1)$  breaking



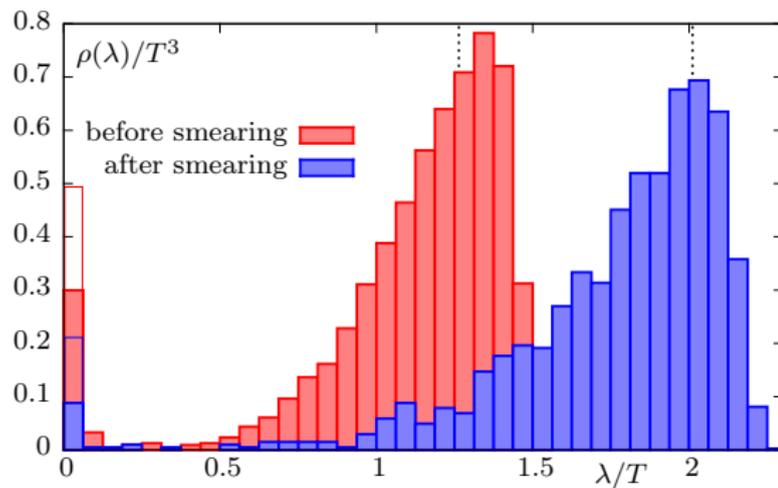
# Robustness of near zero modes

- The infrared part could be affected by **unphysical** dislocations. → effect of partial quenching as well as rough configurations.
- These have smaller classical action than instantons. → cut-off effect.
- We do not observe any **significant** cut-off dependence of the renormalized eigen spectrum at high  $T$ .



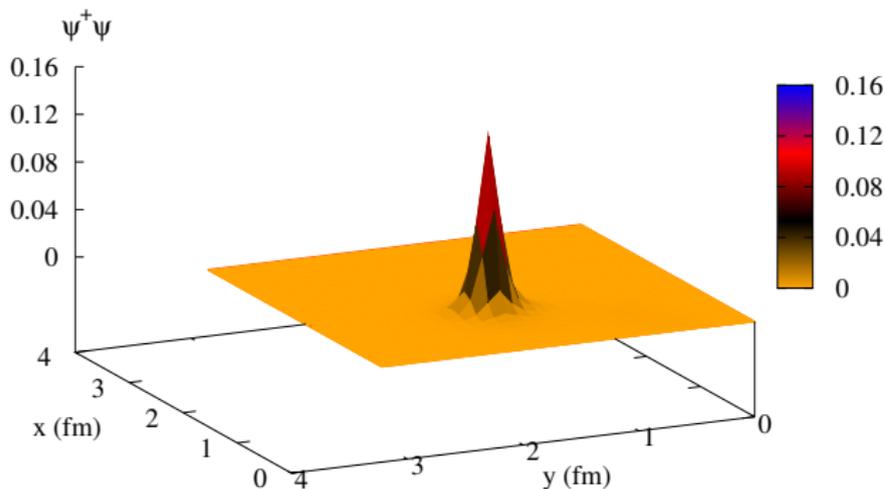
# Robustness of near zero modes

- HYP smearing [Hasenfratz & Knechtli, 02] expected to eliminate such small localized structures.



- Smearing does not eliminate the near zero modes.
- Difference? Smearing may suppress small instantons.

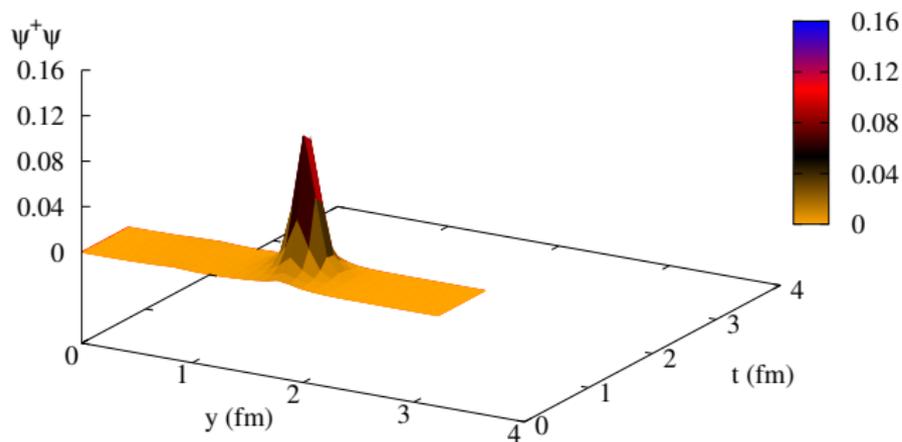
# Localization properties of zero modes at $1.5 T_c$



Localized in space.

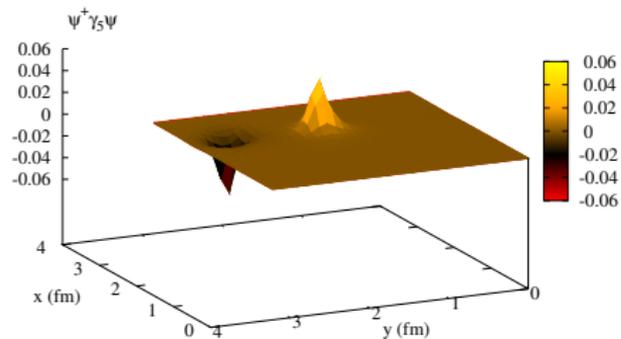
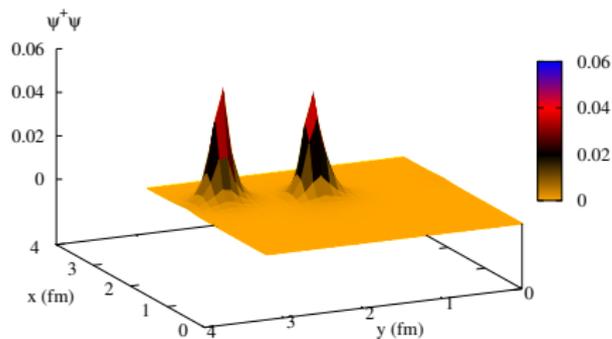
$$\text{Fit to } \psi_0^\dagger(x)\psi_0(x) \simeq \frac{\rho^2}{\pi} \frac{1}{(x^2 + \rho^2)^3} \rightarrow \rho = 0.223(8) \text{ fm.}$$

# Localization properties of zero modes at $1.5 T_c$



Localized in the temporal direction as well,  $\rho < 1/T \rightarrow$  behave as zero-T instantons.

# The near-zero modes



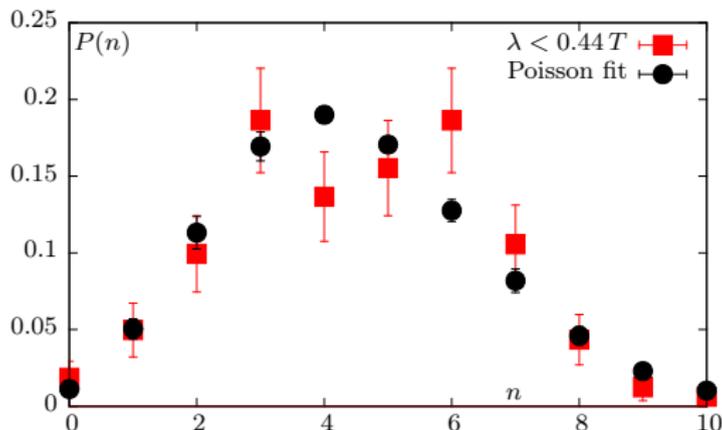
Near-zero modes due to a weakly interacting instanton-antiinstanton pair.

# The nature of the infrared modes at $1.5T_c$

- If  $n$ =total no. of instantons+antiinstantons and form a dilute gas,

$$P(n, \langle n \rangle) = \langle n \rangle^n e^{-\langle n \rangle} / n!$$

- For  $\lambda/T < 0.44$ , the value of  $\langle n \rangle = 4.2 = \langle n^2 \rangle \Rightarrow$  density  $\simeq 0.147(7) fm^{-4}$ .  
This is much dilute than an instanton liquid with density  $1 fm^{-4}$ .



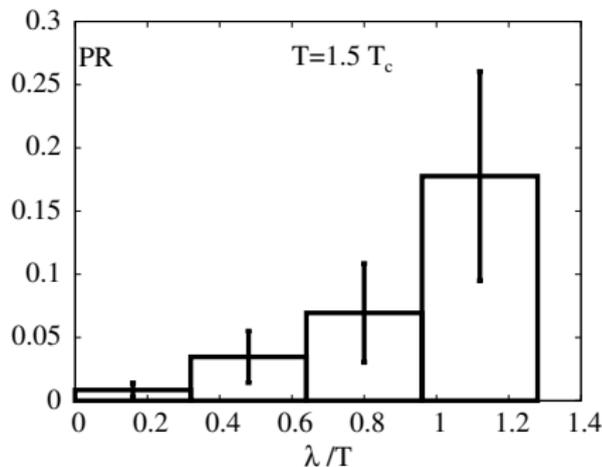
# The measures of localization: PR

The Participation Ratio measures the degree of localization

$$PR = \frac{1}{N^3 N_\tau} \left[ \sum_x (\psi^\dagger(x)\psi(x))^2 \right]^{-1}.$$

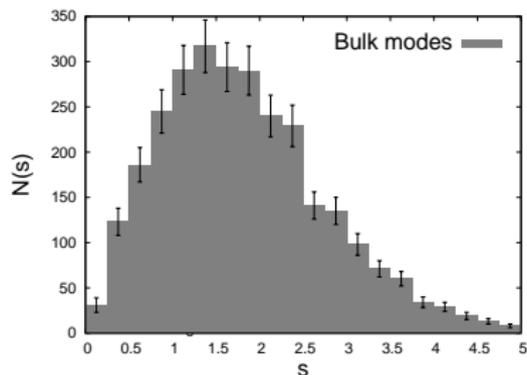
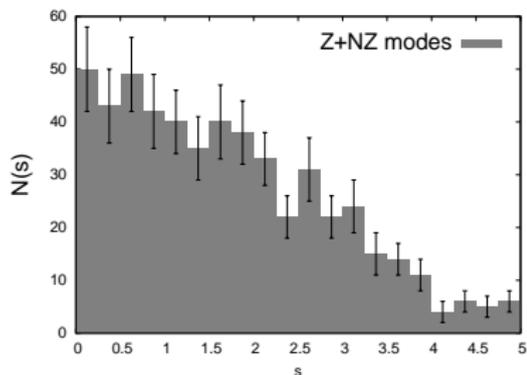
$PR = 1$  (delocalized),  $PR = f$  (localized)

The low-lying modes are highly localized unlike the bulk  $\rightarrow$  Anderson-Mott localization? [Garcia-Garcia & Osborn, 06, Kovacs et al, 12,13].



# The characteristics of the eigen spectrum

- The level spacing between eigenvalues has a system dependent mean + fluctuations which are universal
- Unfolding or removing the mean gives fluctuations consistent with Poissonian for  $\lambda/T < 0.4$ .
- Level spacing of **bulk eigenvalues** seem to be following predictions from Random Matrix Theory  $\rightarrow$  delocalized.



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# What we studied till now

- On **large volume** lattice we found that  $U_A(1)$  broken for  $T \leq 1.5 T_c$ . The fermion near-zero modes are mainly responsible for its breaking.
- Already at  $1.5 T_c$  the QCD medium can be described as a dilute gas of instantons.

